## **Appendix B – Federal Agency Functional Activities**

## **Bureau of Indian Affairs (BIA)**

Point of Contact: Wyeth (Chad) Wallace, (720) 407-0638

The mission of the Bureau of Indian Affairs (BIA) is to enhance the quality of life, to promote economic opportunity, and to carry out the responsibility to protect and improve the trust assets of American Indians, Indian tribes, and Alaska Natives.

Divided into regions (see map), the BIA is responsible for the administration and management of 55 million surface acres and 57 million acres of subsurface minerals estates held in trust by the United States for approximately 1.9 million American Indians and Alaska Natives, and 565 federally recognized American Indian tribes.



The primary public policy of the Federal government in regards to Native Americans is the return of trust lands management responsibilities to those most affected by decisions on how these American Indian Trust (AIT) lands are to be used and developed. Enhanced elevation data, orthoimagery, and GIS technology are needed to enable tribal organizations to wholly manage significant, profitable and sustainable enterprises as diverse as forestry, water resources, mining, oil and gas, transportation, tourism, agriculture and range land leasing and management, while preserving and protecting natural and cultural resources on AIT lands.

Accurate and current elevation data are especially mission-critical for management of forest and water resources. It is the mission of BIA's Irrigation, Power and Safety of Dams (IPSOD) program to promote self-determination, economic opportunities and public safety through the sound management of irrigation, dam and power facilities owned by the BIA. This program generates revenues for the irrigation and power projects of \$80M to \$90M annually. Additionally, water rights, mitigation, and all that goes along with water from forestry to fishing, are very important to Native Peoples, and any increase in knowledge of those water sources, watersheds and waterways is invaluable.

Similarly, forest products on Native lands are harvested and sold through permits, and forest inventories are conducted to determine and record the volume and value of forest products harvested by ownership and to maintain records to document compliance. The wise stewardship of forests on AIT lands is critical because timber sales have exceeded \$100M annually. LiDAR provides vital timber and biomass metrics plus slope data needed for Forest Management Plans and Fire Management Plans. Timber is real property; volumes and fair market values of the timber must be determined with a minimum sampling error of 15% as a part of Realty appraisal and to enhance sustainable forest product sales.

BIA managers identified a single, all-inclusive Functional Activity with mission-critical requirements for enhanced elevation data:

• <u>Protection and Enhancement of AIT Assets</u>, under multiple Business Uses, but primarily Business Use #1, Natural Resources Conservation

BIA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### Protection and Enhancement of American Indian Trust (AIT) Assets

#### **Mission-Critical Requirements:**

QL3 LiDAR is required for management of forest and water resources on AIT lands and watersheds flowing into and out from AIT lands.

#### Update Frequency: 6-10 years

**Business Use:** Multiple Business Uses, but primarily Natural Resources Conservation, BU#1.

Estimated program budgets supported by elevation data: Unknown

# Quantifiable Benefits of Enhanced Elevation Data:

Unable to estimate cost savings from improved management of forest and water resources.



LiDAR is, by far, the best technology for detailed topographic mapping used for management of water and forest resources and for detecting subtle terrain features such as cultural resources important to Native Peoples. No other technology can accurately map the ground in dense forests while also mapping tree canopies, understory and biomass. Nationwide, foresters demand LiDAR for forest management to include science-based assessments of forest metrics and health. Furthermore, automated procedures for hydrologic and hydraulic (H&H) modeling are now performed, almost exclusively, with LiDAR data.

#### Operational benefits (internal) for BIA of enhanced elevation data for this Functional Activity:

Time/cost savings: Major Mission Compliance: Major	\$ Benefits: Unable to estimate
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- Improved safety and efficiency in management of irrigation, dam, and power facilities owned by BIA through accurate hydrologic modeling and dam breach modeling and assessments. The BIA monitors more than 430 dams in its IPSOD program with potentially major savings from LiDAR for dam safety assessments alone, but cost savings cannot be estimated.
- BIA will not be replacing its continuous forest inventories (CFIs) and will continue to use existing
  programs and equations. However, LiDAR would supplement those efforts by assisting in
  computing forest metrics and biomass for estimation of timber volumes available for harvesting
  and sale. Furthermore BIA could inventory reservations that either have limited resources or are
  remote or are not actively managing their resources. Cost savings cannot be estimated.
- Accurate topographic mapping for other assessments (e.g., wildlife habitat, environmental, agricultural, transportation, mining, and oil and gas development) on AIT lands.

- Accurate and consistent elevation data across jurisdictional boundaries enables BIA to perform its mission to protect and enhance AIT assets by efficient management of natural and cultural resources.
- Technology and data that enable BIA to do more with limited staff and budget.

#### Customer service benefits (external) to the public from improved BIA products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
• Important decisions can be made more quickly when accurate data are available for analysis.			

- Fire modeling across jurisdictional boundaries is effective and efficient with LiDAR.
- Tribes and tribal organizations can wholly manage significant, profitable and sustainable enterprises when accurate and current topographic data are available, and when they learn how to use such data with GIS tools for effective and efficient management of natural and cultural resources.
- Watershed mitigation on a large scale yarder ground (generally more than 35% slope), cat ground (up to 35% slope), and helicopter ground (generally steep ground but usually inaccessible by other logging methods) can be determined, from which timber values are determined and harvest boundaries set; Tribes and tribal organizations can use LiDAR to plan for the future management of their forests.
- Irrigation and power projects would be more efficient when using enhanced elevation data for H&H modeling and assessments, but annual dollar benefits cannot be estimated.
- For homesite lease preparation, LiDAR would better serve the tribes for right-of-way and leasing benefits.
- By embracing 21<sup>st</sup> century technology, including LiDAR and GIS, Tribes and tribal organizations will be better able to preserve and protect natural and cultural resources for future generations.

#### Other Benefits from BIA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major
• LiDAR is mission-critical for executing AIT Trust responsibilities to be wise stewards of AIT lands.		
Better protection of the natural environment and cultural resources on AIT lands.		
• Technology transfer that enables tribal programs to stand-up applications of geospatial		
technology and by extending the reach of these applications by providing rich sources of		
elevation data from which informed decision making can be enabled.		
Improved public interest	and better public understanding of e	nvironmental issues confronting
AIT assets Better data im	proves BIA's ability to relay informat	ion on management activity to the

• Improved public interest and better public understanding of environmental issues controlling AIT assets. Better data improves BIA's ability to relay information on management activity to the public so they can see what is being done instead of being told what is being done.

## **Bureau of Land Management (BLM)**

#### Point of Contact: Don Buhler, (202) 912-7353

The BLM's stated mission is to sustain the health, diversity and productivity of the public lands for the use and enjoyment of present and future generations. The Bureau of Land Management (BLM) is responsible for stewardship of public lands of approximately 253 million surface acres, about oneeighth of the land area of the United States, as well as approximately 700 million acres of subsurface mineral estate underlying both Federal surface ownerships and privately owned lands. These public lands surface acres are primarily in 12 western

states, including Alaska.

The BLM is committed to managing, protecting, and improving these lands in a manner to serve the needs of the American people. Management is passed upon the principles of multiple use and sustained yield of our Nation's resources within a framework of environmental responsibility and scientific technology. Following is a list of some of the natural resource programs and projects



currently managed by the BLM that are engaged in using or managing elevation data within the Bureau:

Abandoned Mine Lands	Forests and Woodlands
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Asset Management	Hazardous Materials Management
BLM Enterprise Architecture	Information Resources Management
Climate Change	Invasive Species
Cultural, Paleontological Resources, Tribal Consultations	IT Transformation
Decision Support, Planning, and NEPA	Lands, Realty and Cadastral Survey
Education, Interpretation, and Partnerships	Law Enforcement and Security
Emergency Management Programs	Minerals and Realty Management
Enterprise Geographic Information System	National Applications
Environmental Quality and Protection	National Landscape Conservation System (NLCS) and
	Community Partnerships
ePlanning	Rangeland Resources
Fire and Aviation	Recreation and Visitor Services
Fish, Wildlife, and Plant Conservation	Renewable Resources and Planning
Fluid Minerals	Solid Minerals
Forest Resource Information Systems	Wild Horses and Burros

The public lands provide significant economic benefits to the nation and to states and counties where these lands are located. As an example, in 2009, public lands generated over \$6 billion in revenues that were transferred to the Department of Treasury for use by the Federal government.

Many land management issues do not end at the border of the public lands; therefore the BLM coordinates natural resource issues with other Federal agencies, state and local government, and private landowners. Examples of this coordination involve all of the programs and projects listed above.

All of the programs and projects mentioned above would benefit from the most accurate geospatial framework data available, just as all natural resource programs managed by natural resource agencies would. Better precision and higher accuracy is always a plus, but there is no Bureau-wide effort for such data. The BLM's default elevation data for use by its programs is the National Elevation Dataset (NED) as managed by the USGS. The BLM will benefit as the NED is improved and enhanced through work by the USGS and its partners. The NED by itself provides a constantly improving geospatial foundation layer that is critical for the BLM to use in meeting its on-the-ground mandates. The NED meets the majority of elevation needs of the BLM, with few exceptions where the NED does not satisfy all requirements. BLM managers identified the following Functional Activities with *mission-critical* requirements for enhanced elevation data:

- <u>Wildland Fire Fighting</u>, under Business Use #16, Wildfire Management, Planning and Response
- <u>Multi-Use Land Management in Alaska</u>, under multiple Business Uses, including Business Use #1, Natural Resources Conservation

BLM managers provided the following assessment of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as *mission-critical*. Summarized details are provided in the following pages.

#### Wildland Fire Fighting

#### **Mission-Critical Requirements:**

For the National Interagency Fire Center, QL3 LiDAR data are needed for Federal forested lands nationwide for wildfire models that require: (1) DEMs, (2) slope, (3) aspect, (4) canopy cover and (5) fuel loading – all available from LiDAR – in addition to (6) weather, (7) wind and (8) fuel moisture information, real-time parameters used in wildfire models. The forest canopy cover and fuel load (biomass) are not available from the NED although the NED does provide DEM, slope and aspect data. The BLM manages approximately 186 million acres that are nonforest but also require wildland fire modeling that LIDAR QL3 may not be appropriate.

Update Frequency: Event driven

**Business Use:** Wildfire Management, Planning and Response, BU #16.

Estimated program budget supported by elevation data: Unknown

QuantifiableBenefitsofEnhancedElevation Data:Unable to determine



BLM is part of the National Interagency Fire Center (NIFC), located in Boise, Idaho, the nation's support center for wildland firefighting nationwide. Eight different agencies and organizations are part of NIFC. Decisions are made using the interagency cooperation concept because NIFC has no single director or manager. The Boise Interagency Fire Center (BIFC) was created in 1965 because the BLM, U.S. Forest Service, and the National Weather Service saw the need to work together to reduce the duplication of services, cut costs, and coordinate national fire planning and operations. The National Park Service and Bureau of Indian Affairs joined BIFC in the mid 1970s. The U.S. Fish and Wildlife Service joined in 1979. The Center's name was changed in 1993 from the Boise Interagency Fire Center to the National Interagency Fire Center to more accurately reflect its national mission. The US Fire Administration-FEMA joined NIFC in 2003.

#### **Operational benefits (internal) to BLM of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: Unknown
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Wildfire models include the eight parameters indicated above, of which five parameters are provided by LiDAR data: (1) DEMs, (2) slope, (3) aspect, (4) canopy cover, and (5) fuel loads (biomass). Critical real-time information is input from the remaining parameters, i.e., (6) weather, (7) wind, and (8) fuel moisture information, to accurately predict the spread of wildfires and develop fire-fighting strategies.

#### Customer service benefits (external) to the public from improved BLM products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
The customers are the American public who expect the NIEC to use the best available			

• The customers are the American public who expect the NIFC to use the best available technology to save lives and property.

#### Other Benefits from BLM's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Unknown	Strategic: Unknown
• The public is best served when computer models accurately predict the spread of wildfires so		

that timely and effective fire fighting strategies can be implemented.

#### **Multi-Use Land Management in Alaska**



In September, 2008, the Alaska Geographic Data Committee (AGDC) published a report, entitled: *Digital Elevation Model (DEM) Data for the Alaska Statewide Digital Mapping Initiative (SDMI)*, which documented BLM's requirements for QL5 IFSAR data statewide in Alaska:

- Floodplain management, especially in coastal areas
- Management of wetlands and other ecologically sensitive flat areas
- Safe operations of light aircraft and helicopters in steep, mountainous terrain where elevation accuracy is critical, for performance of routine field operations
- Delineation of rights of ways and easements, especially delineating ANCSA 17b easements, and delineation of hard rock and placer mining planning, operations, and reclamation
- Base maps for wild land fire suppression
- Mapping of existing and potential oil and gas infrastructure areas, especially along proposed natural gas line routes, both intrastate and instate
- Support to Cadastral Surveys in accurately delineating meander-lines for lakes, rivers and coastlines. BLM has the responsibility to survey and patent land selected under the Alaska Native Claims Settlement Act (ANCSA) and the Alaska Statehood Act. As of 2008, the status of the workload was:
- ANCSA (millions of acres): Total Entitlement (45.6); Patented (24.4); Transferred by Interim Conveyance (14.1); Remaining Entitlement (7.1)
- State (millions of acres): Total Entitlement (104.5); Patented (55); Transferred by Tentative Approval (42); and Remaining Entitlement (7.5)

#### **Operational benefits (internal) for BLM of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: Unknown
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- Alaska is the only state where BLM delineations cannot be performed from digital orthophotos. Alaska has no digital orthophotos because DEMs from the NED are too inaccurate for orthorectification, with some mountain ranges horizontally displaced by several miles. Stream and water boundary meander lines produced from inaccurate DEMs in the NED depict rivers climbing up and over mountains, and there is no accurate reference surface on which to resolve major discrepancies.
- IFSAR DEMs are necessary for BLM to accomplish its core mission in Alaska.
- NOAA is in a multi-year program to update the datum in Alaska. IFSAR DEM data collected in the SDMI partnership is being accepted in a manner that will allow re-processing to the new datum once NOAA completes it.

#### Customer service benefits (external) to the public from improved BLM products/services:

Performance: Major Timeliness: Major Experience: Major	\$ Benefits: Unknown
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- BLM could work more seamlessly with adjoining Federal, state, and local jurisdictions, all using the same authoritative IFSAR elevation data of Alaska for stewardship of natural resources, wildfire and floodplain management, for example, where areas of interest cross jurisdictional boundaries.
- BLM could provide key data to the public via the web; this provides enhanced services to the public and significantly reduces the workload on BLM staff for public requests for information.
- IFSAR data helps in evaluating permitting, regulatory compliance, more efficient project planning, more effective land use management.
- IFSAR data is used for real-time fire modeling which benefits all impacted by wildfires.

#### Other Benefits from BLM's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate Environmental: Moderate Strategic: Unknown
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- Social benefits include a better understanding of the rationale for resource decisions and better data to evaluate those decisions.
- Better interaction with concerned public groups. Ability to provide maps and models to back Bureau positions on resource management plans.
- Increased ability to educate the public, direct resource management plans and agency funding to cope with shifting ecosystem environments.

### **Bureau of Ocean Energy Management (BOEM)**

#### Point of Contact: Doug Vandegraft, (703) 787-1312

Within the U.S. Department of Interior, the Bureau of Ocean Energy Management (BOEM) manages the Federal Government's offshore leasing program under 43 U.S. C. Section 1344. In support of this effort, the BOEM performs mathematical offshore boundary location computations and prepares Outer Continental Shelf (OCS) Leasing Maps, OCS Official Protraction Diagrams, and Supplemental Official OCS Block Diagrams depicting OCS Block information, the State Seaward Boundary, Limit of "8(g) Zone", the 200 nautical mile Exclusive Economic Zone (EEZ Boundary), and corresponding areal measurements.

Before any offshore computations can be made, a series of baseline points representing the mean lower low water (MLLW) line in direct contact with the open sea must be marked on the appropriate nautical charts or hydrographic and topographic survey From these base materials, sheets. cartographers from the BOEM select isolated points and straight line segments along the shoreline where the baseline can be assumed to be a straight line in secure a mathematically order to describable line. Generally, Federal jurisdiction begins at three nautical miles from the baseline from which the Territorial Sea is measured. See attached graphic.

These boundaries are relevant to the OCS Oil and Gas Leasing Program as well as non-traditional energy, alternative energyrelated, and other activities on the OCS.



BOEM managers identified a single Functional Activity with mission-critical requirements for elevation data:

• Mapping of Coastal Baseline Points, under Business Use #12, Oil and Gas Resources

BOEM managers provided the following assessments of elevation data requirements and benefits received from Quality Level 1 LiDAR and/or bathymetric LiDAR if these technologies are able to efficiently identify and map coastal baseline points. <u>Please note that these technologies are not</u> recommended for this Functional Activity.

#### **Mapping of Coastal Baseline Points**

#### **Mission-Critical Requirements:**

QL1 LiDAR plus bathymetric data was requested to map the horizontal coordinates of all natural features (rocks, sand or gravel bars) that protrude above the water at MLLW tide levels along all coastal areas of the U.S., including territories; <u>however</u>, <u>because</u> <u>airborne technologies will not succeed in this</u> <u>task</u>, <u>sonar is recommended during higher</u> <u>tide levels</u>. <u>Sonar requirements are beyond</u> the scope of this assessment.

Update Frequency: >10 years

Business Use: Oil and Gas Resources, BU#12

Estimated program budget supported by elevation data: \$1.65M/yr

Quantifiable Benefits of Enhanced Elevation Data:

Benefits to either States or the Federal government could amount to billions of dollars from oil/gas leases or leasing of marine rights for wind, wave or other alternative energy.



#### **Operational benefits (internal) to BOEM of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: Unknown	
• Historically, the BOEM method of determining the elevations of natural features at low tide has			
been to conduct expensive	been to conduct expensive, on-site investigations. Funding is unavailable for this everywhere		
needed. If this task could l	needed. If this task could be performed with remote sensing (e.g., airborne topographic and/or		
bathymetric LiDAR), consid	derable costs savings could occur.		
<ul> <li>If topographic and/or bath</li> </ul>	nymetric LiDAR could map national b	aseline points, this would reduce	
the time required to produ	uce and/or update the offshore digit	al boundaries and mapping	
products produced by BOI	EM. However, mapping of even large	e features at MLLW is very	
expensive, and topograph	ic and bathymetric LiDAR would prol	bably not detect small features	
protruding above the wate	er because of foam that occurs natur	rally along the very shorelines that	
<u>need to be mapped</u> . <u>Becau</u>	use topographic and bathymetric LiD	AR are least effective along	
shorelines, this BOEM req	uirement is more likely to be satisfie	d by a combination of vessel-	
deployed laser scanning a	nd multibeam technology.		

#### Customer service benefits (external) to the public from improved BOEM products/services:

Performance: Major Timeliness: Major	Experience: Major	\$ Benefits: Unknown
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- The location of Submerged Lands Act (SLA) boundaries between the various states and the Federal Government has been a matter of continuing litigation based upon different interpretations of the meaning of various treaties and international and domestic laws.
- Litigation can be extreme because coastal baseline points can determine whether states can issue offshore leases and reap the financial benefits.

#### Other Benefits from BOEM's use of enhanced elevation data for this Functional Activity:

	Public/	Social: Minor	Environmental: Minor	Strategic/Political: Major
The strategic/political ben		The strategic/political bene	efits are major, with different Feder	al agencies potentially battling
with multiple states and other Federal agencies to resolve conflicts		flicts		

## **Centers for Disease Control (CDC)**

#### Point of Contact: Carl Kinkade, (404) 498-2468

The CDC mission: Collaborating to create the expertise, information, and tools that people and communities need to protect their health – through health promotion; prevention of disease, injury and disability; and preparedness for new health threats. CDC seeks to accomplish its mission by working with partners throughout the nation and the world to:

- Monitor health,
- Detect and investigate health problems,
- Conduct research to enhance prevention,
- Develop and advocate sound public health policies,
- Implement prevention strategies,
- Promote healthy behaviors,
- Foster safe and healthful environments, and
- Provide leadership and training.

CDC identified two Functional Activities with requirements for enhanced elevation data:

- Human, Animal, and Environmental Health, under Business Use #23, Health and Human Services
- <u>Waterborne Disease Prevention</u>, under Business Use #23, Health and Human Services

CDC managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### Human, Animal, and Environmental Health



Whereas LiDAR is technically superior everywhere for mapping the structure of forests, vegetation, and wildlife habitat, it is neither technically nor economically feasible to acquire LiDAR statewide for Alaska where LiDAR is limited technically (because of weather conditions) and human populations are sparse. In Alaska, IFSAR is selected because of its all-weather capability and cost efficiencies in mapping broad areas.

#### **Operational benefits (internal) for CDC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: Cannot Quantify	
<ul> <li>LiDAR and IFSAR data enablished and the second secon</li></ul>	LiDAR and IFSAR data enable the CDC to respond to public health emergencies with the best		
available 3-D geospatial da	ta needed to assess conditions that	caused the emergency and/or to	
respond decisively with co	rrective actions.		
<ul> <li>Vectors are agents that spi</li> </ul>	ead disease, e.g., ticks, mosquitoes	, flies, animals, humans, and birds	
(West Nile disease). Wher	eas both LiDAR and IFSAR have valu	e for mapping of specific habitats,	
LiDAR is especially effective	e in mapping the structure of forest	s, vegetation, and wildlife habitat;	
differential LiDAR, collecte	d in different years, enables the ma	pping of changes to wildlife	
habitat including vector ha	bitat.		
Centimeter-level digital ter	rain model (DTM) data can be deriv	ed from LiDAR data, and they	
	an and shull be less that say held at	and in a weeten. The CDC see	

Centimeter-level digital terrain model (DTM) data can be derived from LiDAR data, and they
enable the CDC to detect fine-scale sink-holes that can hold standing water. The CDC can
determine how long sink-hole water remains stagnant enough to be utilized as mosquito
habitats in a GIS environment using LiDAR-derived fine-scale DTM, soil characteristics (e.g.,
water penetration rate), climatic variables such as wind direction and speed, and other potential
data sets.

- LiDAR data enables the modeling of cities and rural areas that could be subjected to chemicals from crop dusting, from smog and unclean air conditions, and/or for modeling of areas affected by accidental chemical spills or terrorist activities that could include the use of chemical, biological, or radiological weapons.
- LiDAR provides ancillary information for extracting buildings from remote sensing imagery in a more accurate manner. Extracted buildings can be utilized to estimate population at a local scale, which in turn will be valuable input data for human exposure analysis against environmental pollution.
- LiDAR-driven footprints and heights of individual buildings are essential data to use in spatial epidemiology research in urbanized areas, e.g., traffic noise research.
- LiDAR enables the modeling of dam breaks and plans for mitigating the effects of potential breaks.
- LiDAR provides significant benefits for occupational safety and health by enabling many tasks to be performed in an office environment that were previously performed in the field under dangerous or unhealthful conditions. For example, the need for land surveys for highway construction projects (with numerous traffic deaths annually) is largely eliminated by the use of LiDAR surveys. Similarly, the need for on-site visits and collection of sample data for environment-related activities is often replaced by the use of LiDAR and other forms of remote sensing, reducing human exposure to field hazards.

#### Customer service benefits (external) to the public from improved CDC products/services:

#### Performance: Moderate Timeliness: Moderate Experience: Moderate \$ Benefits: Cannot quantify

• The CDC partners with state, local, and/or tribal officials that come to the CDC for help in solving local health issues. These customers are far more satisfied when they recognize that the CDC uses accurate data and advanced geospatial technologies to help solve their problems.

#### Other Benefits from CDC's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Moderate
<ul> <li>Public/social benefits are major when the CDC uses LiDAR and modern technology to solve</li> </ul>		
human and animal health problems.		
• Environmental benefits are major when the CDC uses LiDAR and modern technology to solve		

- environmental health problems that impact human and/or animal health.
  Strategic/political benefits occur when the CDC is able to assist other agencies, including global
- governments, to solve their problems.

#### Waterborne Disease Prevention



Repeat pass satellite differential IFSAR, called DINSAR, is required nationwide because of its ability to separate water from land areas, and because repeat pass satellite DINSAR enables the accurate mapping of water elevation changes over time. Repeated acquisition of QL5 airborne IFSAR, acquired years apart, would have great difficulty correlating interferograms, whereas satellite DINSAR can more easily correlate interferograms and do so with temporal differences of a few days or weeks as satellites pass over again with precise repeat orbits.

#### **Operational benefits (internal) for CDC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate		cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: Cannot Quantify
Repeat pass satellite DINS		Repeat pass satellite DINS	AR data enables the CDC to respond	to public health emergencies
		pertaining to waterborne (	diseases with the best available 3-D	geosnatial data needed to assess

- pertaining to waterborne diseases with the best available 3-D geospatial data needed to assess conditions that caused the emergency and/or to respond decisively with corrective actions.
- Mosquitoes are vectors that thrive in standing water and wetlands that are best mapped with airborne or satellite IFSAR. However, repeat pass satellite DINSAR is the best way to map changes in water surface elevations, especially when the required temporal differences may be a few days or weeks rather than a few years.

#### Customer service benefits (external) to the public from improved CDC products/services:

• The CDC partners with state, local, and/or tribal officials that come to the CDC for help in solving local health issues pertaining to waterborne diseases. These customers are far more satisfied

when they recognize that the CDC uses accurate data and advanced geospatial technologies to help solve their problems.

#### Other Benefits from CDC's use of enhanced elevation data for this Functional Activity:

Public	/Social: Major	Environmental: Major	Strategic/Political: Moderate
Public/social benefits are i		najor when the CDC uses DINSAR an	nd modern technology to solve
human and animal health		problems that may pertain to water	borne diseases.

- Environmental benefits are major when the CDC uses DINSAR and modern technology to solve environmental health problems that impact human and/or animal health.
- Strategic/political benefits occur when the CDC is able to assist other agencies, including global governments, to solve their problems.

## **Defense Installation Spatial Data Infrastructure (DISDI)**

#### Point of Contact: DISDI Help Desk <u>disdi.helpdesk@osd.mil</u>

The Defense Installation Spatial Data Infrastructure (DISDI) Program provides policy, guidance, and oversight of DoD investments in geospatial information across the Installations and Environment (I&E) business mission areas. To better manage global installations and bases for the U.S. Army, Navy, Air Force and Marine Corps, the DISDI Program, in concert with program managers in each Service responsible for installation geospatial information and services (IGI&S), develops standards and policy to enable the sharing and interoperability of high-quality geospatial data at all levels of installation management. The focus of the DISDI initiative is to ensure that I&E's geospatial information infrastructure is aligned with DoD's net-centric data sharing strategies and business transformation goals. DISDI focuses on implementing net-centric, geospatial information sharing by integrating geospatial efforts across the Department. The goal is to reduce redundant IT investments and increase the availability of quality geospatial data to any DoD mission in the basing or battle space. DISDI fosters fact-based decision-making by centralizing and improving access to strategic visualization capabilities. Implementation of DISDI's enterprise goals will guarantee the integration of geospatial information within the business decision-making process.

With input from its Community of Interest (Army, Marine Corps, Navy, and Air Force), the DISDI Program team identified a single, consolidated Functional Activity with mission-critical requirements for elevation data:

• <u>Defense Installation Geospatial Information and Services (IGI&S)</u>, under Business Use #21, Infrastructure and Construction Management, as well as most of the other Business Uses established for the National Enhanced Elevation Assessment.

The DISDI Program team provided the following assessments of elevation data requirements and benefits received from the LiDAR data that the DISDI community of interest identified as mission-critical. Summarized details are provided in the following pages.

#### Defense Installation Geospatial Information and Services (IGI&S)



According to DISDI protocols, a mission is "geo-enabled" when it leverages geospatial capabilities to help visualize and enhance data, transforming it into actionable information. LiDAR data allows the military services to geo-enable almost all of the Business Uses identified for this study:

- BU#1, Natural Resources Conservation, is geo-enabled on military installations by LiDAR data used for minimization of soil erosion and runoff into streams and preservation of wetlands.
- BU#2, Water Supply and Quality, is geo-enabled on military installations by LiDAR data used to develop wetlands, reduce causes for water pollution, and ensure the health of aquatic ecosystems.
- BU#3, River and Stream Resource Management, is geo-enabled on military installations by LiDAR data used to ensure that rivers and streams sustain their beneficial functions.
- BU#4, Coastal Zone Management, is geo-enabled on coastal military installations by LiDAR data used to ensure that coastal zones sustain their beneficial functions.
- BU#5, Forest Resources Management, is geo-enabled on military installations by LiDAR data used to determine forest metrics, estimates for tree cutting activities, and to ensure forests sustain their beneficial functions.
- BU#7, Wildlife and Habitat Management, is geo-enabled on military installations by LiDAR data used to sustain wildlife and habitat.
- BU#11, Renewable Energy Resources, is geo-enabled on military installations by LiDAR data used for assessing wind and solar energy potential.

- BU#12, Oil and Gas Resources, is geo-enabled on military installations by LiDAR data used for environmental impact assessments, pipeline routing, and construction planning.
- BU#13, Cultural Resources Preservation and Management, is geo-enabled on military installations by LiDAR data used for identification of historic human activity, historic or prehistoric artifacts or objects, or earthworks such as battlefield entrenchments, prehistoric canals or mounds.
- BU#14, Flood Risk Management, is geo-enabled on military installations by LiDAR data used for mitigation of flood risks, including dam, dike, and levee safety.
- BU#15, Sea Level Rise and Subsidence, is geo-enabled on coastal military installations by LiDAR data used for mitigating the effects of a projected 1-meter SLR during the current century.
- BU#17, Homeland Security, Law Enforcement, and Disaster Response, is geo-enabled on military installations by LiDAR data used to promote security, minimize threats from terrorism and criminal activities, and respond to natural or manmade disasters.
- BU#18, Land Navigation and Safety, is geo-enabled on military installations by LiDAR data used to promote safe land navigation, on and off roads.
- BU#19, Marine Navigation and Safety, is geo-enabled on military installations by topographic and/or bathymetric LiDAR used for safe navigation of coastal and riverine waterways.
- BU#20, Aviation Navigation and Safety, is geo-enabled on (and off) military installations by LiDAR data used for search, rescue, and recovery of downed aircraft and for identification of TERPS (terminal procedures) airspace obstructions in the vicinity of military airfields.
- BU#21, Infrastructure and Construction Management, is geo-enabled on military installations by LiDAR data used to support all forms of infrastructure and construction management, to include cost feasibility studies, environmental impact assessments, estimation of cut/fill requirements, storm water analysis/compliance, or other infrastructure/construction-related activities.
- BU#22, Urban and Regional Planning, is geo-enabled on military installations by LiDAR data used to support master planning activities and environmental studies.
- BU#25, Education K-12 and Beyond, is geo-enabled on military installations by LiDAR data used for training of military personnel to understand landforms that impact military operations, lineof-sight for telecommunications and weapon systems, cross-country mobility planning, etc., especially when the local topography is similar to that to be encountered in a war zone and when the CONUS LiDAR data used for military education and training is similar to that used in military deployments. LiDAR and IFSAR are used in dozens of different military training simulators for virtual battlefields.
- BU#27, Telecommunications, is geo-enabled on military installations by LiDAR data used to perform viewshed analyses from military and civil telecommunications facilities.

# Operational benefits (internal) to DISDI's Community of Interest (Army, Marine Corps, Navy, and Air Force,) of LiDAR data for this Functional Activity:

Time/Cost Savings: Major		Mission Compliance: Major	\$ Benefits: \$35-\$45M/yr
Most of the business uses		identified for this study have major	operational benefits as listed
above, with major time/co		st savings as well as major mission o	ompliance benefits.

#### Customer service benefits (external) to the public from improved LiDAR products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
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• Military and civilian personnel, and tenant organizations on military installations would receive major benefits from having LiDAR data for the multiple Business Uses listed above.

#### Other Benefits from DoD's use of LiDAR data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major
<ul> <li>Intangible benefits include</li> </ul>	improved public relations. For exan	nple, in the case of the siting of a
new road, at public forums	s, this information could be used to	demonstrate why a particular plan
was selected.		

- LiDAR is essential for nearly all forms of environmental impact assessments and for compliance with environmental regulations and policies.
- Strategic and political benefits accrue when politicians and their constituents all recognize that DoD makes sound, science-based decisions pertaining to military installations using the best available data.
- Strategic and political benefits accrue when politicians and their constituents all recognize that DoD is cost-conscious by partnering with state and federal agencies to acquire LiDAR data of broader areas at economical prices.

Note: For security reasons, DoD exercises great caution when considering public access to LiDAR data of military installations. For security reasons, DISDI could not separate the cantonment areas from non-cantonment areas in the geodatabase used for this assessment.

## **U.S Department of Energy (DOE)**

#### Point of Contact: Mark Tuttle, (865) 241-4150

It is the overall mission of the U.S Department of Energy (DOE) to ensure America's security and prosperity by addressing its energy, environmental, and nuclear challenges through transformative science and technology solutions.

Specific goals associated with the DOE's mission include catalyzing the timely, material, and efficient transformation of the nation's energy system and securing U.S. leadership in clean energy technologies; maintaining a vibrant U.S. effort in science and engineering as a cornerstone of our economic prosperity and providing clear leadership in strategic areas; and enhancing nuclear security through defense, nonproliferation, and environmental efforts.

The Oak Ridge National Laboratory (ORNL), located on DOE's Oak Ridge Reservation (ORR) in Oak Ridge, Tennessee, is one of the world's leading scientific research centers with historic competencies in energy, life sciences, neutron sciences, and advanced materials, with future research missions in the areas of national security and high-performance computing (e.g., the LandScan USA program that supports the U.S. Department of Homeland Security [DHS] Homeland Security Infrastructure Protection [HSIP] database, which has 110,000 users).

Within the DOE Oak Ridge Office (ORO), the Emergency Management Team (EMT) interfaces with DOE Headquarters, DOE contractors, members of state and local organizations, and others, and provides emergency management planning to support the ORO response to possible emergency events, including (but not limited to) those involving adversaries, those caused by accidents, and those induced by "natural" causes, such as severe weather. The EMT also manages the Oak Ridge Operations Center, as well as the Emergency Operations Center, which activates during emergency events. Specifically, the core mission of the EMT is to establish and coordinate ORR emergency management policies, programs, guidance, and implement procedures as required by DOE regulations. In carrying out its mission functions, the EMT maintains inter-operational coordination with ORR's Infrastructure and Construction Management and Site Facility Management groups.

DOE managers identified three major Functional Activities with mission-critical requirements for enhanced elevation data:

- <u>Population Distributions and Dynamics</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response; and Business Use #23, Health and Human Services.
- <u>Site Facility Management</u>, under Business Use #21, Infrastructure and Construction Management
- <u>Emergency Management Program Oversight, Response, and Recovery</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response

DOE managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical, or sometimes mission-critical. Summarized details are provided in the following pages.

#### **Population Distributions and Dynamics**

#### **Mission-Critical Requirements:**

In support of DHS, for DOE's LandScan USA extraction of building footprints, heights and characteristics to model populations at risk for emergency response and evacuation planning and execution. QL1 LiDAR is required for the 133 Urban Areas and QL3 LiDAR is required for the remainder of the lower 49 states and U.S. territories.

#### Update Frequency: 4 - 5 years

**Business Use:** Homeland Security, Law Enforcement, and Disaster Response, BU#17 and Health and Human Services, BU#23

Estimated program budgets supported by elevation data: \$2M/yr

# Quantifiable Benefits of Enhanced Elevation Data:

LandScan USA would realize immediate improvements of between 50-70% in operational efficiencies at a minimum savings of \$1M/yr, plus potential benefits of \$ billions to the public in an actual national emergency.



Per agreement with DHS, ORNL's GIS and Technology Computational Sciences and Engineering group requires high accuracy QL1 LiDAR data of 133 Urban Areas plus QL3 LiDAR elsewhere (except Alaska) to support LandScan USA, a DOE joint research venture with DHS for Population Distributions and Dynamics. This program involves the study and modeling of buildings, nighttime and daytime population distributions, and seasonal and special events distributions, as well as intercensal population growth areas nationwide – all used for emergency response and evacuation planning and execution. Specifically, high-resolution LiDAR data is used to extract details of buildings (i.e., building sizes, shapes, heights, volumes, and roof types) for building characterization and to model population allocations and temporal occupancies. Higher resolution LiDAR yields higher accuracy of models used for emergency response plans.

#### **Operational benefits (internal) to DOE of enhanced elevation data for this Functional Activity:**

#### • With LiDAR, DOE can model two cities for the price of one, enhancing the value of the HSIP.

 In cities, DOE also uses QL1 LiDAR data to support its Visual-Solar Project which aims to accurately model, catalog, and analyze solar energy resources on the nation's rooftops. A function of the Visual-Solar project is its ability to model Building Integrated Photovoltaic (BIPV) resources on a city/county scale. DOE views the ability to quantify this potential energy source as an important step in increasing the penetration of solar energy into the U.S. electrical generation portfolio. LiDAR is used to create high-resolution Digital Surface Models (DSMs), building footprints, and vegetation density products. The use of LiDAR is especially key in supporting efforts to quantify the impact vegetation has on BIPV potential.

• National Land Cover data is outdated and of poor quality for many areas of the nation. The enhanced elevation data would greatly improve the spatial modeling processes used by DOE.

#### Customer service benefits (external) to the public from improved DOE products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
• The LandScan USA program supports the DHS Homeland Security Information Program (HSIP)			
database, which has 110,000 users.			

- As DOE's customer, DHS benefits from accurate modeling of buildings and population densities for emergency response plans and actions/evacuations following an actual emergency. This includes natural emergencies from hurricanes, tornados, earthquakes or wildfires, for example, as well as emergencies from chemical, biological or radiological hazards and/or air-borne diseases, for example, including acts of terrorism.
- Potentially, millions of Americans could benefit when accurate LandScan USA data is used for emergency response plans that are executed efficiently in times of national emergency.

#### Other Benefits from DOE's use of enhanced elevation data for this Functional Activity:

Public/Social: Major		Environmental: None	Strategic/Political: Major
There are major public/so		cial benefits, as well as strategic/pol	itical benefits, when the LandScan
	USA project enables DHS	to be fully prepared and poised to	respond quickly and effectively to
	major emergencies that co	ould affect large segments of our pop	oulation.

#### Site Facility Management

#### **Mission-Critical Requirements:**

Accurate/current topographic data and orthoimagery are the two most critical requirements for facilities management throughout DOE, including multiple DOE sites in GA, ID, IL, KY, NM, NV, NY, SC, TN and WA. QL3 LiDAR data are needed for topographic mapping, evaluation of drainage, vegetation, infrastructure and environmental management, site cleanup and remediation, etc.

Update Frequency: 4-5 years

**Business Use:** Infrastructure and Construction Management, BU#21

Estimated program budgets supported by elevation data at ORNL only: \$1.6M/yr

Quantifiable Benefits of Enhanced Elevation Data:

The ORNL alone estimates efficiency improvements of 20-75% from using LiDAR for Site Facilities Management at an estimated savings of \$560K/yr.



ORNL requires QL3 LiDAR data for site facility management and it is assumed that facility management functions at all other DOE sites have similar elevation data requirements.

#### Operational benefits (internal) to DOE of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: \$560K/yr

- The engineering and surveying contractor that has performed site facility management at ORNL for decades indicates that topographic data is most critical; LiDAR avoids the need for expensive land surveys and saves considerable time otherwise lost in searching for piecemealed information from multiple sources. With one authoritative source of accurate topographic data for various applications, efficiency improvements are estimated between 20% and 75%.
- Based on the ORNL assessment, savings of at least 20% are also assumed for other DOE facilities nationwide, although the aggregated costs for site facility management throughout DOE are unknown.

#### Customer service benefits (external) to the public from improved DOE products/services:

Performar	nce: Unknown	Timeliness: Unknown	Experience: Unknown	\$ Benefits: Unknown
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• Unknown benefits to the public outside the ORNL.

## Other Benefits from DOE's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Minor	Environmental: Moderate	Strategic/Political: Minor
•	• A significant area of responsibility is environmental cleanup of legacy activities, especially at		
	ORNL which processes chemical and nuclear wastes. Having access to uniform and consistent		
	elevation data of this quality and accuracy will greatly enhance the site manager's ability to		
	manage these cleanup and	remediation activities.	

#### **Emergency Management Program Oversight, Response, and Recovery**



EMT's functional activities associated with GIS and Elevation data include the management and maintenance of the ORO Emergency Management Program; coordination of ORR-level activities such as meetings of the Emergency Management Council, Emergency Response Organization (ERO) Training Working Group, Drill and Exercise Working Group, ORR GIS Working Group, and quarterly meetings with the State of Tennessee Emergency Management Agency (TEMA). The EMT also manages and maintains the ORO Emergency Operations Center (EOC), ORR Joint Information Center, ERO Notification System, ORR GIS emergency management mapping system, and the Oak Ridge Operations Center (ORO 24-hour center).

EMT coordinates with TEMA for administration of the emergency management portion of the Tennessee Oversight Agreement and emergency management activities with ORO and provides coordination with offsite stakeholders that include state and local emergency management officials, Region 2 Homeland Security Council, and Local Emergency Planning Commissions.

EMT has previously used QL4 elevation data from imagery for dispersion modeling, flood analysis, and mapping, to support emergency response and recovery planning activities, and to monitor and oversee contractor activities across ORR. These functional activities are managed using the Emergency Management Mapping Application (EMMA) program. EMMA is a mapping application that consists of map imagery on which ORR data layers are maintained by EMT. EMMA is used for both planning and event response activities on the ORR. EMMA is used for response activities that result from construction or demolition events, malevolent or natural phenomena events, or hazardous material releases. If LiDAR data were available, the performance of these tasks would be better.

LiDAR data is not always mission-critical in supporting ORO's current operational functions for emergency management oversight of federally-owned contractor-managed events, but is sometimes mission critical, depending on the nature of the emergency.

#### **Operational benefits (internal) for DOE of enhanced elevation data for this Functional Activity:**

Time/cost savings: Minor	Mission Compliance: Moderate	\$ Benefits: Unknown	
Additional flood analysis and mapping			
• A somewhat enhanced ability to provide site contractor emergency management program			
planning, preparedness, a	planning, preparedness, and response services using elevation data.		

• Enhanced ability to serve the oversight function relative to ensuring safety at federally-owned, contractor-managed events.

#### Customer service benefits (external) to the public from improved DOE products/services:

Performance: Minor	Timeliness: Minor	Experience: Minor	\$ Benefits: None

• Safer surrounding communities.

#### Other Benefits from DOE's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
•	Elevation data in ORR's EMMA program will provide the ERO cadre with an enhanced level of		
	three dimensional data that would enable a more complete oversight of site and contractor		
		and a second	

planning, preparedness, and response services thereby yielding public/social, environmental, and strategic/political benefits for the community.

## **Department of Homeland Security (DHS)**

#### Point of Contact: Chris Barnard, (202) 447-3728

The Department of Homeland Security (DHS) leverages resources within Federal, state, and local governments, coordinating the transition of multiple agencies and programs into a single, integrated agency focused on protecting the American people and their homeland. More than 87,000 different governmental jurisdictions at the Federal, state, and local level have homeland security responsibilities. The comprehensive national strategy seeks to develop a complementary system connecting all levels of government without duplicating effort.

The following DHS agencies and offices have mission-critical requirements for enhanced elevation data:

- The DHS Office of Infrastructure Protection (IP) leads the coordinated national program to reduce and mitigate risk within the 18 national critical infrastructure sectors from acts of terrorism and natural disasters and to strengthen the sectors' ability to respond and quickly recover from an attack or other emergency. IP serves as the Sector-Specific Agency (SSA) for six of the 18 critical infrastructure sectors: chemical; commercial facilities; critical manufacturing; dams; emergency services; and nuclear reactors, materials and waste. Other DHS offices serve as SSAs for information technology; communications; government facilities; transportation systems; and postal and shipping. Additional non-DHS sectors, coordinated by DHS, include: agriculture and food (USDA); banking and finance (Treasury); defense industrial base (DoD); energy (DOE); national monuments and icons (DOI); healthcare and public health (HHS); and water (EPA).
- The U.S. Customs and Border Protection (CBP) has a priority mission of keeping terrorists and their weapons out of the U.S.
- The U.S. Coast Guard (USCG) protects the maritime economy and the environment, defends our maritime borders, and saves those in peril.
- The U.S. Secret Service (USSS) safeguards the nation's financial infrastructure and payment systems to preserve the integrity of the economy, and protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.
- The Federal Emergency Management Agency (FEMA) builds and supports the nation's emergency management system. FEMA's enhanced elevation data requirements and benefits are documented separately.

In addition to FEMA requirements, DHS managers identified four major Functional Activities with mission-critical requirements for elevation data:

- <u>Infrastructure Protection</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response
- <u>Border Protection</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response
- <u>Coastal Search and Rescue</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response, and Business Use #19, Marine Navigation and Safety

• <u>Special Security Events</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response

DHS managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### **Infrastructure Protection**

#### **Mission-Critical Requirements:**

The DHS Office of IP requires QL3 LiDAR for 49 states and U.S. territories and small areas of Alaska, plus QL1 LiDAR for the Atlantic, Pacific and Gulf coasts for maritime awareness and security as well as infrastructure 3-D modeling, simulation, and analyses.

Update Frequency: 6-10 years

**Business Use:** Homeland Security, Law Enforcement, and Disaster Response, BU#17

Estimated program budget: Not available

Quantifiable Benefits of Enhanced Elevation Data:

No credible cost savings can be placed on the dollar value of infrastructure protected from acts of terrorism or natural disasters.



The DHS Office of IP has divisions responsible for: infrastructure information collection; infrastructure analysis and strategy; protective security coordination; contingency planning and incident management; infrastructure security compliance; and partnerships and outreach. To execute their missions, these divisions rely on enhanced elevation data for 3-D modeling, simulation, and analyses, both pre-event and post-event.

#### Operational benefits (internal) to DHS of enhanced elevation data for this Functional Activity:

and identification of surveillance points, for example.

Time/o	cost savings: Major	Mission Compliance: Major	\$ Benefits: Cannot determine
•	Enhanced elevation data p	rovides rapid and improved vulnera	bility assessments for critical
	infrastructure to include v	ulnerabilities based on tsunami and	hurricane tidal surge models,
	explosive blast models, ae	rosol spread models, chemical spill r	nodels, and for viewshed models

- Higher accuracy and higher resolution digital elevation data makes all vulnerability models more accurate and effective in fulfilling their design purposes and allows automated analyses of thousands of "what-if" scenarios for critical infrastructure protection. This is necessary for DHS' mission compliance.
- Having authoritative 3-D data immediately available saves considerable time in searching for the best available data which may otherwise be missing, inconsistent, or of questionable quality.

#### Customer service benefits (external) to private/public partners from improved DHS products/services:

Performance: MajorTimeliness: MajorExperience: Major\$ Benefits: Unknown
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- DHS' 3-D models, used for simulation and analysis of risks to critical infrastructure, depend upon accurate and current elevation data. The performance of 2-D models is not nearly as effective as 3-D models that include both the Digital Terrain Model (DTM) of the bare earth terrain and the Digital Surface Model (DSM) of top surfaces of trees and buildings. DSMs are needed for viewshed and line-of-sight analyses and aerosol spread models, for example, whereas DTMs are needed for analysis of chemical spills, floods, and hurricane tidal surges.
- Immediately following an actual event, the availability of accurate pre-event 3-D models is invaluable for rapid damage analysis, response and recovery, when compared with post-event data. Whenever time is of the essence, the availability of accurate 3-D models saves lives and property.

#### Other Benefits from DHS's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Major	Environmental: Minor	Strategic/Political: Major
٠	National enhanced elevation data give DHS the ability to be proactive in using 3-D models to		
	assess vulnerabilities of critical infrastructure, to mitigate risks from terrorism or natural		
	disasters, and to respond rapidly in times of emergency. Although dollar benefits cannot be		
	quantified, this has tremendous public/social value as well as strategic/political value.		

• Americans consistently list homeland security as their highest priority goal. Dollars spent on mitigation of risks from terrorism or natural disasters have a high return on investment, but this return cannot be quantified (pre-event) in terms of damages avoided.

#### **Border Protection**



The CBP previously had the Secure Border Initiative (SBInet) virtual fence designed to detect, track, and apprehend illegal aliens. After spending \$1B on the SBInet for 53 miles in Arizona, the project was cancelled in favor of human patrols and drones, stating that the project cost too much and was not achieving enough. The CBP would have been able to avoid duplicative procurements which would have resulted in considerable cost avoidance if they had access to LiDAR data for improved siting and placement of SBInet towers and sensors. Today, savings from LiDAR would support human patrols and drones, but cost savings cannot be estimated.

#### **Operational benefits (internal) to CBP of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: Unknown

- With QL3 LiDAR, the CBP would be able to determine where sensors should be placed for optimum effect and where human patrols could physically observe border intrusions with infrared sensors and night vision goggles, for example. The safety of border patrol agents would also be improved.
- Better understanding of vulnerabilities to better deploy field agents; better understanding of terrain to locate sensor systems; these combined efforts lead to increased interdictions and seizures.
- Search and rescue operations would also benefit from enhanced terrain data, allowing rescue teams to better understand the terrain before and during missions and potentially saving lives.

#### Customer service benefits (external) to the public from improved CBP products/services:

• Enhanced elevation data would improve border security for border area residents and the American public at large.

#### Other Benefits from CBP's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: None	Strategic/Political: Moderate	
<ul> <li>Socially and politically,</li> </ul>	Socially and politically, the public will be better served when enhanced elevation data are		
available to provide a	available to provide a cost-effective way to use terrain knowledge to maximum effect in border		
security.			

• Enhanced elevation data would improve public perception of government actions to address the issues of illegal immigration and smuggling.

#### **Coastal Search and Rescue**



Using its National Distress and Response System (NDRS), the USCG normally saves nearly 5,000 lives annually, but the NDRS has many limitations. The new NDRS Modernization Project (*Rescue 21*) has improved abilities to assist mariners in distress. *Rescue 21* uses digital elevation data of near shore topography, and direction-finding radios and communication towers along coastal areas, to triangulate to a vessel in distress and determine its location with accurate range and bearing from communication towers.

#### Operational benefits (internal) to the USCG of enhanced elevation data for this Functional Activity:

Time/cost savings: Major Mission compliance: Moderate	\$ Benefits: Cannot determine
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• By rapidly determining the location of mariners in distress, the USCG will experience significant (and often critical) time savings in deploying rescue vessels or aircraft to distress locations.

#### Customer service benefits (external) from improved USCG products/services:

Performance: Moderate	Timeliness: Major	Experience: Moderate	\$ Benefits: Unknown

• *Rescue 21* will save additional lives and property at sea by taking the "search" out of search and rescue; but cost savings due to elevation data cannot be determined.

#### Other Benefits from USCG's use of enhanced elevation data for this Functional Activity:
Public/Social: Major	Environmental: None	Strategic/Political: Major
	Environmental. None	Strategic/ i ontical. Major

• *Rescue 21* will provide the U.S. with a 21<sup>st</sup> century maritime command, control, and communications (C3) system that encompasses the U.S. By replacing outdated technology with a fully integrated C3 system that improves interoperability, *Rescue 21* will protect mariners while helping defend the nation's coasts.

# **Special Security Events**



The USSS protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events. Whether performing investigative operations or protective operations, the USSS needs rapid access to accurate, current, and consistent elevation data of the physical environment. Elevation data are used for 3-D modeling, viewshed analyses, and placement of surveillance equipment and/or agents, without revealing unpublicized, sensitive areas by deploying agents on the ground prior to the arrival of national leaders or visiting heads of state and government.

# Operational benefits (internal) to the USSS of enhanced elevation data for this Functional Activity:

- Accurate and current elevation data are required to determine, from an office environment, where to place surveillance devices that will not be blocked by unexpected berms, buildings or trees, and/or where to place USSS agents. The USSS can perform its mission most effectively when it is not surprised by an actual physical environment that is changed from the expected physical environment.
- Nationwide, consistent datasets would reduce the time spent finding, validating, and manipulating data from disparate sources.

# Customer service benefits (external) from improved USSS products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
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• The American public is best served when the USSS succeeds 100% of the time in its mission. Other Benefits from USSS' use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: None	Strategic/Political: Major

• Public and political benefits are high when the USSS consistently protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.

# **Environmental Protection Agency (EPA)**

# Point of Contact: Jerry Johnston, (202) 564-3175

# The mission of EPA is to protect human life and the environment. EPA's purpose is to ensure that:

- All Americans are protected from significant risks to human health and the environment where they live, learn, and work;
- National efforts to reduce environmental risk are based on the best available scientific information;
- Federal laws protecting human health and the environment are enforced fairly and effectively;
- Environmental protection is an integral consideration in U.S. policies concerning natural resources, human health, economic growth, energy, transportation, agriculture, industry, and international trade, and these factors are similarly considered in establishing environmental policy;
- All parts of society communities, individuals, businesses and state, local and tribal governments – have access to accurate information sufficient to effectively participate in managing human health and environmental risks;
- Environmental protection contributes to making our communities and ecosystems diverse, sustainable, and economically productive; and
- The United States plays a leadership role in working with other nations to protect the global environment.

EPA's Annual Plan for FY 2012 identifies five major goals and budget requirements.

No.	Goal Description [Mission-critical requirements for enhanced elevation data]
1	Take action on climate change, improve air quality, and develop adaptation strategies to address climate change. [For this goal, accurate elevation data are mission-critical for assessing potential impacts of sea level rise.]
2	Protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants, wildlife, and economic, recreational and subsistence activities. [For this goal, accurate elevation data are mission-critical for land cover characterization, hydrologic modeling and runoff modeling used in decision support tools for diverse EPA activities, including protection of the nation's critical water infrastructure from terrorist threats.]
3	Clean up communities, advance sustainable development, and protect disproportionately impacted low-income, minority, and tribal communities; prevent releases of harmful substances and clean up and restore contaminated areas. [For this goal, accurate elevation data are mission-critical for decision support tools for assessment and cleanup of Brownfields and other contaminated lands, and for emergency preparedness and response activities.]
4	Ensure the safety of chemicals, prevent pollution, reduce the risk and increase the safety of chemicals and prevent pollution at the source. [Accurate elevation data are mission-critical for modeling the spread of point-source and non-point-source pollution.]
5	Enforce environmental laws; protect human health and the environment through vigorous and targeted civil and criminal enforcement. [Accurate elevation data are mission-critical for targeted sites.]

EPA managers identified three major Functional Activities with mission-critical requirements for elevation data:

- <u>Sea Level Rise (SLR) Vulnerability Assessments</u>, under Business Use #15, Sea Level Rise and Subsidence
- <u>Environmental Protection, Land Cover Characterization, and Runoff Modeling</u>, under both Business Use #1, Natural Resources Conservation, and Business Use #2, Water Supply and Quality
- <u>Broad Area Air and Water Quality Research</u>, under Business Use #2, Water Supply and Quality, and Business Use #23, Health and Human Services

EPA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

# Sea Level Rise (SLR) Vulnerability Assessments

#### **Mission-Critical Requirements:**

High accuracy QL2 LiDAR datasets are missioncritical for spatially explicit vulnerability maps and estimates of populations, land cover types, infrastructure and economic activity affected by SLR, and steps taken to mitigate these vulnerabilities.

#### Update Frequency: 6-10 years

**Business Use:** Sea Level Rise and Subsidence, BU#15

Estimated program budget supported by elevation data: \$280M/yr (President's Budget for FY12)

Quantifiable Benefits of Enhanced Elevation Data:

Estimated financial benefits to EPA, \$5.6M/yr

Coastal communities stand to save millions to billions of dollars per year by taking proactive steps to mitigate SLR and by informed siting of drinking water, waste water, and other infrastructure facilities.



As explained in *Analysis of LiDAR Elevation Data for Improved Identification of Lands Vulnerable to Sea Level Rise*, by Dean Gesch, Journal of Coastal Research, 2009, LiDAR is essential for determining which lands are currently at elevations that would be inundated by rising tide levels due to SLR, and for determining what populations, economic activities, infrastructure, and total property values will be subject to inundation without additional shoreline protection. Mr. Gesch states: "In regions that will have a simple inundation response to rising seas, elevation is the most important factor in assessing potential impacts – especially if the potential inundation area is used as a mask to generate estimates of affected populations, land cover types, infrastructure, or economic activity." LiDAR QL2 data is accurate to 0.6 ft (18.2 cm) at the 95% confidence level. Less-accurate elevation data in the National Elevation Dataset (NED) estimated 457,799 people would be impacted in a defined study area in North Carolina, compared with 102,503 people impacted when accurate LiDAR data was used. Environmental Impact Assessments (EIAs) that affect entire populations should not be based on inaccurate elevation data with high levels of uncertainty that cause undue alarm and over-reaction.

# **Operational benefits (internal) to EPA of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$5.6M/yr		
<ul> <li>EPA's EIAs depend upon ac</li> </ul>	EPA's EIAs depend upon accurate elevation data for vulnerability mapping and estimates of SLR			
threats to human population	threats to human populations, infrastructure, and the natural environment, including coastal			
wetlands, marshes, and su	b-aquatic vegetation that affect the	fish and shellfish industries.		
Credible EIAs cannot be pe	erformed without accurate LiDAR da	ta.		

• In support of EPA Goal No. 1, if EPA's Science and Technology programs, in the President's FY12 Budget at \$280M/yr, experienced a 2% improvement in efficiency and/or effectiveness by using LiDAR QL2 data identified as mission-critical, the annual cost benefit to EPA would be \$5.6M.

# Customer service benefits (external) to the public from improved EPA products/services:

Perfor	mance: Major	Timeliness: Major	Experience: Major	\$ Benefits: \$billions
•	High-accuracy and redistributable elevation data is also mission-critical to other Federal, state			
	and local governments responsible for climate change policy, planning, and response to			
	predicted SLR.			
•	• The populations, economic activities, infrastructure, and total property values subject to			
	inundation are at the local level where steps will need to be taken to protect shorelines and			
	infrastructure sub	ject to future inundation f	rom SLR. Certainly, future of	construction of drinking
	water, stormwate	er, sewer, and sanitary facil	ities, and other public infra	structure projects must
	be based on accu	rate estimates of SLR.		

- In 2009, Governor Schwarzenegger asked for LiDAR mapping of all California coastlines so that the state could analyze risks from SLR and develop plans to mitigate SLR losses assumed to be in the billions of dollars for California alone.
- Dollar benefits are imprecise but estimates are that billions of dollars would be saved by state and local communities and citizens from having accurate elevation data on which to base their SLR mitigation activities.

# Other Benefits from EPA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Moderate		
• The public will benefit socially, environmentally, and strategically from increased public safety				
and planned infrastructure	and planned infrastructure development that avoids risks of floods or inundation from the			
future, predictable effects	of SLR.			

# **Environmental Protection, Land Cover Characterization, and Runoff Modeling**

#### **Mission-Critical Requirements:**

High accuracy QL2 LiDAR datasets are missioncritical for EPA to understand urban area modeling, to understand characteristics and hydrodynamics of streams and estuaries, and to make decisions on how to protect and/or restore the air we breathe, the water we drink, and/or the environment that sustains us. QL5 IFSAR data is required for Alaska.

Update Frequency: 4-5 years

Business Use: Natural Resources Conservation, BU#1 and Water Supply and Quality, BU#2

Estimated program budget supported by elevation data: \$544M/yr (President's Budget for FY12)

# Quantifiable Benefits of Enhanced Elevation Data:

Estimated financial benefits to EPA, \$10.9M/yr. Benefits to states and local communities difficult to quantify, but likely to be millions of dollars per year (assumed to be \$2M/yr).



Combined with multispectral imagery, high-accuracy LiDAR, slope and aspect data are mission-critical for urban area modeling (relevant to Clean Water Act and Clean Air Act activities), land use/land cover (LU/LC) mapping, land cover characterization, and runoff modeling.

Hydrologic modeling is widely recognized as a "killer application" for LiDAR data, relied upon for definition of watersheds, catchment areas, wetlands, and swamps; for nutrient loading from farm runoff and industrial point source and non-point source pollution; and for wellhead protection (pro-active management of land to assess and mitigate potential risks posed to well water quality).

Is water safe for drinking and swimming? Are fish and shellfish safe to eat? High-accuracy elevation datasets are mission-critical for improving water quality on a watershed basis, for managing the benefits of wetlands, and for improving the health of the Great Lakes, the Chesapeake Bay ecosystem, the Gulf of Mexico, Long Island Sound, and Puget Sound – all considered to be high priority by EPA.

# Operational benefits (internal) to EPA of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: \$10.9M/yr	
<ul> <li>In support of EPA Goals I</li> </ul>	In support of EPA Goals No. 2, 3, 4 and 5, if EPA's Science and Technology programs, budgeted		
at \$544M/yr, experience	d a 2% improvement in efficiency and	d/or effectiveness for EPA by using	
LiDAR QL2 data identifie	d as mission-critical, the annual cost b	penefit to EPA would be \$10.9M.	
<ul> <li>For environmental asses</li> </ul>	sments, EPA needs high-accuracy, hig	h-resolution topographic data to	
characterize the landsca	pe for both environmental protection	and assessment of ecosystem	
services. Currently, the c	lata are available piecemeal in patche	es around the country. Nationwide	

QL2 LiDAR is mission-critical for providing an accurate and consistent approach across states for definition and mapping of designated use zones for application of water quality criteria and more-cost effective derivation of nutrient criteria, for example. EPA would not need to perform extensive research to identify the best available datasets, but would know where to go to obtain the most accurate and credible source of elevation data used in diverse environmental models.

# Customer service benefits (external) to the public from improved EPA products/services:

Performance: Mind	or	Timeliness: Major	Experience: Major	\$ Benefits: \$2M/yr
Nationwide	• Nationwide QL2 LiDAR is also mission-critical for providing states and local communities with an			
	pplicati	ion of water quality criteria	tes for definition and mapp a and more-cost effective d	<b>v</b>

- States receive grants from EPA and receive credit for best management practices (BMPs). States and local partners currently perform sampling of small areas only; each sample survey entails expensive surveys of vegetation locations and conditions, for example, recording data on field sheets. Then, actions are taken to extrapolate sampled data to pertain to broad areas.
- High-accuracy LiDAR data would enable science-based assessments to be made much more efficiently and credibly over broad areas, benefiting from decision-support tools and data periodically updated.
- We estimate that financial benefits to the states and local communities will be on the order of millions of dollars per year (assumed \$2M/yr for the Benefit/Cost Analysis).

# Other Benefits from EPA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major		Environmental: Major	Strategic/Political: Major	
•	LiDAR significantly reduces uncertainty in all environmental assessments, improves public			
	confidence in EPA's assessments, and enables appropriate steps to be taken to protect and/or			
	restore the environment			

• National coverage of QL2 LiDAR will permit greatly expanded geographic coverage of EPA science, generating new public, social, environmental, and political benefits,

# **Broad Area Air and Water Quality Research**



In addition to environmental assessments that require high-accuracy and high-resolution LiDAR data, the EPA also performs research and environmental assessments of broad areas that require consistent, nationwide coverage of mid-accuracy elevation data that can be generated from platforms such as airborne IFSAR.

# **Operational benefits (internal) to EPA of enhanced elevation data for this Functional Activity:**

Time/cost savings: Minor		Mission compliance: Moderate	\$ Benefits: \$280K/yr
•	Airborne IFSAR data, both DTMs and DSMs, improve the accuracy of EPA models for air and		
	water quality research, wit	hout requirements to store and pro	cess large LiDAR datasets.
•	Because the elevation data	a is mission critical, the value of the	IFSAR data was estimated at 10%
	of the annual budget for a	ir and water quality research.	

# Customer service benefits (external) from improved EPA products/services:

Performance: Moderate	Timeliness: Minor	Experience: Moderate	\$ Benefits: Unknown
A With notice wide IECAD data, the National Cooperation Dreamers will be able to better most the			

 With nationwide IFSAR data, the National Geospatial Program will be able to better meet the diverse mission needs of EPA customers across the agency.

# Other Benefits from EPA's use of enhanced elevation data for this Functional Activity:

|--|

• Improved air and water quality research for environmental protection

# Federal Aviation Administration (FAA)

Point of Contact: Joseph (Jay) Jackson, (301) 427-5121

The mission of the Federal Aviation Administration (FAA) is to provide the safest, most efficient airspace system in the world. The FAA provides a safe, secure, and efficient global airspace system that contributes to the promotion of U.S. airspace safety and national security. As the leading authority in the international airspace community, FAA is responsive to the dynamic nature of stakeholder needs, economic conditions, and environmental concerns.

The FAA Flight Plan is the strategic plan for the agency, including goals and objectives. This Plan states: "Our goal is to ensure the success of the FAA's mission through stronger leadership, better-trained and safer workforce, enhanced cost-control measures, and improved decision-making based on reliable data." Among many different types of data, *reliable data* includes accurate and reliable real-time geopositioning of aircraft, accurate mapping of terrain features to maintain minimum safe altitudes, and high-accuracy, high-density mapping of terrain, buildings, towers, trees, and other potential obstacles for terminal instrument procedures, especially when flying under instrument flight rules during adverse weather conditions.

Two major safety objectives are: (1) reduce commercial air carrier fatalities, and (2) reduce general aviation fatalities. Reductions of general aviation accidents in Alaska are specifically mentioned in this Plan to minimize Controlled



Flight into Terrain (CFIT) accidents when failures occur and backup safeguards are inadequate, resulting in pilots flying their aircraft into situations where they are not aware of their surroundings. Accurate onboard digital terrain information is seen as vital for avoidance of CFIT accidents.

The FAA Flight Plan describes "NextGen," the Next Generation Air Transportation System that is the overhaul of America's air traffic control system. In NextGen, FAA will utilize a comprehensive Safety Management System (SMS) in each new system, comprised of complementary and integrated SMS within FAA Lines of Business.

FAA managers identified two major Functional Activities with mission-critical requirements for enhanced elevation data:

- <u>Terminal Instrument Procedure Development</u>, under Business Use #20, Aviation Navigation and Safety
- <u>Enroute Instrument Procedure Development</u>, under Business Use #20, Aviation Navigation and Safety

FAA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

# **Terminal Instrument Procedure Development**

#### **Mission-Critical Requirements:**

QL1 LiDAR data are required of airfield terminal areas for development of aviation instrument approach and departure procedures, to include hardcopy and digital Visual Flight Rule (VFR) and Instrument Flight Rule (IFR) charts, for safe navigation around or above obstacles near airfields.

Update Frequency: 4-5 years

Business Use: Aviation Navigation and Safety, BU#20

**Estimated program budgets supported by elevation data:** \$729M/yr for Mission Support Services.

# Quantifiable Benefits of Enhanced Elevation Data

FAA and the aviation community would save an estimated \$22M/yr by using LiDAR for this purpose. Commercial and general aviation would have superior Terminal Instrument Procedures (TERP) and would presumably fly safer routes.



The FAA develops and maintains approach and departure procedures for over 4,100 airfields in the U.S. and its territories. It is most important for the FAA to know the elevations of the bare earth terrain, and secondarily to know the elevations of any features above the bare earth in defined areas of terminal air space. The FAA maintains an Interagency agreement with the National Geodetic Survey (NGS) to survey and validate 3<sup>rd</sup> party surveys of potential obstacles along sloped parallelograms extending outward from the ends of runways, with lesser accuracy required beyond 5 miles of the runways. The FAA strives to ensure that growing trees and new manmade structures (e.g., buildings, towers) do not encroach upon flight paths within specified tolerance levels. The FAA needs high-accuracy, high-density elevation data to reduce requirements for expensive ground surveys for assessing obstacle clearance around airfields and designing aviation instrument approach and departure procedures which allow aircraft to safely navigate around or above obstacles. The FAA uses such elevation data for production of analog Visual Flight Rule (VFR) Aviation Charts, Enroute Aviation charts, Instrument Flight Rule (IFR) Aviation Charts, as well as digital IFR, Enroute and VFR charts.

# Operational benefits (internal) to FAA of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: \$12M/yr

- With QL1 LiDAR, FAA would experience major database improvements. Such data would allow the obstacle database to become eTOD (Electronic Terrain and Obstacle Database) compliant.
- A lot of time is currently spent verifying locations and vertical accuracies of obstacles, airport environments, and MSAW (Minimum Safe Altitude Warning) sites; having a single, complete,

and standardized DEM would greatly reduce the time spent verifying discrepancies or lack of data. For each airfield, with a single accurate and authoritative source of elevation data, FAA's Aeronautical Information Specialists would save a majority of their time now spent researching multiple data sources to identify and update information for its aeronautical charts and digital datasets listed above. One manager stated: "The efficiency gains from time spent looking for sources of data coverage would be major." An estimate could be translated into annual cost savings of \$4M/yr.

- If QL1 LiDAR, with 8 points per square meter, can be demonstrated to consistently identify and map vertical obstacles currently identified and mapped by NGS land surveyors with theodolites and GPS receivers, then an estimated \$5M/yr could be saved from costs currently spent for land surveys of potential obstacles.
- A national LiDAR elevation dataset will help minimize potential impacts of the current 4-D site elevations on IFR arrival and departure procedures during Obstruction Evaluation (OE) aeronautical studies. The OE aeronautical study processes and the severity of any IFR effects may be eliminated or reduced with more accurate site elevations (estimated savings \$3M/yr).

# Customer service benefits (external) to the public from improved FAA products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: \$10M/yr		
• Stakeholders of FAA products and services include air carriers; airport authorities; shippers;					
foreign, state and local governments; aerospace manufacturers; military aviation; commercial					
space launch compai	space launch companies; and others such as the National Transportation Safety Board (NTSB),				
OMB, and Congress.	OMB, and Congress.				
The FAA's eCommerce	e representative determin	ed the number of downloa	ads that occur annually		
from an FAA web site	e where pilots or others do	wnload aviation products	that rely on elevation		
data to be 60,000 pe	r week.				
When available, QL1	LiDAR data for terminal air	r space will provide major l	penefits for all of the		
above-listed stakeholders, especially air traffic controllers and airport operators who serve these					
stakeholders (estima	ted benefit \$3M/yr).				
<ul> <li>Increased vertical an</li> </ul>	d horizontal accuracy of ae	ronautical products result	s in improved IFR		
instrument approach	procedures, lower minimu	um vectoring altitudes in b	oth the terminal and		
low enroute environ	ments, and increases the n	umber of GPS-derived terr	ninal approach		
procedures. This imp	roves operational efficience	ties and reduces aviation fu	uel consumption by		
commercial and milit	ary aviation (estimated be	nefit \$4M/yr).			
<ul> <li>Savings for airport ov</li> </ul>	wners who currently procu	re elevation data from con	sulting contractors;		
major time/cost savi	ngs for airport design and o	obstruction analysis (estim	ated benefit \$3M/yr).		

# Other Benefits from FAA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major	
Safer flights improve public safety and promote strategic/political goals.			

• Continuous descent profiles increase fuel efficiency and lower aircraft noise footprints.

# **Enroute Instrument Procedure Development**

#### **Mission-Critical Requirements:**

Nationwide QL5 IFSAR is required to meet DEM accuracy requirements called for in International Civil Aviation Organization (ICAO) Annex 15 for Area 2.

Update Frequency: 4-5 years

Business Use: Aviation Navigation and Safety, BU#20

Estimated program budgets supported by elevation data: \$3M/yr (creation and maintenance of Minimum Safe Altitude Warning (MSAW) and General Terrain Monitor (GTM) maps

# Quantifiable Benefits of Enhanced Elevation Data:

FAA would realize improved accuracies compared with lower levels of DEMs currently used. The value of safer enroute navigation can't be estimated.



Standard and Recommended Practices for eTOD are documented in Annex 15 of the International Civil Aviation Organization (ICAO). eTOD Area 1 covers the whole country and its DEM requirements are the least demanding (i.e., 90 meter DEM post spacing and 60 meter [200 ft] equivalent contour accuracy). eTOD Area 2 covers established terminal control areas, (out to a maximum of 45 km radius from the Aerodrome Reference Point (ARP) if no terminal control area is established), and requires mid-accuracy DEMs, i.e., 30 meter DEM post spacing and 6 meter (20 ft) equivalent contour interval. However, because of the density of thousands of airfields with 45 km buffers, the gaps between the thousands of 45-km buffers are so small that it is more economical to specify that mid-accuracy DEMs are required nationwide. Because airborne IFSAR delivers DEMs with 5-meter post spacing and 20-ft equivalent contour accuracy, this data is ideal for enroute navigation and approaches prior to entering that portion of terminal air space with 5 nautical mile buffers around ARPs where LiDAR data is needed to satisfy accuracy requirements.

The Sector Design and Analysis Tool (SDAT) provides airspace specialists with an FAA-owned tool that has application throughout the lifecycle of an airspace project. SDAT is used in more than 50 facilities throughout the National Airspace (NAS) for problems ranging from airspace visualization and documentation to sector analysis and data translation for a full scale airspace redesign project

# Operational benefits (internal) to FAA of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: Can't quantify
Easter production of more-accurate FAA MSAW/GTM products.		

• More reliable standardized DEM dataset for terrain verification.

# Stakeholder service benefits (external) to the public from improved FAA's products/services:

Performance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: Can't quantify
• An improved MC/	Weyetam anabled by acc	urata alguation data alg	arly honofits the airlines and

• An improved MSAW system, enabled by accurate elevation data, clearly benefits the airlines and the entire flying public.

# Other Benefits from FAA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate Environmental: Major Strategic/Political: Major
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• More precise products will economize flights while improving public safety.

# Federal Bureau of Investigation (FBI)

# Point of Contact: Patrick Hall, (202) 324-7579

The overall mission of the FBI is to uphold the law through the investigation of violations of Federal criminal statutes; to protect the United States from hostile intelligence efforts; to provide assistance to other Federal, state, and local law enforcement agencies; and to perform these responsibilities in a manner that is faithful to the Constitution and laws of the United States.

FBI managers identified the following major Functional Activity with mission-critical requirements for elevation data:

• <u>3-D Modeling and Analysis</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response

FBI managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

# **3-D Modeling and Analysis**



NGA provides QL2 LiDAR to the FBI and others as required.

# Operational benefits (internal) to the FBI of enhanced elevation data for this Functional Activity:

- LiDAR data enables the FBI to perform topographic mapping, area familiarization, and 3-D modeling and analysis of sites as incidents occur.
- Knowing exactly where to go for accurate and consistent elevation data saves time for the FBI in not having to research to determine the best available data from potentially dozens of diverse sources of questionable quality and currency.

# Customer service benefits (external) to private/public partners from improved FBI products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Cannot determine

• LiDAR data enables the FBI to provide better 3-D modeling and analysis to tactical teams and also better quality court room presentations.

# Other Benefits from the FBI's use of enhanced elevation data for this Functional Activity:

Public/Social: None Environmental: None	Strategic/Political: None
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# **Federal Communications Commission (FCC)**

# Point of Contact: Don Campbell, (202) 418-2405

It is the FCC's mission to "make available so far as possible, to all the people of the United States, without discrimination on the basis of race, color, religion, national origin, or sex, rapid, efficient, nationwide and worldwide wire and radio communication services with adequate facilities at reasonable charges."

An FCC statement of principles says: "Broadband service can be an indispensable engine for unleashing innovation and investment, spurring job creation and economic growth, and ensuring our country's global competitiveness. Working to make sure that America has world-leading high-speed broadband networks – both wired and wireless – lies at the very core of the FCC's mission in the 21<sup>st</sup> century."

During the past two decades, the FCC raised over \$52 billion through spectrum auctions and worked through tectonic industry developments that changed America from a hardwired voice-oriented nation to a hybrid voice-data, wireless world power.

Improved spectrum management and frequency coordination impact the following FCC goals:

- Develop and implement a National Broadband Plan
- Reform Universal Services
- Ensure spectrum availability and efficiency for future economic growth and U.S. competitiveness
- Spur broadband deployment
- Protect and empower consumers
- Foster public safety efforts

FCC managers identified a single Functional Activity with mission-critical requirements for elevation data:

• <u>Spectrum Management and Frequency Coordination</u>, under Business Use #27, Telecommunications

FCC managers provided the following assessments of elevation data requirements and benefits received from the Quality Level 5 IFSAR data that they identified as mission-critical. Summarized details are provided in the following pages.

# **Spectrum Management and Frequency Coordination**

#### **Mission-Critical Requirements:**

QL5 IFSAR data are needed to determine lineof-sight conditions between transmit and receive locations and as inputs to automated propagation prediction software. Consistent, nationwide coverage of DTMs (and potentially DSMs) from airborne IFSAR are superior to NED data which is inconsistent and obsolete.

Update Frequency: >10 years

Business Use: Telecommunications, BU#27

Estimated program budget supported by elevation data: \$92M/yr

Quantifiable Benefits of Enhanced Elevation Data:

Benefits to FCC and applicants include simplified, consistent and reliable processes for frequency interference analyses, but dollar benefits to FCC and its customers cannot be estimated.



# Operational benefits (internal) to FCC of enhanced elevation data for this Functional Activity:

Time/cost savings: Minor	Mission Compliance: Major	\$ Benefits: None for FCC	
Better spectrum manageme	ent, frequency coordination and lice	ensing of non-Federal radio	
communications facilities will be possible when using accurate and consistent elevation data			
nationwide.			

#### Customer service benefits (external) to the public from improved FCC products/services:

Perfor	mance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
•	• More accurate propagation studies by can be performed by applicants for radio licenses.			
•	Simpler and quick	er approvals will be possib	le when the FCC and applic	ants all use the same,

nationwide coverage of IFSAR data for frequency interference analyses.

• Better use will be made of the spectrum, benefitting all who use broadband services for improved productivity and competitiveness.

#### Other Benefits from FCC's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Moderate
The public benefits when elevation data helps to harness communications technologies		
economic growth, job crea	tion, U.S. competitiveness, and pub	lic safety.

# Federal Emergency Management Agency (FEMA)

# Point of Contact: Paul Rooney, (617) 832-4719

FEMA's mission is to support our citizens and first responders to ensure that as a nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards.

FEMA is the Federal agency charged with building and supporting the nation's emergency management system. The range of FEMA's activities is broad and spans the life cycle of disasters. The disaster life cycle describes the process through which emergency managers prepare for emergencies and disasters, respond to them when they occur, help people and institutions recover from them, mitigate their effects, reduce the risk of loss, and prevent disasters from occurring.

The National Flood Insurance Program (NFIP) was established in 1968 to reduce future flood damage through hazard identification and mapping, effective community floodplain management, and insurance protection for property owners. FEMA's management of the NFIP has evolved to best manage mounting flood losses and escalating costs of disaster relief. As originally conceived, the NFIP was the means to get communities and citizens to understand their risk from flooding and to mitigate against future flood damage. Congress provided the incentives to do this by encouraging community participation, discounting premiums for structures built prior to the publication of a Flood Insurance Rate Map (FIRM) for their community, mandating the purchase of flood insurance, and authorizing grant programs to mitigate repetitively damaged structures. The NFIP's flood risk identification and floodplain management land use and building standards <u>reduced the costs and consequences of flooding by an estimated \$14 billion from 2000 through 2010.</u> It would be difficult to comprehend what the costs of flooding would be for all levels of government if these standards were not in place.

FEMA also manages multiple programs for disaster preparedness, response and recovery operations, to include the Hazard Mitigation Assistance Program, Individual Assistance Program, and Public Assistance Program.

FEMA managers identified one major Functional Activity with mission-critical requirements for elevation data:

• Flood Risk Analysis, under Business Use #14, Flood Risk Management

FEMA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level for flood risk analysis. Time limitations and significant disaster response activities during the development of this report prevented a detailed analysis of the benefits for Disaster Preparedness, Response and Recovery. Summarized details are provided in the following pages.

# **Flood Risk Analysis**



Accurate elevation data is vital for FEMA's floodplain modeling and mapping processes that result in Flood Insurance Rate Maps (FIRMs). As a result, FEMA has committed to using only high quality elevation data for new flood map projects. Elevation data are used to plot the location of the special flood hazard area (SFHA) boundaries shown on the FIRMs. Prior to the availability of LiDAR data, photogrammetrically derived contour maps along stream corridors and detailed field surveys were used to develop these boundaries. The availability of LiDAR data reduces (but does not eliminate) the need for field surveys and high accuracy data over larger areas increases the accuracy of the flood hazard boundaries. It facilitates the automated plotting of flood hazard boundaries, making the FIRMs more efficient to create and update. For most of the last decade, FEMA set the standards for LiDAR data nationwide. LiDAR data plays a crucial role in hydrologic modeling of watersheds, hydraulic modeling of floodplains, and delineation of SFHA boundaries; and LiDAR provides accurate and credible data for proactive floodplain management.

Operational benefits (internal) to FEMA of enhanced elevation data for this Functional Activity:

Time/cost savings: Major		Mission Compliance: Major	\$ Benefits: \$13.5M/yr
•	FEMA spent approximately	x \$20M annually for LiDAR data in F	(10 and FY11 and expects to
	spend approximately \$13N	A annually in future years to obtain t	the high quality elevation data
	needed. A national progra	am would eliminate the need for FE	MA to spend these funds.

- Use of high accuracy digital elevation data has already made FEMA flood risk products much more accurate and efficient to produce. Flood hazard boundary delineation can be automated and cross sections can be cut from the digital elevation data models, thereby reducing the need for field surveys. More accurate floodplain boundaries result in reduced requests from property owners for Letters of Map Change to better reflect ground conditions.
- Management, archiving, and distribution of digital elevation data is a significant effort and expense. While FEMA has archive responsibilities related to the statutory identification of flood hazards, a national enhanced elevation program with a robust data management capability would allow FEMA to reduce its operational costs for elevation data management This might allow FEMA to realize an initial investment of \$2M in data management system infrastructure and annual savings of \$0.5M.
- Having the data available immediately would cut close to a year off the production time for a flood map update. Shortening the time it takes from the beginning of a flood map update to completion would have a variety of benefits for the currency of flood data and the management of the mapping program.
- <u>Example</u>: The following graphics illustrate the improvements in map accuracy attained by the use of LiDAR data. Over 300 structures, some of which are outlined in red in the example, were removed from the SFHA in Towns County, GA, through the use of higher accuracy LiDAR data. In other locations, additional structures are added to SFHAs as a result of more-accurate modeling.



# Customer service benefits (external) to the public from improved FEMA products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits:	Cannot be
			determined	

- FEMA's flood hazard maps are used an estimated 15 million times annually for state and community floodplain management regulations, for calculating flood insurance premiums, and for determining whether property owners are required by law to obtain flood insurance as a condition of obtaining mortgage loans or other Federal or federally related financial assistance. People purchase needed flood insurance when they trust the accuracy/currency of the FIRMs.
- Property owners can request that FEMA remove a structure or parcel from a designated Special Flood Hazard Area (SFHA). Currently requests must be accompanied by building elevations

certified by a licensed surveyor (average cost to requesters is >\$500), which verifies the elevation of the lowest elevation of the ground around the structure or the lot. This information is compared to the water surface elevation of the 1% annual chance flood (i.e., the BFE) to determine if the structure or parcel can be removed from the SFHA. In some cases, FEMA may be able to accept ground elevation information from accurate LiDAR data rather than from a survey. This would result in a significant time and cost savings for the requestor. FEMA typically processes more than 15,000 requests of this type each year. While this approach might only apply to a fraction of that number, the benefit would be significant.

- LiDAR data allows FEMA to produce products that were not possible with older technologies (i.e., flood depth grids). These new products will allow homeowners and communities to understand and manage their flood risk more effectively.
- Availability of enhanced elevation data nationally, not just where FEMA acquires it to support a specific map update need, is likely to lead to innovative tools that build on FEMA flood risk data, and make it more powerful, effective, and easier to use. For example, commercial web sites might allow users to visualize a variety of flood levels in 3-D, or compare the life-time risk of flooding between various locations.
- As mentioned above, a national enhanced elevation program would reduce the length of a flood map update. This would have significant benefits for the communities and citizens who are customers of the NFIP. The community officials will be better engaged with the map update process if it is completed more quickly and affected communities and homeowners will receive updated information sooner.

# Other Benefits from FEMA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate		Environmental: Moderate	Strategic/Political: Major
٠	FEMA expects that its ele	vation data will have many other	applications for public safety, risk
	communication, environm	ental protection and other applicati	ons.

- FEMA also expects that the increased flood map accuracy resulting from the use of high quality elevation data has significant strategic and political benefits when there are questions about the scientific and technical basis of FEMA's flood maps.
- FEMA also expects that using a standard public data set will have strategic benefits in the perception of the data as unbiased and scientifically based. USGS is well respected as the authoritative source for mapping in the U.S. Using a national enhanced elevation data set led by the USGS would likely improve the perception of FEMA flood risk products.

# Federal Energy Regulatory Commission (FERC)

Points of Contact: Justin Smith (hydro), (202) 502-6426; Howard Wheeler (gas), (202) 502-8688

The Federal Energy Regulatory Commission (FERC), is an independent agency that regulates the interstate transmission of electricity, natural gas, and oil. FERC also reviews proposals to build liquefied natural gas (LNG) terminals and interstate natural gas pipelines as well as licensing hydropower projects.

FERC's mission is to assist consumers in obtaining reliable, efficient, and sustainable energy services at a reasonable cost through appropriate regulatory and market means. The agency's two primary goals are to ensure that consumer rates, terms, and conditions are just, reasonable, and not unduly discriminatory or preferential and to promote the development of safe, reliable, and efficient energy infrastructure that serves the public interest.

FERC's scope of regulatory oversight includes the regulation of transmission and wholesale sales of electricity and sales of natural gas for resale and, the transportation of oil by pipeline in interstate commerce. FERC also reviews certain mergers and acquisitions and corporate transactions by electricity companies that may include, under limited circumstances, the siting applications for electric transmission projects.

FERC's additional regulatory functions include approving the siting and abandonment of natural gas pipelines and storage facilities and ensuring the safe operation and reliability of operating LNG terminals. FERC licenses and inspects private, municipal, and state hydroelectric projects; protects the reliability of the high voltage interstate transmission system through mandatory reliability standards; and oversees environmental matters related to natural gas and hydroelectricity projects.

Lastly, FERC monitors and investigates energy markets, administers accounting and financial reporting regulations and conduct of regulated companies, and enforces FERC regulatory requirements through imposition of civil penalties and other means as required.

FERC managers identified two major Functional Activities with mission-critical requirements that could utilize enhanced elevation data:

- <u>Pipeline Routing and Facility Siting</u>, under Business Use #12, Oil and Gas Resources
- <u>Flood Risk Mapping for Hydroelectric Dam Break Failures and Analysis</u>, primarily under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response

FERC managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

# **Pipeline Routing and Facility Siting**

#### **Mission-Critical Requirements:**

QL1 LiDAR for 48 conterminous states plus QL5 IFSAR for Alaska are required for geological hazards and topographic features analysis for gas pipeline routing, facility siting, and National Environmental Policy Act (NEPA) compliance.

Update Frequency: >10 years

Business Use: Oil and Gas Resources, BU#12

Estimated program budgets that utilize elevation data to support a portion of this work: \$500K/yr (geohazards analysis), \$3.5M/yr (NEPA Compliance), \$35M/yr (Gas Certificate Program)

# Quantifiable Benefits of Enhanced Elevation Data:

As a regulatory agency, FERC is unable to estimate annual dollar savings by using nationwide LiDAR data as the single, validated source of accurate elevation data to evaluate pipeline routes and alternatives.



The National Environmental Policy Act (NEPA) establishes the requirement that all Federal agencies that fund or permit projects make those decisions in full consideration of their impact to the natural and human environments. It further requires agencies to make these impacts known to interested parties and the general public. The central element in the environmental review process is a rigorous evaluation of alternatives including the "no action" alternative.

FERC reviews and approves the siting and abandonment of natural gas pipelines and storage facilities and ensures the safe operation and reliability of operating LNG terminals.

FERC could utilize high quality QL1 LiDAR data to identify and analyze geological hazards (e.g., landslide and fault locations, geologic formations) and their potential public safety impact on the routing or design of pipelines and storage facilities. FERC could also use these data to evaluate and compare existing geological features with newly proposed or modified pipeline route or facility siting plans; identify and evaluate potential conflicts, environmental impact, and NEPA compliance assessments; provide preferred or alternative routing and facility siting options; and select application options.

For Alaska, LiDAR data is required by the oil and gas industry for pipeline routing, but they acquire their own LiDAR data without normally sharing it with others. For Alaska, QL5 IFSAR would satisfy FERC's requirements. Additionally, FERC could also benefit from bathymetric data of navigable waters and selected lakes.

FERC is purely a regulatory agency and therefore does not make any elevation-based products available for public or private use.

# **Operational benefits (internal) to FERC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: Unknown
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- FERC performs its reviews of pipeline routes and facility locations as applications are received. Having QL1 LiDAR data already available would help both FERC and applicants in numerous ways. Accurate and consistent hazards analysis by both the applicant and FERC, accelerates the application and review process, and avoids the much higher costs of acquiring elevation data of proposed corridors.
- QL1 LiDAR aids the applicants and FERC in hazards analysis and route selection by enabling geologic fault and landslide analysis for linear facilities routing.

# Customer service benefits (external) to the public from improved FERC products/services:

Performance:	Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown
Commercial applicants would benefit by having public access to the best, validated source of				

- Commercial applicants would benefit by having public access to the best, validated source accurate elevation data for their evaluation of pipeline routes and alternatives.
- Rapid access to the best elevation data, also used by FERC, avoids conflicting information and enables quicker reviews by FERC without delays for expensive, data acquisition for pipeline corridors.

# Other Benefits from FERC's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
• The public is best served if applicants for route permits, and FERC, both use the best		

topographic data to make their recommendations and decisions for pipeline routes.

- Environmental Impact Assessments and NEPA compliance are enhanced by the use of the best elevation data.
- Strategically and politically, the nation is best served when accurate elevation data are used to make pipeline routing decisions that impact public safety and to protect the environment.

# Flood Risk Mapping for Hydroelectric Dam Break Failures and Analysis

#### **Mission-Critical Requirements:**

QL3 LiDAR for 48 conterminous states plus QL5 IFSAR for two watersheds in Alaska are required for performing flood risk mapping for hydroelectric dam break failures and analysis to mitigate impact to public safety and natural and built environments.

Update Frequency: 6-10 years

**Business Use:** Primarily under Homeland Security, Law Enforcement, and Disaster Response, BU#17

Estimated program budgets which utilize elevation data to support a portion of this work: \$15M/yr (Dam Safety)

# Quantifiable Benefits of Enhanced Elevation Data:

As a regulatory agency, FERC is unable to estimate annual dollar savings by using LiDAR and IFSAR in performing periodic dam breach analyses.



FERC could utilize LiDAR and IFSAR data to support flood risk mapping for dam break failures, specifically, non-Federally owned hydroelectric dams, and modeling and analysis of failures and their potential impact to public safety and natural and built environments. FERC currently uses elevation data to confirm inventory land features such as lakes, streams, rivers, and wetlands including, forests, farms, and residential areas. For dam break analysis, FERC could utilize LiDAR and IFSAR to better analyze the effects of floods, landslides, and earthquakes on dam retention and structural capacities.

FERC is purely a regulatory agency and therefore does not make any elevation-based products available for public or private use.

# **Operational benefits (internal) to FERC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission compliance: Major	\$ Benefits: Unknown

• LiDAR and IFSAR data would not increase the speed of FERC's analysis, but would make hazard analyses and estimations more accurate.

# Customer service benefits (external) to the public from improved FERC products/services:

Performance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: Unknown	
LiDAR and IFSAR	• LiDAR and IFSAR data are widely used by others (e.g., BIA, BLM, FERC, TVA, USACE, USBR) for			
dam break analys	dam break analyses of Federally-owned dams. FERC procedures are consistent with those of			
other Federal dar	n safety partners.			

# Other Benefits from FERC's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: None	Strategic/Political: Minor
• FERC is able to perform da	m safety analysis better and faster v	which benefits public safety.

• Some strategic/political benefit from performing accurate dam breach analyses and planning.

# Fish and Wildlife Service (FWS)

# Point of Contact: Chris Lett, (703) 358-2404

The mission of the U.S. Fish and Wildlife Service is, working with others, to conserve, protect and enhance fish, wildlife, and plants and their habitats for continuing benefit of the American people.

The FWS is divided into Regions (see map). Central to the mission of the FWS, along with state and tribal natural resource agencies, its private land partners, and other stakeholders, is providing and protecting a healthy environment for fish, wildlife, and people. Long-term goals include stewardship of the National Wildlife Refuge System (NWRS) and the National Fish Hatchery System (NFHS); recovery of threatened and endangered species; protection and conservation of trust species; and support for international conservation, habitat conservation, and migratory birds.



Surface, sub-surface (bathymetric), and base elevation datasets are critical for understanding the habitats in which our fisheries and wildlife resources make their living. A better *geospatial* understanding of how our world is constructed leads to more accurate resource management, and therefore, leads to reduced management costs through improved performance and productivity.

Although most responsibilities are distributed, FWS has several national level programs including Endangered Species, Fisheries and Habitat Conservation, Migratory Birds, and Landscape Conservation Cooperatives.

FWS provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Topographic LiDAR and bathymetric elevation data derived datasets are needed to better understand and manage the landscape and waterscape for fish and wildlife resources. Summarized details are provided in the following pages. Most FWS work is done at the project level; therefore, it is extremely difficult to determine overall national needs for elevation data. FWS identified five general Functional Activities with mission-critical requirements for enhanced elevation data:

- <u>National Wildlife Refuge System</u>, under Business Use #7, Wildlife and Habitat Management
- <u>Endangered Species and Fisheries and Habitat Conservation</u>, primarily under Business Use #7, Wildlife and Habitat Management
- <u>Wetlands Inventory and Mapping</u>, under Business Use #1, Natural Resources Conservation
- Migratory Birds, under Business Use #7, Wildlife and Habitat Management
- <u>Landscape Conservation Cooperatives</u>, largely under Business Use #15, Sea Level Rise and Subsidence

# National Wildlife Refuge System (NWRS)



The National Wildlife Refuge System includes 553 national wildlife refuges and other units of the Refuge System, plus 38 wetland management districts. Elevation data requirements differ because each Refuge has separate congressionally-defined legislation, mission, and sometimes funding which results in varying responsibilities and associated needs. Operation and management of national wildlife refuges are also influenced by a wide array of other laws, treaties, and executive orders pertaining to the conservation and protection of natural and cultural resources.

Critical decision-making processes which utilize the current National Elevation Dataset (NED) are inaccurate, incomplete, or unreliable. Questions, strategies, and decisions based on the NED concerning resources, human safety, habitat assessments, mean high tide, watershed delineations, tree-line elevation ascent, the spread of invasive species, wildfire behavior, sea level change, coastal inundation and erosion, etc., are severely hampered.

Current/accurate elevation data are required by Refuge managers, researchers, and planners for multiple FWS applications. DEMs, derivative products (slope maps, aspect maps, contours, and relief maps), and LiDAR point cloud data are used to model vegetation, potential restorable wetlands, hydrologic drainage patterns, carbon storage potential, and wildlife habitat. DEMs can also be used to model CO2 contributions from peat–related wildfire events in which before/after fire event. DEMs can be used to quantify the volume of peat burned in the fire event and the volume of CO2 gas released. DEMs are needed for mapping surfaces, computing volumetric changes of glaciers, delineating floodplains and coastal wetlands, determining stream locations and flow patterns, and identifying cultural resources and landforms. DEMs are used for habitat vulnerability assessments and simulating

storm surge, glacial outburst floods, tsunami, and climate change scenarios, all necessary for protection of natural resources and habitat conservation.

# **Operational benefits (internal) for FWS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: Cannot Quantify	
Developing alternatives for	<ul> <li>Developing alternatives for Comprehensive Conservation Plans and supporting Refuge</li> </ul>		
operational activities inclu	operational activities including asset management and restoration of watersheds, streambanks,		
wetlands, forests, dams, h	wetlands, forests, dams, habitat, etc. are far more efficient with LiDAR and IFSAR. Many		
important restoration proj	important restoration projects are in fact impossible to execute without LiDAR and IFSAR;		
derived elevation data, and	derived elevation data, and elevation data periodic updates, improve FWS's ability to determine		
how well restoration effor	ts are proceeding.		

- Existing Comprehensive Conservation Plans set target goals for habitat requirements to meet the needs of a variety of wildlife species groups (e.g., waterfowl, shorebirds, wading birds, etc.) The Refuge currently has very limited data to evaluate whether it is providing optimal habitat conditions for wildlife species; this requires more labor intensive methods to evaluate habitat conditions.
- LiDAR and IFSAR enable FWS to implement efficient sampling practices for biological surveys including inventory and monitoring, invasive species control, and Habitat Management Plans.
- LiDAR and IFSAR are mission-critical for all forms of hydrologic and hydraulic modeling and analyses for preservation and protection of water resources on FWS lands, as well as the evaluation of the potential impact of sea level rise on FWS's coastal assets.
- Automation of hydrologic modeling, and reduced cost of aerial surveys rather than field survey, provide significant cost savings.

# Customer service benefits (external) to the public from improved FWS products/services:

	Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot quantify
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- FWS customers are the American public, Refuge visitors, and communities that surround FWS lands. Additional customers are threatened and endangered species, trust species, and all wildlife and plants that utilize the NWRS for habitat. All customers benefit when LiDAR and/or IFSAR data enable natural resources and habitat to be preserved and protected for present and future generations.
- LiDAR data provide much better evaluation of resource inventories and conditions, moreengaging maps and visitor center displays, and better education of Refuge visitors on habitat conservation and restoration actions.

# Other Benefits from FWS's use of enhanced elevation data for this Functional Activity:

Public	/Social: Moderate	Environmental: Major	Strategic/Political: Major
٠	Major environmental and strategic/political benefits are achieved by the use of LiDAR and IFSAR		
	data for the conservation, protection, and enhancement of national wildlife refuges.		
•	Increased data availability	would support necessary work at m	ore refuges.

# **Endangered Species (ES) and Fisheries and Habitat Conservation (FHC)**



As the principal Federal partner responsible for administering the Endangered Species Act (ESA), the FWS takes the lead in recovering and conserving our nation's imperiled species by employing scientific tools to increase populations and to develop a workforce of conservation leaders to implement these tools to protect and recover species such as our national symbol, the Bald Eagle.

Accurate elevation data are required for Natural Resources Damage Assessments, including evaluating exposure of trust species to toxic spills; proposing, designating, and informing the public about critical habitat for threatened and endangered (T&E) species; and delivering official species lists and Section 7 consultations. LiDAR and/or IFSAR data would also greatly benefit the conduct of large-scale, multi-disciplinary, multi-species analysis for habitat conservation and landscape conservation planning and restoration.

# Operational benefits (internal) for FWS of enhanced elevation data for this Functional Activity:

Time/cost savings: MajorMission Compliance: Major\$ Benefits: Cann	ot Quantify
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- Time/cost savings are achieved for vegetation mapping. Improved mission compliance is achieved for habitat management and identification of priority areas for conservation and restoration.
- The effect of elevation and elevation derivatives (e.g., slope, aspect) on the processing times of
  models has not been measured but has a role in machine learning. It is not so much the cost
  savings resulting from improved processing times that is important but the accuracies of the
  model results. Without elevation data and derivatives, models will be much less accurate. If you
  need to model habitat and do not have elevation data and derivatives, then you will have to

spend a lot more time/money gathering the elevation data. Then you get into issues with private property access and having to coordinate with landowners, which is time consuming.

# Customer service benefits (external) to the public from improved FWS products/services:

Performance: Major	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot quantify
• EWS customers are the American public, such as fishermen, who henefit from more accurate			

- FWS customers are the American public, such as fishermen, who benefit from more accurate habitat datasets. Accurate bathymetry maps help not only FWS understand where fish live but also are a benefit to our boating and shipping communities. Knowing where our underwater structure exists is a part of our infrastructure that has never been adequately mapped. Obviously, the plants and wildlife benefit from conservation and preservation efforts. All customers benefit when LiDAR data enable natural resources to be preserved and protected for present and future generations.
- LiDAR data provide much better evaluation of resource inventories and conditions, moreengaging maps, and better models for conservation and habitat preservation.

# Other Benefits from FWS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate

• Fisheries and Habitat Conservation relies on collaboration with the public, International Partners, State agencies, Tribes, private landowners, industry, and other Federal agencies to achieve the conservation goals and objectives. The program conserves and restores habitat to ensure that fish and wildlife populations are sustained for the benefit of current and future generations of Americans, and enhanced elevation data is mission critical for this to succeed.

# Wetlands Inventory and Mapping



The FWS's Division of Fisheries and Habitat Conservation (FHC) works with partners to promote healthy fish and wildlife, healthy habitats, healthy people, and a healthy economy. A key program in FHC is monitoring the extent, status and trends of wetlands for management, research, policy development, education, and planning through the National Wetlands Inventory (NWI).

In addition to both surface and subsurface (Bathymetry) LiDAR required for Endangered Species (ES) and Fisheries and Habitat Conservation (FHC), the FWS also requires spaceborne and airborne Radar (IFSAR) data. It is now possible to map water level changes in wetlands using IFSAR interferometry techniques down to a couple of inches which has huge impacts for fisheries and wildlife management as well as for flood forecasting, water supplies, and other applications where water quantity changes can be mapped instead of relying on stream point gages. Utilizing surface, subsurface, and base elevation data from LiDAR and IFSAR systems improves mapping and modeling efficiencies and accuracies for generating NWI geospatial maps, analysis, and reports. Repeat pass differential IFSAR (multiple data takes) can be used to map water elevation changes down to a couple of inches as has already been demonstrated in the Florida Everglades; IFSAR has the potential to revolutionize flood extent mapping as well as improving waterfowl population estimates which are driven by changing water levels in the Plains and Prairie Pothole region of the continent. The Everglades project, though, was performed with repeat pass satellite differential IFSAR (DINSAR), and not airborne IFSAR. Repeat pass satellite DINSAR is required nationwide because of its ability to separate water from land areas, and because repeat pass satellite DINSAR IFSAR enables the accurate mapping of water elevation changes over time. Repeated acquisition of QL5 airborne IFSAR, acquired years apart, would have great difficulty correlating interferograms, whereas satellite DINSAR can more easily correlate interferograms and do so with temporal differences of a few days or weeks as satellites pass over again with precise repeat orbits.

# **Operational benefits (internal) for FWS of enhanced elevation data for this Functional Activity:**

- Quality surface and subsurface elevation datasets will enable the FWS to more reliably deliver natural resource enhancement benefits thereby focusing more funding on nonstructural hydrologic restoration rather than water treatment and flood control. The FWS will be more efficient to the extent that it targets these benefits.
- Current NWI mapping programs in Minnesota have already proven the cost savings for more efficient and accurate delineation of restorable and existing wetlands. This new approach saves millions of dollars that would have been used in more traditional mapping approaches.
- Time/cost savings are achieved for hydrologic studies and hydrologic coding of wetland types, Improved mission compliance is achieved for habitat management and identification of priority areas for conservation and restoration.

# Customer service benefits (external) to the public from improved FWS products/services:

Performance: Major	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot quantify

- FWS customers are the American public who benefit from more accurate NWI datasets. Restorable wetlands as well as existing wetlands and deep water habitats are better defined through the use of IFSAR surface and elevation mapping techniques.
- With both LIDAR and Radar systems, it is now possible to measure carbon credits through carbon stored in wetlands through changes in sediment (carbon) over time; especially with restored farmed wetlands.
- LIDAR and Radar based IFSAR data provide much better evaluation of wetland inventories and conditions, more-engaging maps, and better models for wetland preservation.

# Other Benefits from FWS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate

• America's fish and aquatic resources are among the world's richest, and they provide substantial social, economic, and ecological benefits to the nation. Mapping of sub-surface structure is needed to better manage these benefits.

# **Migratory Birds**



Migratory birds have a significant role in the health of the environment, economy, and culture in the U.S. and internationally. The mission of the FWS's Migratory Bird Program is to conserve migratory bird populations and their habitats for future generations, through careful monitoring, effective management, and by supporting national and international partnerships that conserve habitat for migratory birds and other wildlife.

As the lead Federal agency for managing and conserving migratory birds in the United States, many FWS programs are actively involved in migratory bird conservation activities. Although these activities are generally developed within a specific FWS Program, the success of these activities is also dependent upon close intra-Service coordination in addition to building and sustaining vital partnerships with other Federal and State agencies, Tribes, and private entities.

Bird surveys, survey design, navigation for pilots, and spatially referenced survey data are reliant on accurate surface and elevation data. Assessing habitat conditions and monitoring habitat improvement projects in Joint Ventures and conducting research on relationships between bird abundance, productivity, habitat quality and quantity, and migration movement patterns require accurate surface and elevation data. For example, waterfowl surveys are conducted yearly with low flying aircraft. These surveys need to know where new structures such as wind-power farms, cell phone towers, and buildings are erected which may pose an obstacle along a flight survey route if they go unmapped.

# **Operational benefits (internal) for FWS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission Compliance: Major	\$ Benefits: Cannot quantify
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- Targeting of wetland and grassland restoration will be enabled to enhance wetland restoration for migratory birds, water quality of lakes and rivers, with the collateral benefit of flood abatement.
- Enhanced elevation data will help the FWS better manage coastal impounded and natural wetlands in the face of sea level rise and climate change, in order to meet its wildlife conservation mission.
- The FWS may shift its management strategies in response to elevation data analysis, in conjunction with other factors, to better meet its wildlife conservation mission.

# Customer service benefits (external) to the public from improved FWS products/services:

- FWS customers are the American public and anyone who benefits from improved migratory bird data and models. Additional customers include migratory birds that utilize habitat and benefit from conservation and preservation efforts. All customers benefit when LiDAR and IFSAR data enable natural resources to be preserved and protected for present and future generations.
- LiDAR and IFSAR datasets provide a more accurate resource inventory and condition, moreengaging maps, and better models for conservation and habitat preservation.

# Other Benefits from FWS's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
•	Migratory birds are some of	of nature's most magnificent resour	ces. When bird populations and
	habitat are preserved, the	public benefits, the environment be	mefits, and the political will of the
	American public is satisfied	1.	
### Landscape Conservation Cooperatives (LCCs)



Landscape Conservation Cooperatives (LCCs) are applied conservation science partnerships focused on a defined geographic area that inform on-the-ground strategic conservation efforts at landscape scales.

LCCs enable resource management agencies and organizations to collaborate in an integrated fashion within and across landscapes. LCCs provide scientific and technical support to inform landscape-scale conservation using adaptive management principles. LCCs engage in biological planning, conservation design, inventory and monitoring program design, and other types of conservation-based scientific research, planning, and coordination. LCCs play an important role in helping partners establish common goals and priorities, so they can be more efficient and effective in targeting the right science in the right places. Products developed by LCCs inform the actions of partners and other interested parties in their delivery of on-the-ground conservation. LCCs include modeling climate change factors and sea level rise, urban growth, and other factors affecting habitat conservation.

When it relies on the current National Elevation Dataset (NED), the Sea Level Affecting Marshes Model (SLAMM) can be inaccurate and incomplete. Questions, strategies, and decisions based on the NED concerning climate change, strategic habitat conservation, sea level change, coastal inundation and erosion, isostatic rebound, etc. are severely hampered.

### Operational benefits (internal) for FWS of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission Compliance: Major	\$ Benefits: Cannot quantify
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• Current DEMs are inadequate to fully assess effects of climate change due to sea-level rise and changes in the amount of precipitation across FWS administrative boundaries. Additionally, there is the potential of assessing with LIDAR and RADAR the structure of forests and vegetation

that could significantly improve the FWS's ability to map and model species' response to future habitat change. With enhanced elevation data, the FWS expects significant reduction in variance estimates around predictions of habitat use, and the FWS will be able to provide better guidance to its conservation partners on how much and where they need to conserve natural habitats to maintain bird species into the future. This has the potential to reduce the total cost of FWS and partner's conservation efforts due to reduction in the variance associated with FWS predictions.

- Quantifying these estimates is inherently difficult outside the context of the conservation questions being addressed. Directly, increased precision would be obtained in many biological modeling efforts, potentially reducing the duration of time required to acquire field validation measurements. This action is often the most time consuming and costly of biological inventory and monitoring efforts. The amount of saving incurred is specific to individual project metrics. FWS estimates an average saving of 20% in field validation cost. In addition, cost savings associated with statistical validation of modeling results should also be expected. This may reduce overall project cost by 5-10%.
- LiDAR derived products provide an opportunity to map habitat structure at a scale and resolution economically infeasible with traditional cruise-based methods. These same data sets have applications for hydrologic and hydraulic predictions, and allow integrated modeling and mapping of multiple landscape features. As FWS does basic-scale strategic analysis and planning, being limited by the spatial extent of LiDAR products is a constant concern. The FWS approaches some products in a regression relationship with existing remotely sensed data (e.g., it can derive indices of sufficient reliability to quantify habitat based on correlation of LiDAR-derived and imagery-based data).
- The delivery of LiDAR-derived large-scale elevation models, in conjunction with ground control point data and clear language on the utilized vertical datum, would be a powerful tool to help ensure that practitioners are interpreting local benchmark data correctly.

### Customer service benefits (external) to the public from improved FWS products/services:

Performance: Major	Timeliness: Major	Experience: Moderate	\$ Benefits: Cannot quantify

- FWS customers are the American public and anyone who benefits from improved strategic habitat conservation. In particular, the LCCs function with partners that include government and non-government organizations. Additional customers include wildlife and plants that utilize habitat and benefit from conservation and preservation efforts. All customers benefit when LiDAR and RADAR derived elevation datasets enable natural resources to be preserved and protected for present and future generations.
- LiDAR and IFSAR datasets provide much better evaluation of resource inventories and conditions, more-engaging maps, and better models for conservation and habitat preservation.

### Other Benefits from FWS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate		Environmental: Major	Strategic/Political: Major
•	The American public supports the preservation of critical landscapes and preserving the natura		scapes and preserving the natural
	environment for future generations.		

### **International Boundary and Water Commission (IBWC)**

### Point of Contact: Gilbert Anaya, (915) 832-4702

The mission of the IBWC is to "provide bi-national solutions to issues that arise during the application of United States-Mexico treaties regarding boundary demarcation, national ownership of waters, sanitation, water quality, and flood control in the border region". It is further defined in the strategic plan to "provide flood protection to U.S. residents and ensure the efficient conveyance, utilization, and accurate accounting of boundary and transboundary river waters through the operation and maintenance of flood control structures, dams, reservoirs, power plants, and gaging stations in accordance with domestic law and international agreements."

Established in 1889, the International Boundary and Water Commission (IBWC) has responsibility for applying the boundary and water treaties between the United States and Mexico and settling differences that may arise in their application. The IBWC is an international body composed of the United States Section and the Mexican Section, each headed by an Engineer-Commissioner appointed by his/her respective president. Each Section is administered independently of the other. The United States Section of the IBWC is a federal government agency that operates under the foreign policy guidance of the Department of State. The Mexican Section is under the administrative supervision of the Mexican Ministry of Foreign Affairs.

Under its mission, the IBWC operates flood control levee systems; diversion dams, which provide and regulate irrigation waters; storage dams, which hold water for conservation, distribution, and power generation; gaging stations; and wastewater treatment plants. Additionally, the IBWC is responsible for collecting water quality data throughout the Texas portion of the Rio Grande Basin. The IBWC also uses its Emergency Management Program to keep the public informed of emergency operations that may be undertaken in case of an emergency or during emergency management exercises.

The IBWC currently uses LiDAR data to evaluate its levees and flood control projects to ensure they meet FEMA's certification requirements; IBWC works with FEMA and the USACE in this regard. LiDAR data are also used for hydraulic modeling and dam break and levee failure analyses. The IBWC uses bathymetric data to evaluate reservoir silting on a 5-year cycle. The IBWC works closely with the USBR since both have similar missions. The IBWC also shares surface water and groundwater data with USGS.

IBWC managers identified the following major Functional Activity with mission-critical requirements for elevation data:

• Flood Risk Mapping, under Business Use #14, Flood Risk Management

IBWC managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level 2 LiDAR that they identified as mission-critical. Summarized details are provided in the following pages.

### **Flood Risk Mapping**



IBWC has determined that QL2 data that cover their areas of interest along the U.S. - Mexico border would meet their needs for modeling purposes. Other reaches of the Rio Grande are sparsely populated and/or in areas with high relief (e.g., canyons) where LiDAR data are not a priority; a lower level of elevation data would be sufficient. However, for project design and construction, higher than QL2 accuracy data and field surveys would be needed for specific project areas. Additionally, IBWC requires bathymetric data for reservoir silt determinations.

The IBWC prepares inundation maps and risk analyses for its 7 dams based on 12 different release bands that are used to help to alert communities to rising water surface elevations. The IBWC's flood risk mapping standards and guidelines are based on international agreements established jointly with Mexico to convey a certain flow volume.

### **Operational benefits (internal) to IBWC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$1.3M/yr
High quality elevation data provide improved ability to analyze and identify dam and levee		

- High quality elevation data provide improved ability to analyze and identify dam and levee height deficiencies quickly.
- Hydraulic models can be more readily updated to provide more accurate water surface elevations.
- The assessment of flood risks due to dam failure is facilitated. Inundation maps using this type of analysis benefit communities downstream of these facilities that are potentially in harm's

way. This increased understanding could reduce or eliminate potential future loss of life or catastrophic economic impact.

• The IBWC estimates that internal benefits of \$1.3M/yr (10% of the stakeholder benefits listed below) can be attributed to the availability of LiDAR data.

## Customer service benefits (external) to private/public partners from improved IBWC products/services:

Performance: Major Timeliness: Major Experience: Moderate \$Benefits: \$13M/yr	Performance: Major	Timeliness: Major	Experience: Moderate	\$ Benefits: \$13M/yr
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- The IBWC has estimated that its flood control projects along the Rio Grande provide \$323M in flood control protection benefits (for the U.S. side) over a 25 year period, based on the occurrence of a levee failure that would damage agriculture and business and flood events that carry close to 100 year flows through the system that could have caused damage if the levees failed. This estimate is based on a 2004 study that estimated flood damages to property that are avoided by the existence of the IBWC's flood control measures. High quality elevation data are critical to the design and maintenance of the flood control inventory and to the protection of properties and lives within the communities served by these projects.
- The IBWC estimates that stakeholder benefits of \$13M/yr, based on expended costs for levee rehabilitation (design, construction, modeling, conducted this past year) can be attributed to the availability of LiDAR for evaluation, levee design, flood modeling, and flood operations management.
- High quality elevation data results in improved facility maintenance and flood risk modeling. The IBWC has many stakeholders, including the U.S. – Mexican border residents, municipal water users, irrigation/agricultural districts, etc. The communities rely on IBWC for flood protection through the operation of its dams and maintenance of flood control levees and structures. The U.S.-Mexican border has experienced incredible growth in population over the last few decades and more people live within the flood control projects. The impact of levee failure due to flooding would damage many businesses and homes.
- The analyses being conducted by IBWC are benefiting communities by ensuring that the flood control projects are adequate to convey flood flows and by providing mapping products that help them visualize potential impacts (especially in non-levee areas such as Del Rio, Eagle Pass, Laredo, and Rio Grande City).

### Other Benefits from IBWC's use of enhanced elevation data for this Functional Activity:

Public/Social: Minor	Environmental: Major	Strategic/Political: Major
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Improved partnering for data collection with local communities and FEMA. For example, local communities working with FEMA on Map Modernization used IBWC LiDAR data for their new maps. Additionally, local leaders have expressed interest in partnering on other projects. For example, the Texas Natural Resource Information System (TNRIS) partnered with IBWC on its 2010 LiDAR/Imagery collection and was able to collect additional areas by engaging the communities.

### National Aeronautics and Space Administration (NASA)

### Point of Contact: David Harding, (301) 614-6503

NASA's mission is to pioneer the future in space exploration, scientific discovery and aeronautics research. Its LiDAR activities include development of advanced instrumentation and measurement approaches, testing and utilization of prototype instruments on airborne platforms, and the conduct of Earth and planetary remote sensing missions. Its earth-based LiDAR science objectives focus on the solid earth (topography and natural hazards), the biosphere (vegetation structure, carbon storage and habitat quality), the cryosphere (ice sheets, glaciers and sea ice), and the hydrosphere (water cycle and storage).

Prior NASA spaceflight missions that acquired Earth topography data were the Shuttle Radar Topography Mission (SRTM), that mapped the Earth's land surface between ±60° at a spatial sampling of 30 m via SAR interferometry, the Ice Cloud and land Elevation Satellite (ICESat) that conducted global sampling using a single-beam profiling LiDAR, and Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) global mapping employing stereo photogrammetric methods. NASA's future earth science spaceflight missions are based on the recommendations of the National Research Council in its 1997 Earth Science Decadal Survey Report. The most relevant of those for LiDAR mapping objectives are the ICESat-2 mission which will conduct global sampling using a multiple-beam instrument and the LIDAR Surface Topography (LIST) mission which will conduct global mapping of Earth's system and its response to natural and human induced changes." The LIST will pioneer new global environmental observations and improve the operational services they provide to the nation. These services include weather forecasting; climate prediction; natural hazard assessment, prediction, and response; and environmental management. The LIST concept, which will include mapping of vegetation structure and the topography of the ground surface, is illustrated in the graphic below.



NASA identified one Functional Activities with requirements for enhanced elevation data:

• <u>Advanced Earth Science Mission Support</u>, under Business Use #25, Education K-12 and Beyond (including Basic Research)

NASA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

### **Advanced Earth Science Mission Support**



LIST is responsive to a diverse array of science and applied measurement needs articulated by a broad spectrum of Earth science disciplines. The mission will provide compelling foundation data needed to make high priority scientific advances and to serve important societal needs:

- Solid Earth: landscape evolution; climate/tectonics/erosion interactions; earthquake, volcano, landslide and coastal hazards.
- Vegetation Structure: carbon storage; disturbance and response; habitat and biodiversity; wildfire fuel loads; slope stabilization.
- Cryosphere: ice sheet, ice cap and glacier elevation change; ice flow and dynamics; sea ice cover and thickness.
- Water Cycle: water storage; snow depth; river discharge.

Key science requirements of the LIST are to create LiDAR surface topography with:

- 5 meter spatial resolution
- ≤10 cm (RMSEz) relative vertical accuracy per footprint for flat surfaces

- <20 cm (RMSEz) absolute vertical accuracy per footprint for flat surfaces
- 1 m vegetation vertical structure resolution per 25 m x 25 m area
- Complete one-time global mapping in ≤3 years, accounting for land-area annual mean cloud cover of 50%
- For natural hazards, target 10 km x 10 km areas within a month after event occurs
- For ecosystem monitoring, re-observe selected locations annually in same season
- For cryosphere monitoring, re-observe selected locations seasonally
- For water storage monitoring, re-observe selected locations monthly

Applicability of national LiDAR products to NASA programs:

- Airborne QL2 LiDAR has a vertical RMSE of 9.25-cm, and spatial resolution of 0.7 meters, yielding 2 points on average per square meter. LiDAR is the best technology for penetrating vegetation to map the bare-earth terrain beneath the trees. LiDAR also maps the canopy, but does so better under leaf-on conditions than leaf-off conditions.
- Except for small project areas, low-altitude, airborne LiDAR is not practical for mapping all of Alaska because of perpetual cloud and fog conditions and the high costs involved in overcoming these conditions in remote environments where it sometimes takes years to obtain acceptable conditions for optical data acquisition; this is why airborne QL5 IFSAR (day/night, all-weather) is widely considered to be the most feasible technology for statewide mapping in Alaska. Airborne IFSAR normally has ≤185 cm (RMSEz) absolute vertical accuracy with 5 meter spatial resolution and achieves higher accuracy than the Shuttle Radar Topography Mission (SRTM) or any current commercial radar satellite that also maps through clouds and fog.
- Whereas the QL5 IFSAR of Alaska may have lesser vertical accuracy than the design accuracy of the LIST, airborne IFSAR has the same 5 meter spatial resolution and is processed to provide both the bare-earth Digital Terrain Model (DTM) and the top Digital Surface Model (DSM). IFSAR data also includes Ortho-rectified Radar Images (ORIs) that can be used for comparison with data from the LIST which may also encounter difficulties with clouds and fog.

### Operational benefits (internal) for NASA of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission Compliance: Major	\$ Benefits: Cannot Quantify

- QL2 LiDAR of 49 states and U.S. territories will enable NASA to calibrate and validate data from prior topography missions and the ICESat-2 and LIST sensors, to ensure that the data satisfies mission measurement requirements.
- QL2 LiDAR data will also serve as the most accurate ground topography base map for determination of vegetation height and derived estimates of above-ground biomass from the ICESat-2 and LIST vegetation structure observations (see the figure on the first page of this NASA Appendix). This LiDAR ground topography base map will then be used repeatedly for changedetection purposes as LIST periodically re-observes the Earth for natural hazards, ecosystem monitoring, cryosphere monitoring, and/or water storage monitoring.
- The combination of QL5 IFSAR with LIST data should provide an ideal means to re-evaluate changing conditions anywhere in Alaska. Where the LIST data is able to image without clouds or

fog, the LIST data can be used to update or improve the IFSAR DTM; where the LIST data cannot image because of perpetual clouds or fog, the IFSAR data will remain the best available data for mapping the DTM and DSM surfaces in Alaska.

### Customer service benefits (external) to the public from improved NASA products/services:

Performance: Major Timeliness: Moderate Exp	ence: Moderate \$ Benefits: Cannot quantify
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• The combination of LIST data with LiDAR and IFSAR (in Alaska) will enable NASA's customers to receive data of highest accuracy anywhere in the U.S. and its territories.

### Other Benefits from NASA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major Environmental: Major		Strategic/Political: Major	
• The LIST mission implementation will likely be in the range \$500M to \$1B. That investment will			
yield major benefits via da	yield major benefits via data of higher accuracy when coupled with the use of QL2 LiDAR for		
system calibration and validation and when the LiDAR and IFSAR data provide the most accurate			
ground topographic surfaces with which canopy structure from the LIST is periodically updated			
and compared to determine changes.			

- Environmental benefits are major when the LIST succeeds in meeting its earth-based science objectives that pertain to the solid earth (topography and natural hazards), the biosphere (vegetation structure), the cryosphere (ice sheets, glaciers and sea ice), and the hydrosphere (water cycle and storage).
- Strategic/political benefits are major when NASA addresses these objectives on a world-wide basis, and not just for U.S. territory alone. Calibration and validation of LIST using U.S. QL2 and QL5 data will provide an important foundation enabling understanding of LIST data quality that will be applicable on a global basis.

### National Geospatial-Intelligence Agency (NGA)

### Point of Contact: Sandra Helms, (301) 227-7534

The National Geospatial-Intelligence Agency (NGA) is a member of the Department of Defense and Intelligence communities. The Agency provides timely, relevant and accurate geospatial intelligence support for global world events, disasters, and military actions.

NGA's mission is to aggressively capture, integrate, and provide the Homeland Security; Homeland Defense; and Emergency Preparedness, Response, and Recovery community with a common operational picture; analyze threat support and critical infrastructure protection; and expedite readiness, response, and recovery in the event of man-made or natural disasters.

Under its mission, NGA provides geospatial intelligence support for global world events, disasters, and military actions. NGA provides other Federal agencies with data, including elevation data, and mapping products that are used for disaster response, law enforcement, and research.

NGA managers identified the following major Functional Activity with mission-critical requirements for elevation data:

• <u>Homeland Security and Disaster Preparedness</u>, under Business Use #17, Homeland Security, Law Enforcement, and Disaster Response

NGA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

### **Homeland Security and Disaster Preparedness**



NGA currently acquires all of the elevation data it needs to support its mission. However, QL2 LiDAR data that covers the 133 Urban Areas could meet many of NGA's needs and could reduce its data acquisition turnaround time and costs.

### **Operational benefits (internal) to NGA of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Moderate	\$ Benefits: Cannot determine

- Use of common elevation data standards and delivery of commonly used elevation data products could result in cost savings to NGA from not having to acquire and process the data if the deliverables met their needs for timeliness, content, and format.
- High quality elevation data would provide a better 3-D landscape across the nation and better public information that could be used by all for the myriad of modeling and analysis activities supported by the data.

Customer service benefits (external) to private/public partners from improved NGA products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Cannot determine
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• NGA's customers are other Federal agencies that have a homeland security mission. The benefits to these customers would come from having high quality elevation data readily available, at no cost to them, and in the public domain with no distribution restrictions.

### Other Benefits from NGA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major

- Political benefits would be realized by a national elevation program that would maximize the benefits from taxpayer dollars and make more effective use of Federal budgets.
- National enhanced elevation data would provide the ability to be proactive in using 3-D models to assess vulnerabilities of baseline infrastructure, to mitigate risks from terrorism or natural disasters, and to respond rapidly in times of emergency. Although dollar benefits cannot be quantified, this has tremendous public/social value as well as strategic/political value.
- Americans consistently list homeland security as their highest priority goal. Dollars spent on mitigation of risks from disasters has a high return on investment, but this return cannot be quantified (pre-event) in terms of damages avoided.
- Public safety is benefited when safeguarding National Special Security Events.

### National Oceanic and Atmospheric Administration (NOAA)

### Point of Contact: Kirk Waters, (843) 740-1227

# NOAA's mission is to understand and predict changes in the Earth's environment and conserve and manage coastal and marine resources to meet our nation's economic, social, and environmental needs.

The National Oceanic and Atmospheric Administration (NOAA) was formed in 1970, but the agencies that came together at that time date back to 1807, when the United States Coast and Geodetic Survey was formed, followed by the Weather Bureau in 1870, and the Bureau of Commercial Fisheries in 1871. NOAA's products and services affect more than one-third of America's gross domestic product. NOAA's scientists provide citizens, planners, emergency managers, and other decision makers with reliable information including accurate weather forecasts and the data needed to protect and manage the nation's coastal and ocean resources and to enable society to plan and respond to climate change.

NOAA requires high quality elevation and bathymetric data to support the following programs:

- National Marine Fisheries Service, which responsible for the stewardship of the nation's living marine resources and their habitat;
- National Ocean Service, which provides science-based solutions to address threats to coastal areas such as climate change, population growth, port congestion, and contaminants in the environment;
- NOAA National Data Centers, which provide data and information services including Earth system monitoring, perform official assessments of the environment, and conduct related research;
- Oceanic and Atmospheric Research, which conducts environmental research, provides scientific information and research leadership, and transfers research into products and services to help NOAA meet the evolving economic, social, and environmental needs of the nation; and
- National Weather Service (NWS), which provides weather, hydrologic, and climate forecasts and warnings for the United States, its territories, adjacent waters and ocean areas, for the protection of life and property and the enhancement of the national economy.

NOAA managers identified the following major Functional Activities with mission-critical requirements for elevation data:

- <u>Coastal Mapping and Modeling</u>, under Business Use #4, Coastal Zone Management, and Business Use #19, Marine Navigation and Safety.
- <u>Coastal and Marine Resources Conservation</u>, under Business Use #1, Natural Resources Conservation
- <u>Advanced Hydrologic Prediction Service Static Inundation Mapping</u>, under Business Use #14, Flood Risk Management

NOAA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

### **Coastal Mapping and Modeling**

### **Mission-Critical Requirements:**

NOAA requires QL2 topographic LiDAR plus bathymetric LiDAR of coastal counties (except the bulk of Alaska) and QL5 IFSAR of coastal states for modeling, mapping and forecasting coastal hazards; tsunami modeling and warnings; and support of NOAA's initiatives including the Integrated Ocean and Coastal Mapping initiative. Alaska coastal areas will require evaluation of LiDAR requirements after receipt and analysis of IFSAR data.

#### Update Frequency: 4-5 years

**Business Use:** Coastal Zone Management, BU#4 and Marine Navigation and Safety, BU#19

Estimated program budget: \$10M/yr, with less than half spent on elevation data and largely client-funded.

Quantifiable Benefits of Enhanced Elevation Data:

Potential benefits of \$9.8M/year, of which \$300,000 would come from improved in-house operational efficiencies, \$8M to coastal communities through avoided losses, and \$1.5M savings to DEM users from having downloadable, integrated topo-bathy datasets.



NOAA has determined that QL2 data that cover the coastal counties of the U.S. are needed for its coastal mapping and modeling activities. With respect to tide coordinated LiDAR vs. bathymetric LiDAR, NOAA has indicated that its requirement is to have seamless coverage of high accuracy data across the intertidal zone (i.e., no data gaps, areas of sparse, noisy coverage, etc.) to enable extraction of tidally-referenced shorelines. This requirement can be met in multiple ways, but the ideal case for seamless coverage is to have a combination of bathymetric LiDAR collected at (or above) MHW and topographic LiDAR collected at (or below) MLLW for areas with sufficient water clarity. However, the bathymetric requirements extend beyond the area that can be covered by LiDAR and generally the LiDAR-suitable area is only a small fraction of what is needed. In addition, bathymetric LiDAR is not considered by NOAA to meet the target detection requirements specified by the International Hydrographic Office for nautical charting, but it can serve as an effective preliminary survey tool.

NOAA's coastal mapping and modeling activities include:

• Developing adaptation strategies for dealing with climate change and natural hazards such as hurricanes and tsunamis;

- Producing and delivering nautical charts, hydrographic surveys, and other navigational products and services; and
- Providing surveying, positioning, and geodetic data and services for the construction, transportation, mapping, and other industries.

### Operational benefits (internal) to NOAA of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: \$300K/yr	
• High accuracy elevation data provides the ability to model, map, and forecast coastal hazards,			
including coastal flooding and storm surge heights from hurricanes. These models and maps are			
saving lives and property. Better data will allow coastal managers to make better management			
decisions. This can be difficult to quantify without first having the improved data. Studies of			
the opportunity costs for unnecessary evacuation have used \$1M per mile of coast, though			
some studies put the number much lower. Higher accuracy and currency data is expected to			
change the predictions of i	mpacted areas, hence reducing unn	ecessary evacuations.	

- Completion of seamless coastal bathymetric and topographic maps for all inhabited coastal areas of the U.S. would enhance NOAA's tsunami modeling and warning efforts and increase navigational safety.
- The availability of nationwide high quality elevation data would provide some improvement to NOAA in operational efficiency (although not yet precisely quantified) by providing data that simultaneously support a wide range of coastal mapping, science, and management applications. This would support NOAA's Integrated Ocean and Coastal Mapping (IOCM) initiative. \$300,000 annual benefits in reduced backlog, getting closer to meeting goals.

## Customer service benefits (external) to private/public partners from improved NOAA products/services:

### Performance: Moderate Timeliness: Moderate Experience: Moderate \$Benefits: \$9.5M/yr

- Customers will have better data to use for management decisions; decisions can be better informed by issues such as the effects of shoreline change, beach/shoreline management, flood risk assessment, and potential areas of inundation from future sea level rise. Avoiding siting municipal infrastructure or housing developments in areas likely to see losses due to sea level change could easily equate to \$1M avoided loss per coastal county. With approximately 200 of these counties facing the ocean in CONUS, this equates to a \$200M benefit spread over 25 years (i.e., an estimated customer service benefit of \$8M/yr).
- Customers are benefiting from new coastal geospatial data products (e.g., LiDAR point clouds) that are being distributed in addition to standard shoreline products.
- The availability of high-quality, tide-coordinated topographic or topographic-bathymetric data for larger portions of the coastal U.S. would result in NOAA's being able to increase production of coastal mapping products for certain types of project areas.
- High-resolution coastal DEMs are currently used by NOAA's Tsunami Warning Centers to improve tsunami warnings. The DEMs provide significantly more accurate real-time tsunami forecasts and more effective, targeted, and less costly emergency response for at-risk U.S.

coastal communities. Prior to the advent of digital elevation data and computer modeling, coastal communities could only be warned of when a tsunami wave might arrive, and not its expected height, inundation, or duration. The building of new or improved high-resolution, integrated bathymetric-topographic DEMs of U.S. coastal communities at risk of tsunamis would expand the coverage of coastal areas with DEMs to support NOAA's Tsunami Warning System. Such expanded coverage would further enhance and improve tsunami forecast and warning efforts, and lead to more effective, targeted, and less costly emergency response in affected coastal communities. Alaska, especially, has a high known tsunami hazard and poor data coverage where these new data would be particularly valuable.

Public dissemination of NGDC's existing, unrestricted, high-resolution DEMs of select U.S. coastal communities has greatly benefited scientists, Federal and State agencies, private companies, journalists, and the public. The coastal DEMs save the users the intensive effort required to seamlessly integrate bathymetric and topographic data at the coast. To date, NGDC's thoroughly documented coastal DEMs have been downloaded more than 30,000 times in the past four years. The direct benefits realized from the improved tsunami models are difficult to quantify in terms of lives saved, but we can make a rough estimate of the dollar savings to users downloading the data. While the careful assembly of each coastal DEM requires an average of three months, we will assume a typical individual user would only spend one day to make an inferior product that still meets their needs. This equates to 120 man-years saved (i.e., 30 man-years annually or ~\$1.5M/yr) and does not include the additional savings for the subset users that require the high quality DEM. The development and dissemination of additional unrestricted, accurate, high-resolution (~10-m cell size), integrated bathymetrictopographic DEMs to cover additional U.S. coastal communities would benefit countless other individuals, Federal and State agencies, and businesses in need of such products of other U.S. coastal communities to enhance their work.

### Other Benefits from NOAA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Major	Strategic/Political: Moderate
<ul> <li>Better data means manag</li> </ul>	ers and the public will have better co	onfidence in the results on which
, 0	sions. Higher confidence in the data ore responsive management actions	•

- Increased academic use of the data (e.g., using LiDAR data in research and teaching at UNH-CCOM/JHC). The benefits of academic research are unpredictable by their very nature, but the general trend is that the overall benefits of research outweigh the costs.
- Increased use of the data in coastal resource mapping and monitoring, restoration, etc.
- Increased interagency collaboration and cooperation; support of cross-agency goals/standards/specifications.

### **Coastal and Marine Resources Conservation**

### **Mission-Critical Requirements:**

NOAA requires QL2 topographic LiDAR plus bathymetric LiDAR data for habitat delineation, assessment, and analysis; location of sample sites; and management of protected areas.

Update Frequency: 4-5 years

Business Use: Natural Resources Conservation, BU#1

Estimated program budget: Budget estimate not available

Quantifiable Benefits of Enhanced Elevation Data:

NOAA estimates that it would benefit from high quality elevation data by \$1.4M/yr. These benefits would be realized by the Coral Reef Conservation Program as well as through time and cost savings on field surveys and site visits, especially in remote coastal areas. Customer service dollar benefits cannot be estimated.



NOAA manages marine resources within several offices, including the following:

- National Marine Fisheries Service, which is responsible for the management, conservation and protection of living marine resources within the United States' Exclusive Economic Zone (water three to 200 mile offshore);
- Office of National Marine Sanctuaries, which manages 13 sanctuaries and one marine national monument encompassing more than 150,000 square miles of U.S. ocean and Great Lakes waters; and
- Coral Reef Conservation Program, which coordinates all of NOAA's activities related to coral reefs.

Most of the elevation data requirements for Marine Resources Conservation activities are for bathymetric data. However, there is a need for either tide coordinated QL2 topographic LiDAR data in the near shore areas and/or bathymetric data in areas between 0-20m as well as for QL2 LiDAR data of wetland areas. Bathymetric LiDAR is an integral part of filling the needs in the shallow waters with sufficient clarity, though generally the LiDAR-suitable area is only a fraction of the required bathymetry. Bathymetric and topographic data are used to support the sampling design for fish surveys, delineate marine habitats, provide fish habitat consultations, assess impacts of oil spills, assess coastal injuries and restoration, locate sample sites, manage protected areas, identify coral reef resources, and characterize coral reef health.

### **Operational benefits (internal) to NOAA of enhanced elevation data for this Functional Activity:**

Time/o	cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: >\$1.4M/yr
•	High-quality elevation data	a would save costs and time by redu	cing the time and equipment
	required for field visits to s	survey areas of interest. Additionall	y, site evaluations could be done

in remote areas that are currently not accessible or too costly to evaluate.

- High quality elevation data provide improved ability to consistently analyze habitats across broader coastal areas, including coastal areas in Alaska that have not previously been assessed due to lack of data.
- Completion of seamless coastal bathymetric and topographic maps would enhance the ability to conserve coral reefs as mandated by the Coral Reef Conservation Act. Benefits of elevation data are estimated at approximately \$1.4M annually (5% of \$300M over 11 years).
- Coastal topographic and bathymetric LiDAR data would allow NOAA and its partner agencies to better meet their conservation and management requirements for National Marine Sanctuaries and Marine National Monuments.
- Improved critical habitat evaluations and more focused critical habitat designations under the Endangered Species Act.
- Improved analysis of potential impacts to marine resources, including sea level rise, among others.

### Customer service benefits (external) to private/public partners from improved NOAA products/services:

Performance: Major	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot
			accurately estimate

- Improved quality of mapping provides value to NOAA's customers.
- Better communication with the public regarding local wetland issues that might affect their livelihoods, and also when new rules or regulations are being considered for specific species that rely on land/water interface areas during their life cycles.
- Better technical assistance to other Federal agencies regarding impacts of proposed projects to critical habitats, resulting in projects and activities with lower impacts to endangered species.
- Improved ability of communities to develop mitigation plans.

### Other Benefits from NOAA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
Improved collaboration wi	th partner agencies and non-profit of	organizations, including the U.S.
Coast Guard, other Federal agencies, State and local partners, regional citizens groups,		
environmental or public safety groups, etc.		
Better data will result in projects and activities with lower impacts to endangered species and		
improved conservation of marine resources.		
<ul> <li>Improved recreation and f</li> </ul>	ishing opportunities resulting from i	improved marine habitats.
Ecosystems such as coral r	eefs, estuaries, and beaches genera	te billions of dollars in tourism,
recreation, and food rever	ues each year. While accurate elev	ation data is important for the
management of these area	as and to maintain their economic v	alues, the contribution of

elevation alone cannot be estimated.

### Advanced Hydrologic Prediction Service Static Inundation Mapping

### **Mission-Critical Requirements:**

NOAA requires QL3 LiDAR for FEMA's high priority areas, QL4 DEMs from imagery for FEMA's mid priority areas, and QL5 IFSAR for FEMA's low priority areas. These data are required for hydrologic modeling, flood forecasting and warning, and flood inundation mapping of riverine areas nationwide for which NOAA provides advanced hydrologic prediction services.

#### Update Frequency: 4-5 years

Business Use: Flood Risk Management, BU#14

Estimated program budget: \$400,000/yr

Quantifiable Benefits of Enhanced Elevation Data:

NOAA has estimated that the flood loss reduction benefits of the AHPS program can be estimated at \$243M annually and 10% (\$24.3M/yr) can be directly attributed to LiDAR data.



Under NOAA, the National Weather Service (NWS) provides river and flood forecasts at approximately 4,000 locations nationwide and issues watches and warnings to protect life and property. As a part of this program, NWS developed the Advanced Hydrologic Prediction Service (AHPS), which is designed to provide enhanced flood forecast information in graphical formats that are easier to understand and use. In 2007, AHPS provided its first set of flood forecast inundation maps for North Carolina, in response to NWS stakeholder surveys indicating the need to better understand its hydrologic forecasts and the impacts of flooding at the local community level. Currently, AHPS offers flood inundation maps for about 60 locations nationwide.

The maps are disseminated as a map library which allows the user to view the extent of the inundation and the depth of flooding. Using the digital elevation and hydraulic models, flood inundation maps are generated for various flood categories and at stage intervals normally from minor flooding to flood of record or major flooding whichever is higher. The maps are generated slightly differently than FEMA's Flood Insurance Rate Maps (FIRMs), which are regulatory maps based on flood frequencies. In particular, NOAA maps are based on hydraulic simulations of steady flow as the upstream boundary condition and the gage as the downstream/intermediate boundary condition. The simulations are repeated to target various elevations at the river gage until a collection of flood depth grids and inundation polygons is assembled. High quality elevation data are needed for the AHPS flood mapping products. NOAA's elevation data requirements for Riverine Flood Forecast Inundation Mapping are similar to FEMA's requirements for Flood Risk Analysis. If flood forecast inundation maps were available at all AHPS River Forecast locations, the potential annual benefit of this service is estimated to be worth about \$24M or about 10% of the reported benefits in a study prepared in May 2002 entitled "Use and Benefits of National Weather Service River and Flood Forecasts." This report stated that the flood loss reduction benefits of the AHPS program were estimated to be \$243M annually. Additional benefits could be derived based on more effective risk mitigation planning and floodplain management. The flood inundation mapping service is dependent on the availability of elevation data of the appropriate quality for hydraulic modeling and flood inundation mapping. The service is a non-starter for flood forecast locations without detailed LIDAR.

### **Operational benefits (internal) to NOAA of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$24M/yr
High quality elevation data are critical to the hydraulic modeling and mapping of flood risk		

- High quality elevation data are critical to the hydraulic modeling and mapping of flood risk areas.
- Enhanced flash flood guidance and warnings for poorly drained soils, roadways, burned areas, slot canyons, etc. are now possible.
- NWS inundation maps for river forecast locations are saving lives and property by showing the impact of forecast flood events using elevation data and models from FEMA Flood Insurance Studies. NOAA has estimated that its river and flood forecasts provided through the AHPS program provide \$243M in flood loss reduction benefits. While it is difficult to estimate what fraction of the program's benefits can be attributed to accurate elevation data, a ballpark of 10% or \$24M would be reasonable.

### Customer service benefits (external) to private/public partners from improved NOAA products/services:

Performance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: Cannot
			accurately estimate

- High quality elevation data results in enhanced decision support to the emergency management community, law enforcement officials, and disaster officials who ensure public safety in floodprone areas. Local communities can better position resources using more refined geographic information about the extents of forecasted flood inundation areas.
- High quality elevation data that cover more of the nation's flood-prone areas would result in NWS's ability to provide AHPS flood inundation maps for currently unmapped areas that are at risk.

### Other Benefits from NOAA's use of enhanced elevation data for this Functional Activity:

Public/Social: Major Environmental: Minor Strategic/Political: Moderate
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• Public safety benefits include the ability for the public to better understand the impacts of the flood forecasts provided by the NWS. Hydraulic modeling and mapping, developed using elevation data, improve communication of flood forecasts and risks.

### National Park Service (NPS)

### Points of Contact: Tammy Stidham (202) 619-7474 and David Duran (303) 969-2176

The National Park Service (NPS) Mission statement: "The National Park Service preserves unimpaired the natural and cultural resources and values of the national park system for the enjoyment, education, and inspiration of this and future generations. The park service cooperates with partners to extend the benefits of natural and cultural resource conservation and outdoor recreation throughout this country and the world." (Source 2012 NPS budget justification document http://www.nps.gov/applications/budget2/downloads.htm)

The NPS is divided into seven Regions (see map). There are, as of this writing, 394 NPS units nationwide, including units in the U.S. territories: Puerto Rico, U.S. Virgin Islands, Guam, and American Samoa.



Elevation data requirements differ because of the highly distributed nature of the NPS and each unit has a separate Congressionally defined enabling legislation, mission, and in some cases funding which results in varying responsibilities and associated unique requirements. The NPS also has several national level programs including natural and cultural resources, visitor and resource protection, and facility management. Examples of natural and cultural resources include rivers and streams, watersheds, wetlands, seashores, forests, vegetation, wildlife habitat, historical buildings, viewsheds, dams, archaeological sites, and trails and campgrounds used by park visitors.

Most NPS elevation requirements are best defined at the project level. Because of this and the distributed nature of the NPS, lack of tools, and resources it is difficult to summarize requirements, financial expenditures, or potential for quantifiable benefits. Requirements for elevation data are in general similar among NPS units. There are a wide variety of solutions to address the NPS's requirements for elevation data for the diverse variety of local needs. For example, Quality Level 1 (QL1)/QL3 data are preferred for Alaska, as they are for NPS units in the lower 49 states and territories. However, the large size of NPS units in Alaska, their remoteness, perpetual cloud cover, current statewide lack of geodetic control, unavailability of near-by airfields, and other logistic factors make QL1/QL3 data collection impractical and QL5 a practical solution because of the mechanics of current technology like the IFSAR data collector, as compared to LiDAR. IFSAR can penetrate through clouds, operates under all-weather conditions, and routinely flies long flight lines from distant airfields and at higher and safer altitudes.

Additional justification for why an IFSAR type of solution is a cost effective solution for Alaska, but not in the lower 49 states:

- Lack of roads in Alaska and the high incidence of aircraft controlled flight into terrain (CFIT) accidents; IFSAR has been established as an Alaska statewide requirement for aviation safety, and for search, rescue and/or recovery of downed aircraft. In the autumn of 2010, two aircraft crashed in remote areas of Alaska that required timely search and recovery. An Air Force F-22 aircraft crashed in an area already mapped with IFSAR, and an efficient search and recovery was based on those data. About the same time, a NPS aircraft crashed at Katmai National Park and Preserve, a region that did not have QL5 data and the NPS search and in part discovery and recovery was hampered.
- Because of the lack of a suitable digital elevation model (QL5) for which IFSAR satisfies minimal requirements, Alaska is the only state that does not have digital orthophoto coverage.
- P-band IFSAR offers the potential for mapping the geomorphology beneath glaciers.

Consistent with the NPS mission, NPS managers highlighted a single, all-inclusive Functional Activity with mission-critical requirements for enhanced elevation data:

• <u>Preservation and Protection of Natural and Cultural Resources</u>, under numerous Business Uses including #1, Natural Resources Conservation, and #13, Cultural Resources Preservation

NPS managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data QL that they identified as mission-critical. Summarized details are provided in the following pages.

### **Preservation and Protection of Natural and Cultural Resources**

#### **Mission-Critical Requirements:**

The NPS mission requirements can best be satisfied by three elevation data Quality Levels for management of natural and cultural resources:

- QL1 LiDAR for NPS units with dense forests and coastal areas subject to sea level rise in the lower 49 states and US territories
- QL3 LiDAR for non-forested NPS units in the lower 49 states and US territories.
- QL5 IFSAR data for NPS units in Alaska, for reasons cited above.

#### Update Frequency: 6-10 years

**Business Use:** Multiple Business Uses including Natural Resources Conservation, BU#1 and Cultural Resources Preservation, BU#13

Estimated program budgets supported by elevation data: An unknown fraction of the \$3B+ NPS budget request to Congress (source:

http://data2.itc.nps.gov/budgetweb/downloads/ fy\_2011\_greenbook.pdf) has mission-critical requirements for enhanced elevation data.

Quantifiable Benefits of Enhanced Elevation Data: Unable to estimate at this time.



In the critical decision-making processes, the current National Elevation Dataset (NED) does not have the appropriate resolution or quality control. Questions, strategies, and decisions based on the NED concerning resources, visitor experience, recreation, safety, habitat assessments, mean high tide, watershed delineations, tree line elevation ascent, the spread of invasive species, wildfire behavior, sea level change, coastal inundation and erosion, isostatic rebound, etc. need to be contained and restrictions on use need to be documented.

High quality elevation data (QL1, QL3) could be used by NPS park managers, researchers and planners for multiple applications. DEMs and derivative products (slope maps, aspect maps, contours and relief maps), where available, are used to model vegetation, hydrologic drainage patterns, and wildlife habitat. DEMs are needed for mapping surfaces, computing volumetric changes of glaciers and sand, delineating floodplains and coastal wetlands, determining stream locations and flow patterns, and identification of cultural resources and landforms. They are also used for habitat vulnerability assessments, simulating storm surge, glacial outburst floods, and tsunami and climate change scenarios. These are some of the requirements for the protection of natural/cultural resources.

A continued concern and risk is there are still no plans for a comprehensive, consistent, and sustainable data model that includes the entire US and territories. This appendix represents a small and incomplete sampling of requirements for an enhanced elevation model to meet the National Park Service's business requirements.

### **Operational benefits (internal) for NPS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: Unknown		
• Engineering plans/designs for restoration of watersheds, stream banks, wetlands, forests, dams,				
infrastructure, etc. are mo	re efficient with QL1 LiDAR than wit	h traditional USGS land surveys,		
especially when surveys co	especially when surveys cover broad areas. Many important restoration projects are in fact not			
cost effective to execute w	cost effective to execute without available LiDAR-derived elevation data. Periodic elevation			
data updates are required	for the NPS's ability to monitor how	restoration efforts are evolving		
(e.g., volcanic activity, mar	n-made alteration to terrain, landslic	le, hydrologic actions, etc.).		

- QL1 LiDAR enables the NPS to implement efficient sampling practices for natural resources inventory and monitoring, covering broad areas and minimizing the need for boots-on-the-ground inventories.
- QL1 data are mission-critical for many disciplines pertaining to natural and cultural resources, especially disciplines that model hydrology, sea level rise, vegetation and habitat, for example.
- QL1/QL3 (LiDAR) and QL5 (IFSAR) data are needed for production of orthophotos of national parks.

### Customer service benefits (external) to the public from improved NPS products/services:

Performance: Major	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown
• NPS customers are the American public, park visitors, and communities that surround NPS lands.			
All customers benefit when QL1, QL3, and QL5 data enable natural and cultural resources to be			
preserved and pre	otected for present and fut	ure generations.	
<ul> <li>QL1/QL3 data pro</li> </ul>	ovide much better evaluation	on of resource inventories a	and conditions; more-
accurate park brochures; more-engaging maps, more-realistic visitor center displays and park			
ranger briefings; and better education opportunities for park visitors of resource protection and			
preservation.			
<ul> <li>In Alaska, QL5 dat</li> </ul>	ta are needed in order to p	roduce the first-ever ortho	photos statewide,
including nationa	I parks where orthophotos	are required by park mana	gers and routinely
requested by parl	k visitors, especially hikers	who might otherwise get lo	ost in remote, unmapped

### Other Benefits from NPS's use of enhanced elevation data for this Functional Activity:

Public/	Social: Moderate	Environmental: Major	Strategic/Political: Major
•	QL1, QL3, and QL5 data	are mission-critical for glacier mor	nitoring, evaluating erosion rates,
	assessing forest metrics,	health, water resources managem	ent, landscape change detection,

areas. QL5 data are also needed in Alaska for safety of air navigation, search, and rescue.

- QL1, QL3, and QL5 data help the NPS to better describe and model the status and trends of ecosystems, soils, vegetation, wildlife habitat, geologic features, and natural landscapes that comprise our national parks.
- The national parks are public lands. By providing current information regarding the socially valued resources contained within parklands, the NPS is able to better understand, describe, and manage its land management obligations for the benefit of the public today and tomorrow.

### **National Science Foundation (NSF)**

Point of Contact for EarthScope: Ramon Arrowsmith (School of Earth and Space Exploration, Arizona State University), (480) 236-9226

### Point of Contact for NEON: Thomas Kampe, (720) 836-2414

The mission of the National Science Foundation (NSF) is "To promote the progress of science; to advance the national health, prosperity, and welfare; and to secure the national defense."

NSF has supported the National Center for Airborne Laser Mapping as a facility that gathers highresolution LiDAR topography for a range of earth science needs as supported by the NSF-EAR—Earth Sciences Division. For the National Enhanced Elevation Assessment, NSF has identified two of the most evident and identifiable Functional Activities with mission-critical requirements for LiDAR data:

- 1. <u>EarthScope Initiative</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation. As with NEON (see below), EarthScope is an NSF-sponsored Earth science initiative to explore the 4-dimensional structure and evolution of the North American continent. The geoscience-focused EarthScope Program provides a framework for broad, integrated studies across the Earth sciences, including research on fault properties and the earthquake process, strain transfer, magmatic and hydrous fluids in the crust and mantle, plate boundary processes, large-scale continental deformation, continental structure and evolution, and composition and structure of the deep Earth. In addition, EarthScope offers a centralized forum for Earth science education at all levels and an excellent opportunity to develop cyberinfrastructure to integrate, distribute, and analyze diverse data sets.
- 2. <u>National Ecological Observatory Network (NEON)</u>, under Business Use #25, Education K-12 and Beyond, which also includes basic research in sciences that may not clearly align with any of the other Business Uses. The biology-focused NEON is an NSF-sponsored Earth science initiative to establish an ecological observation platform for discovering, understanding and forecasting the impacts of climate change, land use change, and invasive species on continental-scale ecology. Carbon dioxide, a key driver of climate change, is produced by a host of processes including clearing of forests, extraction and use of fossil fuels that affect the global energy balance. Invasive species modify the continental biosphere. Aquatic systems are coupled to terrestrial systems and the marine environment. Flowing water, which acts as a transducer of climate, land-use, and invasive species effects, spread their impacts from terrestrial and upstream centers of action downstream and into distant systems. Human activities such as urbanization create new connections; materials, organisms, and energy flow into cities from globally distributed sources and waste products are exported back into the environment.
- 3. The value of LiDAR comes from its high spatial resolution: we can measure features at the appropriate scale. All other topographic data are coarser in resolution and thus the geometry of features that manifest phenomena is not directly accessible (it is below the grid scale) and

cannot be represented directly. The ability to differentiate LiDAR returns from vegetative canopy, structures, and the ground permits characterization of biomass, canopy structure, burn severity; the built environment; and landforms otherwise obscured by vegetation. Furthermore, we have begun to see that differential LiDAR (three dimensional differencing of LiDAR data gathered at different times over the same target) provides a truly unprecedented high accuracy view of the three dimensional changes in the earth surface due to natural and anthropogenic processes including earthquakes, erosion/deposition, urbanization, subsidence, etc.

LiDAR requirements and benefits for these two NSF Functional Activities are explained in the following pages. <u>Both of these NSF programs share common benefits from nationwide LiDAR – the ability to evaluate all U.S. territory well beyond the relatively small areas sampled by these individual program initiatives, and mitigating uncertainties caused by extrapolating conclusions regarding unsampled areas. Thus, the scope, effect and overall value of both of these NSF initiatives would expand greatly as a result of nationwide LiDAR.</u>

### **EarthScope Initiative**

### **Mission-Critical Requirements:**

QL1 LiDAR is required nationwide, not just for selected areas where there is the greatest need for scientists to study seismic faults and their causes and effects. As was used in the EarthScope LiDAR acquisition, target areas were prioritized with community input gathered in a workshop process in which interested and informed parties met and provided guidance. However, nationwide LiDAR provides the ability to evaluate all U.S. territory well beyond the relatively small areas sampled by the EarthScope Initiative, and mitigating uncertainties caused by extrapolating conclusions regarding unsampled areas.

**Update Frequency:** >10 years, with eventsbased new acquisition following a major seismic event

Business Use: Geologic Resource Assessment & Hazards Mitigation, BU#9

**Estimated program budget supported by elevation data:** Estimated in excess of \$1B per year

Quantifiable Benefits of Enhanced Elevation Data: >\$5M/year



EarthScope (www.earthscope.org) is a program of the National Science Foundation (NSF) that deploys thousands of seismic, GPS, and other geophysical instruments to study the structure and evolution of the North American continent and the processes that cause earthquakes and volcanic eruptions. It

involves collaboration between scientists, educators, policy makers, and the public to learn about and utilize exciting scientific discoveries as they are being made.

LiDAR acquisition is a key component of the EarthScope effort that is complementary to the main observational assets. It provides data with a range of applications that will advance many of the EarthScope goals. The EarthScope LiDAR Working Group (note that the early nomenclature referred to the LiDAR acquisition as "GeoEarthScope," but over time, we have claimed it as directly part of "EarthScope") identified primary targets for data acquisition of active faults, ranked these targets, and proposed a data acquisition scheme. Within available funding, LiDAR data were acquired for some of the higher priority areas, but other areas remain to be acquired. The data that were gathered are now freelv available and used by many scientists, engineers and citizens from the http://www.OpenTopography.org facility—also funded by the National Science Foundation. EarthScope's regional active fault targets are:

- Northern California, including the San Andreas Fault north of Parkfield and other major strands of the San Andreas Fault system. The 1906 San Francisco earthquake occurred along the San Andreas Fault in this area. The nine counties that comprise the greater San Francisco Bay area, population of over 7 million, lie within this region, making this system of faults among the most important in the US in terms of seismic hazard. The intense forest cover that blankets much of this region has hampered detailed study of these faults, making LiDAR data an especially useful tool.
- Southern California, including the southern San Andreas Fault, the San Jacinto Fault, Garlock Fault, Eastern California Shear Zone south of Garlock, the Elsinore Fault, and regions of the transpressional faulting in the Transverse Range region. The great 1857 earthquake occurred along the San Andreas Fault in this region, and repeats of major events like it along the major strike slip faults represent significant hazard to the 10s of millions of people living in the area. These target areas also lie at the heart of a geodetic-geologic mismatch controversy. For the Garlock Fault, the geologic rates are more rapid than the geodetic rates, whereas the opposite appears to be true for the Eastern California Shear Zone. LiDAR is needed to resolve such scientific problems at the core of our understanding of fault mechanics.
- Eastern California, Walker Lane, and Basin and Range Fault systems, including faults of the Eastern California Shear Zone north of the Garlock Fault. The motivation to establish a LiDAR archive are 1) to allow assessment of longer term rates of fault displacement which may be compared to modern geodetic measurements, 2) to provide an initial data base for a wide spectrum of geologists to study the processes attendant to the structural, physiographic, and geomorphic development of the Great Basin that results from the release of the ongoing accumulation of strain that is measured by the Plate Boundary Observatory, 3) to document the slip character of historical earthquakes, and 4) provide an archive of sites that may be the locus of future earthquake displacements.
- Intermountain Seismic Belt, including the Wasatch Fault, Teton Fault, Yellowstone Park area, and northern extensions of the system through Idaho and Montana. Targets for LiDAR acquisition focus on the geomorphology, slip-rates, and kinematics of seismogenic normal faulting, the structural and dynamic interactions between the Yellowstone volcanic hotspot and

regional extension of the continental lithosphere, and the structural transition from extension to strike-slip faulting and contraction at the northern boundary of the Basin and Range Province. The 1983 Borah Peak M6.9 earthquake occurred in this region. The Teton normal fault poses significant earthquake hazard to the growing population of the Jackson Hole, WY region, including the possibility of dam failure, flooding, and disruption of irrigation for agriculture in adjacent parts of Idaho. The M7.3 1959 Hebgen Lake, MT earthquake demonstrated the potential impact of ground rupture and shaking in mountainous terrain.

 Cascadia, including the Mad River and Little Salmon fault zones in southern Cascadia, the Calawah Fault in the Washington forearc, and in the Yakima Fold belt termination. This includes the highly populated Puget Sound and Portland, Oregon regions. The Mad River and Little Salmon Faults also in a sense reflect the northernmost extent of the San Andreas plate boundary, although the linkage between these two segments of the North America plate boundary is not well understood. LiDAR topography along these faults in concert with that obtained for Northern California will provide an important data set to improve our understanding of the links between Cascadia and the San Andreas. Recently, new active faults have been documented with LiDAR topography in Oregon in the area of Mount Hood (http://www.oregonlive.com/pacific-northwest-

<u>news/index.ssf/2011/08/hidden\_earthquake\_faults\_revealed\_at\_mount\_hood\_oregon.html</u>); again, this was possible because of the high accuracy and vegetation penetration of the LiDAR technology.

Alaska, including the Castle Mountain and Denali Faults, and the Nenana River terraces. As shown at Figure 1, Alaska is the most seismically active region in the U.S. because of plate interactions that include transform faulting, plate subduction, and microplate collision. Alaska contains the highest point in North America at 20,300+ feet of elevation at Mount McKinley, and one of the most spectacular coastal mountains belts on earth where the Saint Elias Mountains are forming in response to collision of the Yakutat microplate in the transition from transform faulting along the Fairweather Fault to subduction and accretion at the northeastern end of the Aleutian trench. Farther west, subduction of the Pacific Plate creates the Alaska-Aleutian volcanic arc where there are scores of active volcanoes and frequent large to great magnitude earthquakes. Alaska is the premier place in the U.S. to study plate margin and intra-continental deformation driven by subduction and microplate collision. The latter process is of global significance because most mountain belts are formed largely by the collision and accretion of plate fragments over time. In Alaska, we are just learning how profound such collisions can be low-angle subduction of the Yakutat microplate is now thought to drive active deformation far into the interior of the North American plate, over distances of 1,000 km inland. Although the population density of Alaska remains relatively small compared with much of the U.S., there is significant risk to people and infrastructure. The loss of one key bridge near Wasilla, for example, would deny the use of Highways 1 and 3 that provide the logistical lifeline to a broad area north and east of Anchorage. The state of Alaska is constantly rocked by large to great magnitude earthquakes. The figure below maps the major earthquakes in Alaska. The March 7, 1964M9.2 earthquake for example, ruptured the Aleution subduction zone for hundreds of kilometers. Slip along this ruptures was as high as 20 meters. The event is the second largest historic earthquake. That earthquake's devastating effects are still visible to those driving south of Anchorage toward Seward.



Figure 1. Earthquakes in Alaska

Of course, there are many other earthquake hazards in the U.S. The New Madrid Fault Zone, for example, encompasses a broad area around the southeastern tip of Missouri where it joins with Tennessee, Illinois and Arkansas. Three major earthquakes occurred here in 1811-1812, with magnitudes of 7.5 to 7.7. The geologic record of pre-1811 earthquakes reveals that the New Madrid seismic zone has repeatedly produced sequences of major earthquakes over the past 4,500 years. The New Madrid Seismic Zone remains a significant risk for damaging earthquakes. After the 2011 tsunami in Japan and the 2011 earthquake in Virginia, there is increased interest in evaluating the impact of potential earthquakes on U.S. nuclear power plants as far as 1,000 miles away. Indeed, the high accuracy and vegetation penetrating capability of LiDAR is required for a more complete characterization of potentially active faults in the central and eastern US and the Caribbean.

A recent LiDAR acquisition funded largely by a RAPID grant from the NSF EarthScope program and office of International Science Engineering gathered more than 300 km<sup>2</sup> high quality LiDAR data in the area of the M7.3 April 4, 2010 earthquake of northern Baja California (http://www.OpenTopography.org/index.php/news/detail/el\_mayor\_cucapah\_earthquake\_mexico\_and <u>several\_other\_LiDAR\_datasets\_release</u>). These data have been of great value in the detailed characterization of the complex surface rupture earthquake, and when compared with pre-earthquake

LiDAR data gathered by INEGI, the Mexican Statistic and Geographic agency, has provided a revolutionary view of the deformation along and between the faults that ruptured. This repeat capacity is a requirement and a major motivator for further data acquisition.

The EarthScope Initiative has generally acquired LiDAR data in relatively narrow swath widths (typically 1 km, widened to 2+km in key regions), and up to 50, 100, or even 150 km long, which allowed more linekilometers of data to be obtained. The unavoidable consequences of this choice are that areas away from the main fault strands are not mapped, more complex flight lines may be necessary, and the usefulness of the data obtained in the initial acquisition may have limited value to researchers interested in non-fault specific topics. EarthScope managers hope that their data sets will be augmented in the future with broader area data acquisition that mitigates these shortcomings. EarthScope endorses "B4" style acquisition, where B4 stands for "before" occurrence of a major event, so that baseline data are available in broader seismic zones for comparison with post-event LiDAR acquired after an event changes the topography. In general, the community has agreed with decisions to focus spatially and gather highest density and quality data.

EarthScope disseminates its data freely through the NSF-supported OpenTopography Portal (www.OpenTopography.org), an initiative to build an online system that provides integrated access to high-resolution topography data (including point cloud data) and web-based processing tools. It enables the user community to share knowledge, experiences and resources. Numerous workshops and significant training for students, educators, agency scientists and engineers, and consultants. The goal of OpenTopography is to provide a portal where access to various public domain airborne and terrestrial LiDAR, bathymetry, and other topographic data can be centralized. The OpenTopography Portal is a collaboration between computer scientists at San Diego Supercomputer Center and earth scientists at Arizona State University and others. It is an excellent example of functioning cyberinfrastructure in the geosciences.

### **Operational benefits (internal) for NSF of enhanced elevation data for this Functional Activity:**

• QL1 LiDAR of designated areas will enable NSF scientists in the EarthScope community to perform basic research on seismic faults. Internationally, scientists have tried using elevation data of lesser accuracy and resolution and have concluded the LiDAR data comparable to QL1 is required to map seismic faults that are not visible when walking the terrain on top of such faults. See other comments above about accuracy, vegetation penetration, and the high spatial resolution required to represent the phenomena of interest (e.g., meter scale fault offsets).

### Customer service benefits (external) to the public from improved NSF products/services:

Perform	nance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: >\$5M/year
•	When provided to the public via the OpenTopography Portal, NSF's LiDAR point cloud data is			
	used by scientists everywhere for a broad array of earth science research, especially as pertains			
	to the potential m	nitigation of earthquakes	. (OpenTopography serves	s hundreds of scientists
	billions of LiDAR p	oints with added proces	sing and education).	

It is extremely difficult to estimate value to the public – somewhere between \$5 million dollars to tens of millions of dollars per year in savings to agencies who support data gathering in piecemeal, and the economy of scale for acquisition as well as delivery for these data is very clear. The fundamental science that is performed helps to delineate the earthquake and other natural hazards for the entire US which are ~\$50B/yr in total. An individual earthquake can easily cause >\$10B in losses. These data do not directly mitigate the losses, but their availability has enabled significant scientific insights that have refined our understanding of the probability of natural hazards, in particular their spatial disaggregation. See for example: <a href="http://www.usgcrp.gov/usgcrp/seminars/97514DD.html">http://www.usgcrp.gov/usgcrp/seminars/97514DD.html</a>

### Other Benefits from NSF's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Moderate	Strategic/Political: Major
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Earthquakes have huge public and social consequences. When LiDAR is used to study the causes and effects of earthquakes, resultant actions to mitigate these consequences also have huge public/social benefits as well as strategic/political benefits in the long run. Mitigation includes the identification of need to purchase earthquake insurance and to build infrastructure to higher standards to withstand potential earthquakes of the future. In the case of recent earthquakes, especially Haiti, LiDAR data were gathered immediately after the earthquake and contributed to response and recovery activities: (http://www.OpenTopography.org/index.php/blog/detail/haiti\_LiDAR\_imagery\_im\_google\_earth).

### National Ecological Observatory Network (NEON)



Sponsored by the National Science Foundation (NSF), the National Ecological Observatory Network (NEON) is an ecological observation platform for discovering, understanding and forecasting the impacts of climate change, land use change, and invasive species on continental-scale ecology. NEON will operate for 30 years and gather long-term data on ecological response changes and on feedbacks with the geosphere, hydrosphere, and atmosphere. Local ecological measurements at sites distributed within 20 ecoclimatic domains across the contiguous United States, Alaska, Hawaii, and Puerto Rico will be coordinated with high resolution, regional airborne remote sensing observations. The Land Use Analysis Package will assimilate satellite remote-sensing data and topographic data from national databases that ecological modelers and forecasters can use to extend their models to a continental scale. The Airborne Observation Platform (AOP) is an aircraft platform carrying remote sensing instrumentation designed to achieve sub-meter to meter scale ground resolution, bridging scales from organisms and individual stands to satellite-based remote sensing. AOP instrumentation consists of a VIS/SWIR imaging spectrometer, a scanning small-footprint waveform LiDAR for 3-D canopy structure measurements, and a high resolution airborne digital camera. AOP data will be openly available to scientists and will provide quantitative information on land use change and changes in ecological structure and chemistry including the presence and effects of invasive species. Three AOP aircraft will be flown, providing regular annual mapping of NEON sites.

For NEON to function as a continental-scale observatory, it must demonstrate that methods exist to produce continental estimates using NEON's observing strategy which is based on 20 ecoclimate domains, each including a core site and two relocatable sites. The first figure on the next page maps these domains with their core and relocatable sites, and the second figure maps the NEON domain

representativeness. <u>With NEON's own LiDAR sensor mapping only 60 sites annually, nationwide LiDAR</u> could enable poorly represented areas to be better represented and perhaps well represented.



on the set of candidate core sites.

NEON's educational and outreach programs will include numerous physical and virtual capabilities to enable education and public use of the facility, including: (1) a central web portal to provide on-line learning experiences, including access to scientific data, focused on the fundamental concepts associated with NEON; (2) a web portal providing tools for decision makers to use NEON data to make scientifically-based decisions related to climate and land-use change; (3) professional development opportunities to prepare educators to use NEON data and educational tools, provide opportunities for educators to contribute to education product development and facilitate community collaboration, and investment in effective ecological education; (4) research and internship opportunities for undergraduates to prepare future generations of ecological scientists and science, technology, engineering, and mathematics (STEM) professionals to use NEON data and broaden participation in STEM experiences by traditionally under-represented groups; and (5) workshops, seminars and courses to provide training and learning experiences for individuals to more effectively use and contribute to NEON data, tools, and learning experiences.

### **Operational benefits (internal) for NSF of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate Mission	pliance: Major \$ Benefits/yr: Cannot quantify
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• The overarching scientific goal of NEON is to enable understanding and forecasting of the impacts of climate change, land use change, and invasive species on continental-scale ecology. To accomplish this, NEON must be able to extrapolate relationships between the ecosystem drivers (climate change, land-use change, and biological invasions) and the ecological consequences to areas not sampled by the NEON facilities, but where partial, extensively sampled or gridded information is available. Nationwide LiDAR will help NEON with concerns about areas being under-sampled or under-represented, providing biomass statistics nationwide, for example, with more-complete data for nationwide assessments.

### Customer service benefits (external) to the public from improved NSF products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Cannot quantify		
• Nationwide QL2 LiDAR, when openly available in the public domain to scientists, will provide					
quantitative nation	quantitative nationwide information on land use change and changes in ecological structure and				
chemistry includi	ng the presence and effe	cts of invasive species. This	s dramatically increasing the		

### Other Benefits from NSF's use of enhanced elevation data for this Functional Activity:

Public/Soc	ial: Unknown	Environmental: Major	Strategic/Political: Unknown

value to scientists in developing nationwide conclusions, far beyond the 60 NEON sites.

• The biology-focused NEON is an NSF-sponsored Earth science initiative to establish an ecological observation platform for discovering, understanding and forecasting the impacts of climate change, land use change, and invasive species on continental-scale ecology. The environmental benefits of LiDAR data for the success of this initiative are major but cannot be calculated.

### **Natural Resources Conservation Service (NRCS)**

### Point of Contact: Steve Nechero (817) 509-3366

Programs of the Natural Resources Conservation Service (NRCS) help people reduce soil erosion, enhance water supplies, improve water quality, increase wildlife habitat, and reduce damages caused by floods and other natural disasters. Enhanced natural resources help sustain agricultural productivity and environmental quality while supporting continued economic development, recreation, and scenic beauty.

Seventy percent of the land in the U.S. is privately owned, making stewardship by private landowners absolutely critical to the health of our nation's environment. Working at the local level, in field offices at over 3,000 U.S. Department of Agriculture (USDA) Service Centers in nearly every county in the nation, NRCS works with landowners through conservation planning and assistance to benefit the soil, water, air, plants, and animals for productive lands and healthy ecosystems.

LiDAR and derivative data are critical to good conservation. NRCS experts from many disciplines help landowners conserve natural resources in efficient, smart and sustainable ways. To this end, NRCS has always valued elevation data in general, and slope, aspect, and curvature data specifically, for controlling the rates of erosion and runoff, and for the use of irrigation systems, ponds, contours, terraces, and vegetation for soil and water conservation so that water is stored in the soil and does not contribute to floods or sediment loading from farm runoff.

Part of NRCS's Integrated Accountability System, the Performance & Results Measurement System (PRMS) is an Internet-based data warehouse that allows NRCS to report activity progress across the nation. For the National Enhanced Elevation Assessment, the PRMS was used by one division, the Conservation Engineering Division (CED), for detailed time and cost savings estimates from LiDAR. <u>The rigorous cost</u> <u>benefit analyses by CED, and input from other NRCS</u> <u>representatives described below, demonstrate that</u> <u>NRCS cost savings for programs with mission-critical</u> <u>requirements for LiDAR are probably within the range</u> <u>of 2% (conservative) and 5% (plausible)</u>.



PRMS data, displayed in maps and tables, are updated daily, for real-time monitoring of NRCS activities

The total FY2010 Technical Assistance Obligations was \$1,120,884,884 or 30.36% of the total NRCS budget of \$3.7B. There were \$2.5B of Financial Assistance Obligations and there is not a direct link to high resolution elevation for financial assistance. There was \$56,792,351 of Conservation Reserve Program reimbursed work in FY2010 and there is a business case for high resolution elevation data for the wetland CRP. Source: Foundation Financial Information System (FFIS) Status of Funds report for fiscal year 2010 as of December 31, 2010.
The CED National Discipline Lead or Co-Lead for each National Conservation Practices Standards (NHCP) <u>http://www.nrcs.usda.gov/technical/Standards/nhcp.html</u> estimated the time saved or enhanced production if QL2 LiDAR was available to assist with the planning, design, and construction of each practice. CED used the 2010 PRMS data to obtain the applied amount. The estimated average hourly savings were based on GS 7 Step 5 and GS 12 Step 5 grade employees. The total estimated savings was approximately \$36 million. Source: USDA NRCS National Design Engineer

NRCS managers identified two major Functional Activities with mission-critical requirements for enhanced elevation data:

- <u>Conservation Engineering and Practices</u>, under\_multiple Business Uses, but primarily #1, Natural Resources Conservation
- <u>NRCS Specialized Mapping Applications</u>, under\_multiple Business Uses, but primarily #1, Natural Resources Conservation

NRCS managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

# **Conservation Engineering and Practices**

#### **Mission-Critical Requirements:**

Enhanced elevation data (QL2 LiDAR and QL5 IFSAR) for non-Federal lands, and a modernized IT infrastructure are missioncritical for a broad array of conservation engineering and practices for diverse Conservation Technical Assistance (CTA) programs managed by NRCS.

Update Frequency: 6-10 years

**Business Use:** Multiple, but primarily Natural Resources Conservation, BU#1

Estimated program budgets supported by elevation data: ~\$3B/yr

Quantifiable Benefits of Enhanced Elevation Data:

NRCS would save a minimum of \$60M/yr from LiDAR, and possibly as much as \$150M/yr if each Federal and state program was rigorously analyzed.



The USDA budget for FY2011 identifies \$797M for CTA activities that enable NRCS to focus on the highest priority programs such as improving and streamlining Technical Assistance delivery to farmers, implementing Strategic Watershed Action Teams (SWAT), and updating the IT infrastructure (which includes elevation data for mission-critical applications). This budget also includes mandatory Farm Bill programs for the Environmental Quality Incentives Program (EQIP), Conservation Security Program, Conservation Stewardship Program, Agricultural Water Enhancement Program, and Chesapeake Bay Watershed program. Portions of these programs, which total ~\$3B, have mission critical requirements for LiDAR data for science-based conservation engineering and practices.

If NRCS assumes a minimum 2% improvement in efficiency and/or effectiveness for the programs with mission-critical requirements for LiDAR, the savings would be at least ~\$60M. This is believed to be a conservative estimate, based on the following:

NRCS has over 3,000 service centers throughout the U.S. and its Territories and could not possibly collect and aggregate realistic cost-benefit input from all. NRCS deliberately selected a small number of representatives to participate in the questionnaire and workshop processes. Of 17 questionnaire responses, 13 specified major time/cost savings from LiDAR. Of these, only four provided specific cost-savings estimates:

- The only State Engineer participant estimated \$1.5M/yr savings for the EQIP and Wetlands Reserve Program (WRP) in Minnesota alone by planning and design without field surveys. Similar, probable savings for the other states are unknown.
- The Director, National Water Management Center estimated \$2M/yr savings from reduced or eliminated stream cross section surveys.
- At NRCS headquarters, managers of the CED were tasked to systematically estimate time saved or production enhanced if high quality LiDAR was available to assist with planning, design and construction of each practice. Over 100 practices were analyzed with the assistance of PRMS data, and 69 were determined to have major dollar benefits from LiDAR. CED computed annual savings of \$35.7M/yr for this one Division within NRCS. <u>No other Division within NRCS, or in any other Federal agency, expended this level of effort to rigorously compute cost savings from LiDAR.</u>
- No specific cost savings were provided by the Conservation Planning and Technical Assistance Division (CPTAD) where respondents described major reductions in time/costs for field visits, performed more cost-effectively by terrain analyses performed in the office with LiDAR data.
- No specific cost savings were estimated by the Resources Inventory and Assessment Division (RIAD) which did provide detailed explanation of mission-critical needs for LiDAR for the National Resources Inventory (NRI) and Conservation Effects Assessment Project (CEAP).
- No specific cost savings were estimated by the Ecological Sciences Division (ESD) where LiDAR is known to be mission critical for diverse ecological analyses and studies.
- The Soil Survey Division (SSD) provided specific estimates of cost savings for NRCS's Specialized Mapping Applications Functional Activity, described below.

#### **Operational benefits (internal) for NRCS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$60M/yr minimum
rinie, cost savings, major	inission compliance. major	y benenitsi yoonii/ yi minimum

- Of the 69 practices within CED with computed cost savings from LiDAR, those practices with savings in excess of \$1M/yr included engineering and design for: critical area planting, grade stabilization, grassed waterways, heavy use area protection, pipelines, ponds, riparian forest buffers, terracing, waste transfer, and wetland restoration; 59 other CED practices each had annual savings of less than \$1M/yr.
- Elsewhere in NRCS, respondents almost unanimously identified that major reductions in field surveys and reductions in field visits enabled by the use of LiDAR data would yield major time/cost savings and better serve more customers.

#### Customer service benefits (external) to the public from improved NRCS products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
• The 2008 Farm Bi	Il offers incentives for volu	ntarily implementation of c	onservation structures
and management	practices. It provides cons	ervation provisions to help	reduce erosion, guard
streams and river	s, restore and establish fish	n and wildlife habitat, etc. L	iDAR data help the
government as w	ell as landowners and farm	operators benefit from fin	ancial and economic
incentives in such	programs as the EQIP, Che	esapeake Bay Watershed In	itiative, Wetlands
Reserve Program	, Wildlife Habitat Incentive	Program, Agricultural Man	agement Assistance,
Conservation Ste	wardship Program, and Gra	ssland Reserve Program.	

## Other Benefits from NRCS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major
The customer service bene	fits described above provide broade	er public/social, environmental,
and strategic/political bene	efits to all Americans sustained by a	safe/healthy environment.

## **NRCS Specialized Mapping Applications**



In addition to CTA funding, the USDA budget for FY2011 identifies \$127M in discretionary funding for other Conservation Operations activities managed by NRCS to include: Soils Surveys, Snow Surveys, the Grazing Lands Conservation Initiative, and Plant Materials Centers. This budget also includes mandatory Farm Bill programs that require elevation data for the Wetlands Reserve Program (\$502M), Farm and Ranch Lands Protection Program (\$160M), Wildlife Habitat Incentive Program (\$73M), and Grassland Reserve Program (\$79M), for example. All of these programs, which total \$941M, have mission critical requirements for enhanced elevation data for accurate and up-to-date mapping of soils, floodplains, wetlands, grasslands, forests, and/or wildlife habitat.

#### **Operational benefits (internal) to NRCS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: \$18.82M/yr
The Soil Survey Division (SS	SD) recognizes that LiDAR derivative	s (slope, aspect, and curvature)
are the major parameters	needed for semi-automated LiDAR E	Enhanced Soil Survey (LESS)
processes that will improve	e the Soil Survey Geographic Databa	se (SSURGO). When LiDAR
becomes available nationv	vide, savings of \$10M/yr are estimat	ted for LiDAR enhanced soil
surveys alone.		

• LiDAR data are required in 49 states plus U.S. territories for mission-critical resource inventories and mapping activities dealing with floodplains, wetlands, grasslands, forests and/or wildlife habitat. IFSAR is more suitable for performing such inventories and mapping activities in Alaska

because of IFSAR's cloud-penetrating capabilities and reduced accuracy standards in remote areas.

• If NRCS' prior conservative estimate of 2% savings was applied against the \$941M program budget, this would equal estimated savings of \$18.82M/yr. This is realistic when considering that LiDAR is mission-critical for many NRCS resource inventory and mapping requirements other than soils mapping.

## Customer service benefits (external) to the public from improved NRCS products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Unknown
Soils data from SS	SURGO are used nationwide	e for thousands of governm	ent and private business

- uses; all would benefit from improved soils data, but dollar benefits cannot be quantified.
- Private land owners and farmers would benefit from the conservation operation activities summarized above. NRCS maps of floodplains, wetlands, grasslands, forests and wildlife habitat, help the NRCS customer base to "see" existing conditions for which enhanced conservation practices are desired. LiDAR-based maps or map graphics could be emailed to those seeking assistance.
- One respondent stated: "Better delineation of wetland areas and hydrology with farm tracts and watersheds. Better planning without field trips. Better planning of structural components because of known topography. Better understanding of erosion potential and ability to identify areas for water concentration. Help with identifying better areas of variability within a given farm tract. All of the above would contribute to improved water quality, reduced erosion, and the possibility of reduced flooding and better utilization of wetland functions within watersheds."

# Other Benefits from NRCS's use of enhanced elevation data for this Functional Activity:

Public/Social: Minor	Environmental: Moderate	Strategic/Political: Moderate
Again, the customer service	e benefits described above provide	broader public/social,
environmental, and strate	gic/political benefits to all American	s sustained by clean air, water and
a safe/healthy environmer	nt.	

# **Nuclear Regulatory Commission (NRC)**

## Point of Contact: Stuart Reiter, (301) 415-8701

It is the mission of the U.S Nuclear Regulatory Commission (NRC) to license and regulate the nation's civilian use of byproduct, source, and special nuclear materials to ensure adequate protection of public health and safety, promote the common defense and security, and protect the environment.

The NRC is responsible for regulating domestic activities related to radiation protection and nuclear safety for nuclear facilities and for promoting the common defense and security, and to protect the environment related to the uses of radioactive materials. The NRC issues licenses and oversees licensees for civilian uses of radioactive materials, including 104 commercial nuclear power reactors; 33 research and test reactors; approximately 4,500 licensed reactor operators; 40 uranium recovery sites; 9 major fuel cycle facilities; approximately 4,400 research, medical, industrial, government, and academic materials licensees; and an increasing number of independent spent fuel storage installations (currently 46 licensees).

For new reactor facilities, the NRC reviews applications submitted by prospective licensees, and (when appropriate) issues standard design certifications, early site permits, limited work authorizations, construction permits, operating licenses, and combined licenses. For new reactors and other proposed facilities, NRC staff use topographic data in a variety of review areas for the evaluation and independent confirmatory analysis of information submitted by an applicant with an application for a power plant or other applicable facility. NRC recognizes that enhanced elevation data will bring potential improvements to its mission-critical activities.

NRC managers identified a single Functional Activity with mission-critical requirements for elevation data:

• <u>Nuclear Power Plant Site Natural Phenomena Hazard Assessment and Risk Mitigation</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation.

NRC staff provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### Nuclear Power Plant Site Natural Phenomena Hazard Assessment and Risk Mitigation



For new reactor licensing, high resolution elevation data would enhance the evaluation of potential hazard sources for proposed nuclear power plant sites.

The NRC would use high quality LiDAR data to refine the identification of surface faulting geologic/tectonic structures, potential liquefaction sites, potential landslide areas, karst topography, surface water drainage, coastal flooding extents and other flood prone areas.

Seismic hazard is a critical component in the assessment of siting of a nuclear power plant. QL1 LiDAR data will improve the information used in identifying and evaluating potential seismic sources. QL1 elevation data will be reviewed at least within 200 miles of a potential site, and farther, if large seismic sources exist outside of this radius. Because potential sites could be located almost anywhere, QL1 data are specified nationwide for assessment of the feasibility of potential sites.

## **Operational benefits (internal) to NRC of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission compliance: Moderate	\$ Benefits: Cannot determine
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- Access to the most reliable data set for identification of geologic faults.
- Ability to identify young geologic faults not visible when walking over the terrain.
- Ability to identify and analyze tectonically active features efficiently (e.g., growing anticlines over buried, active faults or truncated stream drainage networks).
- Ability to perform improved flood risk evaluation and flood hazard modeling of potential sites.
- Ability to use LiDAR point cloud data and GIS tools to answer siting questions.

#### Customer service benefits (external) to the public from improved NRC products/services:

Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot
			estimate

• NRC could improve public health and safety decisions, complete technical reviews with greater efficiency and effectiveness, and produce an even higher quality safety analysis.

#### Other Benefits from NRC's use of enhanced elevation data for this Functional Activity:

Public	/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
•	Increased capability during	public hearings to address related	questions on safety and
	environmental issues inclu	ding potential seismic activity withir	n hundreds of miles from
	proposed facilities.		

• Enhanced confidence in all safety evaluations containing a topographic component for proposed nuclear facility sites.

# **Office of Surface Mining Reclamation and Enforcement (OSM)**

#### Point of Contact: Dianne Osborne, (303) 293-5076

OSM's mission is to carry out the requirements of the Surface Mining Control and Reclamation Act (SMCRA) in cooperation with States and Tribes. Its primary objectives are to ensure that coal mines are operated in a manner that protects citizens and the environment during mining and assures that the land is restored to beneficial use following mining, and to mitigate the effects of past mining by aggressively pursuing reclamation of abandoned coal mines.

OSM is a bureau within the United States Department of the Interior. It was established in 1977 when Congress enacted the Surface Mining Control and Reclamation Act to regulate the manner in which coal mining was conducted to minimize the impacts on the environment. OSM works with State and Indian Tribes to assure that citizens and the environment are protected during coal mining and that the land is restored to beneficial use when mining is finished. OSM and its partners are also responsible for reclaiming and restoring lands and water degraded by mining operations before 1977. The Surface Mining Act covers 36 different states with current or past coal mining.

OSM oversees the regulation of coal mining operations to ensure that they are conducted in an environmentally responsible manner and that the land is adequately reclaimed during and following the mining process. Most coal-mining states now have the primary responsibility to regulate surface coal mining on lands within their jurisdiction. OSM also partners with states and Indian tribes to regulate mining on Federal lands and to support states' regulatory programs with grants and technical assistance.

The mine permitting and monitoring process involves reviewing mine permits, issuing permits and collecting bond fees from mining operators, monitoring mining operations for ongoing reclamation compliance, reviewing post-mining conditions for permit compliance, and release of bond monies.

OSM also oversees the environmental restoration of abandoned mine lands (AML). These are lands and waters adversely impacted by inadequately reclaimed surface coal mining operations (pre-1977) on lands that were not subject to the reclamation requirements of the Surface Mining Law. Grants are available to mining states for AML remediation and each state has annual goals for AML remediation. OSM monitors AML remediation and releases bond monies after reclamation is complete.

OSM managers identified the following major Functional Activity with mission-critical requirements for elevation data:

• <u>Regulation and Reclamation of Coal Mining Activities</u>, under Business Use #10, Resource Mining

OSM managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### **Regulation and Reclamation of Coal Mining Activities**



Currently OSM monitors the approximately 5,600 active mine permits in 27 states on a quarterly basis. Other activities, including AML remediation, occur within the 36 states with current or past mining activities. Annual or bi-annual data are needed for these activities.

Representative cross sections along various lines within the mining operation are provided by mine operators as a part of the permit submittal and these locations are used to monitor ground conditions for permit compliance. Elevation data along the cross sections are used to evaluate the slope, aspect, etc. of the lands. Surface water elevations and underwater streambed and lakebed data are also needed for these activities.

Elevation data are used by OSM for the following:

- Existing pre-mining contours and volumetric analyses are needed as the baseline against which to measure permit proposals, set bond amounts, and measure restoration activities.
- As mining operations continue, ongoing data collection is used to monitor backfill and grading activities and determine whether incremental reclamation activities have met permit requirements, thereby being eligible for release of bond monies.
- At the end of mining activities, elevation data are used to determine if reclamation is adequate and the landscape has been restored to its "original" condition within the requirements of the legislation.

Elevation data that are currently used to accomplish these activities are collected using LiDAR, traditional photogrammetric methods, and GPS field surveys. Some data are collected using aircraft and helicopters, and OSM is investigating the feasibility of using satellite data and data from unmanned aircraft (UAVs). In 2010, OSM and its state partners conducted 80,089 field inspections nationwide. Field inspections typically require one to five days per site at costs that range from \$700 to \$10,000 per site.

#### **Operational benefits (internal) to OSM of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major Mission Compliance: Major	\$ Benefits: \$186,447/yr
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For active mining operations, when LiDAR data are available, the requirements for field inspections can be reduced, although they cannot be eliminated. Bureau scientists can perform analyses using CAD or GIS software to ensure reclamation is correct and erosion is minimized. Cross sections that correspond to those provided by the mine permittee can be cut from the LiDAR terrain data. Profiles of the terrain along the cross sections can be generated and compared to the permit requirements in an office environment resulting in a "virtual inspection." Areas of concern can be identified in the office and targeted field inspections can be performed as needed, thereby reducing travel time and costs as well as time required in the field.

A Return on Investment study was conducted by OSM and the West Virginia Department of Environmental Protection and published in 2009. This study compared costs for performing similar activities using three methodologies – GPS field collection, photogrammetry, and LiDAR – for a 150 acre site in West Virginia. The study revealed that the LiDAR method was by far the most cost effective method as shown in the table below.

Method of Data Collection	Dataset Density	Cost
Post-processed field collected	Low	\$50,815
GPS data		
Photogrammetry	High	\$72,267
LiDAR	Highest	\$26,763

OSM also conducted a cost benefit analysis in 2011 that indicated that the use of remote sensing (satellite data) to support field inspection would save \$0.1747/acre. The approximate acreage of disturbed mining areas is 1,067,241. Using this methodology, annual benefits are estimated at \$186,447 if OSM were to receive LiDAR data annually.

- Potential unforeseen costs of remediating a site that is not reclaimed to the standards set forth in the permit and the costs of associated impacts such as stream degradation, etc. can be avoided through the use of LiDAR data.
- For AMLs, LiDAR data can be used to identify the extent of features such as benches, highwalls, subsidence, slides, etc. Again, fewer field inspections are required when LiDAR data are available.
- LiDAR data provide reliable, quantifiable, accurate and consistent information for the inspectors in the field.

• More frequent reviews of mining operations and reclamation activities.

#### Customer service benefits (external) to the public from improved OSM products/services:

Performance: Major Timeliness: Major Experience: Major \$ Benefits: Cannot estimate
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- OSM's ability to monitor surface mining activities and AML remediation is improved and their response time is quicker.
- OSM and mine operators can be on the same page when determining required actions.
- Bond monies can be released to permittees more quickly, allowing mining operators to begin operations in new areas of a site or new sites.
- More effective reclamation, thereby increasing the value of the land.
- Less reconstruction (e.g., to correct grading problems or stream morphology) if problems can be identified and addressed more quickly.

#### Other Benefits from OSM's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Major	Environmental: Major	Strategic/Political: Major
•	OSM's other benefits from	the use of elevation data include	e the ability to better communicate
	with the public regarding r	nining-related issues, and enviror	nmental benefits that include more
	effective reclamation and/	or remediation efforts.	
٠	Improved transparency, in	cluding better public outreach.	Being able to take accurate maps to

- Improved transparency, including better public outreach. Being able to take accurate maps to public hearings more efficiently and effectively allows OSM to educate the public and local officials concerning mining and reclamation issues.
- More accurate and effective reclamation designs that result in significant environmental benefits.
- Improved public safety due to the ability to better identify AML features that pose health and human safety concerns such as benches, highwalls, subsidence, slides, etc.
- Greatly reduced danger from mining operations intersecting gas lines, buried water sources, or other catastrophic possibilities.
- Better collaboration with permittees.

# **Tennessee Valley Authority (TVA)**

## Point of Contact: Roy Teal, (423) 751-6635

The Tennessee Valley Authority (TVA) is the nation's largest public power provider and is wholly owned by the U.S. government. TVA was established by Congress in 1933 to provide for river navigation, flood control, agricultural and industrial and development, and to promote the use of electric power in the Tennessee Valley region. As shown on the adjoining map, TVA's service territory includes most of Tennessee and parts of Alabama,



Mississippi, Kentucky, Georgia, North Carolina and Virginia – an area of 90,000 square miles with a population of 9 million, and sells electricity to 155 power distributor customers and 56 directly served industries and Federal agencies.

TVA operates 29 hydroelectric dams, 11 coal-fired power plants, 3 nuclear plants, and 12 natural gasfired power facilities and supplies up to 37,188 million kilowatts of electricity, delivered over 16,000 miles of high-voltage power lines. TVA also provides flood control, navigation, land management, and recreation for the Tennessee River system and works with local utilities and state and local governments to promote economic development across the region. TVA, which makes no profits and receives no taxpayer money, is funded by sales of electricity to its customers. Electricity prices in TVA's service territory are below the national average.

TVA's core mission is to improve the quality of life and economic prosperity for people and businesses in the Tennessee Valley by providing: affordable electricity, economic and agricultural development, environmental stewardship, integrated river system management, and technological innovation. TVA's vision is to be one of the nation's leading providers of low cost and cleaner energy by 2020. More specifically, TVA intends to be: the nation's leader in improving air quality, the nation's leader in increased nuclear production, and the Southeast's leader in increased energy efficiency.

TVA's Geographic Information & Engineering (GI&E) Department provides geospatial services across TVA and encourages the appropriate use of geospatial data and technologies to improve the effectiveness of TVA organizations; and leads enterprise GIS at TVA to ensure data quality, improve consistency, eliminate duplication, and reduce total TVA costs related to geospatial data and technologies.

TVA managers identified four major Functional Activities with mission-critical requirements:

- <u>Power Generation, Transmission Line, and Vegetation Management</u>, under Business Use #BU21, Infrastructure and Construction Management
- Navigation and Flood Risk Mitigation, primarily under Business Use #14, Flood Risk Management
- <u>Natural and Cultural Resource Management and Conservation</u>, primarily under Business Use #1, Natural Resources Conservation
- <u>Siting of Wind and Solar Generation Facilities</u>, under Business Use #11, Renewable Energy Resources.

TVA managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### **Power Generation, Transmission Line, and Vegetation Management**



Providing dependable and reliable power generation and transmission is critical to TVA, its customers, and TVA's ability to meet or exceed industry and Federal Energy Reliability Standards.

To effectively plan, site, design, route, and construct newly proposed infrastructure such as power generating facilities, transmission lines, and other major TVA projects, TVA requires accurate topographic data for locating and placement of new infrastructure. The TVA Power Service Area represents an area of approximately 80,000 square miles of variable forested lands and tree densities, terrain, and watersheds; therefore, TVA requires high quality QL1 LiDAR for accurate flood risk modeling, including Probable Maximum Flood, for generating plants and major substations. Bathymetric data is also desired.

TVA also requires QL1 LiDAR to support planned annual and seasonal inspections of right-of-ways and transmission lines to maintain required clearance from trees and encroachments. QL1 LiDAR data are required to support event driven or project based inspection activities resulting from landslides, floods, or tornados, studies for uprating transmission lines, and infrastructure failures such as the Kingston Ash Spill failure or similar fossil, hydro, or nuclear emergencies.

#### Operational benefits (internal) for TVA of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$600K/yr
Overall operational costs	would be reduced	

erall operational costs would be reduced.

- TVA would save an estimated \$600K/yr by using LiDAR data in lieu of field visits and traditional topographic surveys.
- Sections of the transmission system that were quickly rebuilt after broad outages (e.g., due to tornados) can be re-evaluated with QL1 LiDAR data, which will improve the long term operation of the system.
- TVA could quickly and accurately model flood risks to its power generation and transmission facilities so mitigation steps can be expedited.
- QL1 data could enable TVA to make better decisions regarding the need to acquire additional flowage easement property.
- As shown in the figures below, QL1 LiDAR could enable TVA to meet North American Electric Reliability Corporation (NERC) compliance standards and improve vegetation management, and rating, uprating, or reconductoring decisions.



For narrow corridors, LiDAR from helicopters is commonly used for mapping of catenaries, and vegetation management of high-voltage transmission lines (*image courtesy of SAM*, *Inc.*)



For broad areas, high-resolution LiDAR from fixed wing aircraft could be used for vegetation management and mapping of catenaries of major transmission lines and even small electric lines (image courtesy of Towill, Inc.)

#### Customer service benefits (external) to the public from improved TVA products/services:

Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown			
Customers would benefit from improved services, including potential reductions in power						

- outage due to vegetation coming in contact with transmission lines.
- With QL1 LiDAR coverage throughout its Power Service Area, TVA would be better able to meet or exceed planned schedules for modernization programs, thereby expediting services to its customers.

#### Other Benefits from TVA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
Better LiDAR data yields be	etter analyses and improved decisio	ns by TVA. This increases public
confidence when TVA prov	vides detailed analyses and graphics	at public outreach sessions or for
nuclear licensing.		

- Increased reliability in the delivery of power will benefit TVA politically as well as benefit the public with decreased likelihood of service interruption.
- Data would be readily available for quick turnaround of unscheduled projects.

#### **Navigation and Flood Risk Mitigation**



The TVA requires high quality LiDAR data to support its Dam Safety and Inland Waterway Navigation programs within the Tennessee River Valley covering approximately 40,000 square miles. TVA currently relies on USACE data, USGS-supplied NED data, or data from state or local governments. For TVA's Dam Safety program which has oversight of 365 dams, LiDAR data are required to perform flood risk analysis of potential catastrophic dam failures due to terrorism or seismic events. TVA also requires LiDAR data to support the development of risk mitigation strategies and risk analysis studies to assess structural integrity of dams and dikes due to changes in dam volumes caused from siltation, landslides, or storm events. LiDAR data assist TVA in accurately capturing heights of dams and dikes and in the calculations of water volumes. Bathymetric data is also desired.

Example: The Kingston Tennessee Ash Spill, which covered approximately 300-500 acres, was provided as a good example of how LIDAR and bathymetric data, if it had been available, would have enabled TVA to answer questions pertaining to the pre-event levels of ash distribution versus post-event levels and the relative environmental impact.

TVA's Inland Waterway Navigation program requires LiDAR and bathymetric data to monitor siltation in barge canals and waterways, and to manage contractors hired to perform dredging operations to verify work performed. TVA uses cross-section measurements at 25' intervals to assess the extent of sediment build up.

## Operational benefits (internal) to TVA of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission compliance: Moderate		\$ Be	nef	its:	\$1(	00K/yr	
		•						

• TVA would save an estimated \$100K/yr by using QL2 LiDAR data in lieu of field visits and traditional topographic surveys.

- TVA could calculate economic damages and population at risk with added certainty.
- More detailed data would allow better TVA decision making for a variety of navigation projects. Time/cost savings may be moderate since LiDAR would only supply data for a portion of the bathymetry needed.
- Improved accuracy of potential flooding under various event scenarios will allow TVA to manage the flow of the Tennessee River more effectively.

#### Customer service benefits (external) to the public from improved TVA products/services:

Performance: Major	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown		
Customers would receive higher quality products					

- Renovated TVA Emergency Action Plans would greatly improve the TVA Dam Safety customer experience.
- Much more accurate data would be available for meeting customer needs.
- The improved accuracy of predicted inundation extents under real or potential rainfall events will allow property owners to better prepare for and mitigate potential damage due to flooding.

#### Other Benefits from TVA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Minor	Strategic/Political: Moderate		
Improved TVA Emergency Action Plans would benefit local response units and public confidence				
in TVA products and prepa	redness.			

• More accurate data would enhance safety, educational outreach, and allow more accurate updates to Tennessee River navigation charts.

#### Natural and Cultural Resource Management and Conservation



TVA requires QL1 LiDAR data to manage its environmental, wetlands, reservoir and streamline, and cultural resource management programs for the Tennessee River Valley and TVA Power Service Areas. Cultural resource management requires high quality QL1 LiDAR to support high level research involving micro-topographical detection and delineation of cultural resources and detailed monitoring of site conditions in heavily forested and sensitive environments. Similarly, TVA requires QL1 LiDAR data to support NEPA environmental assessments and development of environmental impact analysis studies. TVA continuously monitors shoreline erosion using detailed flood data and topographical contour data for measurement of runoff direction; siting of newly proposed roads, trails, and recreational areas; and mapping, identification, management, and conservation of wetlands.

In addition to already available elevation data, TVA has a need for event driven data as well (e.g., planned and unplanned projects related to storm flooding and landslide events, new construction of infrastructure, roads, transmission lines, recreation and park areas, environmental assessments and accidents, etc.).

#### **Operational benefits (internal) to TVA of enhanced elevation data for this Functional Activity:**

Time/	cost savings: Moderate	Mission compliance: Major	\$ Benefits: \$150K/yr
•	TVA benefits operationally	from accurate and current data of f	lood risks, shorelines, shoreline
	erosion, and runoff directi	on.	
•	QL1 LiDAR helps TVA in loc	ating historic, cultural resources as	well as new roads and trails; siting
	for recreation areas such a	s camping, day use, and picnic areas	s; location of encroachments on
	Federal lands; and 3-D mo	deling for public presentations.	

- With QL1 LiDAR data available over its entire area of operations (rather than small, isolated areas only), TVA will be able to analyze and study an entire area rather than just small localized areas on a case-by-case basis.
- TVA would save an estimated \$150K/yr by using LiDAR data in lieu of field visits and traditional topographic surveys.
- High quality LiDAR data will simplify and speed up the work of analysts and help them develop and maintain strong products.
- Better data yields better analyses of natural and cultural resources, including improved resource discovery, definition, monitoring, and management.

# Customer service benefits (external) to the public from improved TVA products/services:

Performance: Moderate   Timeliness: Moderate   Experience: Moderate   5 Benefits: Onknown	Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown
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- The public benefits from TVA's better planning of facilities including recreational areas, better protection from flooding, and better preservation of natural and cultural resources.
- High quality LiDAR data helps TVA develop better products and provide better services to its customers.
- QL1 LiDAR provides TVA customers with timely, efficient, and cost effective management and conservation of natural and cultural resources.

# Other Benefits from TVA's use of enhanced elevation data for this Functional Activity:

Public/Social	: Major	Environmental: Major	Strategic/Political: Moderate			
• The s	The same LiDAR data used by TVA also enhances the opportunities for economic development					
throu	ighout the TVA servic	e area. For example, LiDAR data typi	ically saves over \$25K for each site			
being	considered for comr	nercial development by reducing ne	eds for site topographic surveys.			
• QL1 I	.iDAR data will provid	e better information to the public d	uring the NEPA review process.			
• Bette	r data yields better re	esults. These results can then benefi	t the environment and also aid in			

- public awareness and conservation efforts.
- Improved data can raise public awareness and will be beneficial to the environment.
- Higher resolution data would help develop products that could be used to include the public for conservation/awareness and would also benefit the environment.
- Improved cultural resource management.

## **Siting of Wind and Solar Generation Facilities**



TVA requires QL4 elevation data from existing imagery for planning and siting of future wind and solar generation facilities. TVA considers the currently available NED data of sufficient spatial accuracy but not current enough to meet its needs for this purpose. TVA currently operates one wind generation facility and several small solar generation sites in the Tennessee River Valley with several wind and solar generation projects projected for the future.

## **Operational benefits (internal) to TVA of enhanced elevation data for this Functional Activity:**

Time/cost savings: Minor	Mission compliance: Minor	Benefits: \$50K/yr
Improved siting for wind a	nd solar generation facilities.	

• TVA would save an estimated \$50K/yr by using photogrammetric DEMs from existing imagery in lieu of more expensive land or aerial surveys.

#### Customer service benefits (external) to the public from improved TVA products/services:

Performance: Minor	Timeliness: Minor	Experience: Minor	\$ Benefits: Unknown			
Potentially improved public acceptance of TVA's proposed renewable energy sites.						

#### Other Benefits from TVA's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
Additional energy from renewable sources; reduced carbon footprint.		

# **U.S. Army Corps of Engineers (USACE)**

Points of Contact: Nancy Blyler (202-761-7755) and David Finnegan (603-646-4106)

The mission of the U.S. Army Corps of Engineers (USACE) is to provide vital public engineering services in peace and war to strengthen our nation's security, energize the economy, and reduce risks from disasters. In achieving this mission, the USACE must contribute to the national welfare and serve the public by providing quality and responsive services to the nation, the Army, and other customers in a manner that is environmentally, economically, and socially sustainable, and that focuses on public

safety and collaborative partnerships.

With Engineer Divisions and Districts nationwide, as shown on the adjoining map, USACE is a steward for some of the nation's most valuable natural resources and must ensure its customers receive products and services that provide for sustainable solutions that address short and longterm environmental, social, and economic considerations.

USACE's major Functional Activities include the following:



- 1. <u>Protection and Management of the Natural Environment</u>, primarily under Business Use #1, Natural Resources Conservation
- 2. <u>Restoration of Aquatic Ecosystems</u>, primarily under Business Use #2, Water Supply and Quality
- 3. <u>Flood Risk and Emergency Management</u>, primarily under Business Use #14, Flood Risk Management
- 4. <u>Infrastructure and Construction Management</u>, under Business Use #21, Infrastructure and Construction Management.
- 5. <u>National Coastal Mapping Program</u>, under Business Use #4, Coastal Zone Management and Business Use #19, Marine Navigation and Safety

For each of these Functional Activities, USACE managers provided the following assessments of elevation data requirements and benefits received from LiDAR data that they identified as missioncritical. Summarized details are provided in the following pages. While recognizing that LiDAR is missioncritical for water resources and related management tasks, USACE does not have hard numbers to backup the estimated cost savings listed below; therefore, conservative percentages were used in lieu of higher percentages that were estimated by managers and technical staff who participated in the USACE questionnaire process and workshop that reviewed requirements and benefits.

#### **Protection and Management of the Natural Environment**

#### **Mission-Critical Requirements:**

QL3 LiDAR data is essential for USACE's protection of wetlands, rivers and streams, dunes, beaches, and habitat and for cleanup of sites contaminated by chemical, radiological, biological and other wastes.

Update Frequency: 6-10 years

Business Use: Natural Resources Conservation, BU#1.

Estimated program budgets supported by elevation data: The Civil Works FY2011 budget lists \$108M for Environmental Stewardship, \$130M for FUSRAP (Formerly Utilized Sites Remedial Action Plan), and \$280M for Recreation. Of these three programs, the major elevation-related program pertains to stewardship of watersheds that flow into USACE reservoirs; therefore only the \$108M program is considered for dollar benefits from LiDAR.

Quantifiable Benefits of Enhanced Elevation Data: Time/cost savings from LiDAR were identified as "Major" with 10% increase in effectiveness. When assuming a conservative 2% savings from enhanced elevation data, the dollar benefits would equal \$2.16M/year



USACE is the steward of the lands and waters at Corps water resources projects. Its Natural Resources Management (NRM) mission is to manage and conserve those natural resources, consistent with ecosystem management principles, while providing quality public outdoor recreation experiences, to serve the needs of present and future generations. Elsewhere, Corps Districts, nationwide, promote community-based recreation and conservation, including local parks, greenways, beaches and waterways.

USACE administers provisions of the Clean Water Act and Federal wetland regulations. USACE is also responsible for clean-up of contaminated soils and hazardous areas of Formerly Utilized Sites.

## **Operational benefits (internal) to USACE of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: \$2.16M/yr
• Elevation data is critical for modeling of stormwater as well as point source and nonpoint source		
pollution of water. Accurate hydrologic and hydraulic modeling is key to environmental cleanup		
projects that include liquid	ls or chemicals.	

• For any given project, USACE's effectiveness to protect wetlands, rivers and streams, beaches and dunes is increased by at least 10% by the availability of accurate and current digital elevation data.

 The Civil Works FY2011 budget lists \$108M for Environmental Stewardship, \$130M for FUSRAP (Formerly Utilized Sites Remedial Action Plan), and \$280M for Recreation. Of these three programs, the major elevation-related program pertains to environmental stewardship of watersheds that flow into USACE reservoirs; therefore only the \$108M Environmental Stewardship program is considered for dollar benefits from LiDAR. When using an estimated 2% cost benefit instead of 10% estimated increase in effectiveness, the dollar value of LiDAR data would be \$2.16M/year.

#### Customer service benefits (external) to the public from improved USACE products/services:

Perfor	mance: Major	Timeliness: Major	<b>Experience: Moderate</b>	\$ Benefits: Unknown
• USACE is able to be proactive in protecting wetlands, rivers and streams, dunes and beaches,				

etc. by having accurate and current elevation data, readily available and accessible for modeling and analysis, rather than (1) researching individual projects to determine if data might be available somewhere, (2) using inaccurate and obsolete data from the National Elevation Dataset, and/or (3) contracting separately for acquisition of LiDAR data. Acquiring LiDAR on an as-needed basis is far more expensive and delays critical decision making.

#### Other Benefits from USACE's use of enhanced elevation data for this Functional Activity:

Public,	/Social: Major	Environmental: Major	Strategic: Major
•	Elevation data that accurate	tely models terrain slope, aspect and	d curvature are critical for plans to
	protect the environment f	rom various sources of pollution/con	ntamination. The Corps' success
	in performing this mission	has significant social, environmenta	l, and strategic benefits.
•	USACE is the nation's large	st provider of Federal recreation op	portunities, and its recreation
	areas contribute to the suc	ccess of the Administration's Great (	Outdoors Initiative. Elevation data

are required for design and construction of all lakes and other recreational facilities.

#### **Restoration of Aquatic Ecosystems**

#### **Mission-Critical Requirements:**

QL2 LiDAR data is critical for mapping, modeling, assessment, and restoration of aquatic ecosystems.

#### Update Frequency: 6-10 years

**Business Use:** Water Supply and Quality, BU#2.

Estimated program budgets supported by elevation data: The Civil Works FY2011 budget lists \$586M for Aquatic Ecosystem Restoration; the percent of this budget supported by elevation data is unknown, but believed to be high because restoration of ecosystems is highly dependent on high-accuracy, high-resolution LiDAR data.

Quantifiable Benefits of Enhanced Elevation Data: Time/cost savings from LiDAR were identified as "Major." When assuming a conservative 2% savings from LiDAR data determined to be mission critical, the dollar benefits would equal \$11.72M/year.



The priorities of the Corps' aquatic ecosystem restoration program are coordinated with, and informed by, interagency collaboration to restore nationally significant ecosystems including the California Bay Delta, Chesapeake Bay, the Everglades, the Great Lakes, the Gulf Coast, and numerous smaller project areas.

## **Operational benefits (internal) to USACE of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: \$11.72M/yr	
High accuracy LiDAR data is routinely required as mission-critical for assessing aquatic			
ecosystems and developin	ecosystems and developing plans for their restoration.		
Feasibility studies, Environmental Impact Assessments, cost estimates and other planning			
documents all require current and accurate elevation data.			
Elevation data used in feas	sibility studies are used in benefit/co	ost analyses to decide if a project is	
ever funded and implemer	nted.		

- Costs are greatly reduced when such data are available.
- The Civil Works FY2011 budget lists \$586M for Aquatic Ecosystem Restoration. The dollar value of LiDAR is estimated to be at least 2% of the overall \$586M/year project costs because this mission could not be performed without LiDAR. This amounts to a savings of \$11.72M/year.

#### Customer service benefits (external) to the public from improved USACE products/services:

Performance: Major	Timeliness: Major	Experience: Moderate	\$ Benefits: Unknown
The American public is best served when aquatic ecosystem restoration projects are effective			

- The American public is best served when aquatic ecosystem restoration projects are effective, efficient, and timely.
- Corrective actions are expedited, often by a year or more, when accurate and current elevation data are available.
- The success or failure of a project often depends upon the availability of accurate and current elevation data.

#### Other Benefits from USACE's use of enhanced elevation data for this Functional Activity:

Public/Social: Minor	Environmental: Major	Strategic: Moderate
Projects that preserve the Florida Everglades, Great Lakes, Gulf Coast and other national aquatic		
treasures, have immense benefits not just environmentally, but socially, politically, and		
strategically as well.		

#### **Flood Risk and Emergency Management**

#### **Mission-Critical Requirements:**

QL3 LiDAR data is mission-critical for hydrologic and hydraulic modeling and flood risk assessments and mapping in areas of high flood risk; QL2 LiDAR data is required for dam and levee safety programs of the Corps. Elevation data enables emergency response from terrorist attacks or natural disasters

Update Frequency: 6-10 years

Business Use: Flood Risk Management, BU#14.

Estimated program budgets supported by elevation data: The Civil Works FY2011 budget lists \$79M for Coastal Flood and Storm Damage Reduction, \$1.464B for Inland Flood and Storm Damage Reduction, and \$43M for Emergency Management. Of this, \$1.543B is largely dependent on LiDAR.

Quantifiable Benefits of Enhanced Elevation Data: Time/cost savings from LiDAR were identified as "Major." When assuming a conservative 2% savings from LiDAR data determined to be mission critical, the dollar benefits would equal \$30.86M/year.



The National Flood Insurance Program (NFIP) reduces future flood damage through hazard identification and mapping, effective community floodplain management, and insurance protection for property owners. The NFIP's flood risk identification, floodplain management land use and building standards reduce the costs and consequences of flooding by an estimated \$1 billion annually. USACE supports FEMA in execution of portions of the NFIP to include flood studies, and dam and levee safety programs.

For USACE's flood risk mapping and analysis in selected areas of high flood risk, QL3 LiDAR data is mission-critical for hydrologic modeling of watersheds, hydraulic modeling of floodplains, computation of water surface elevations for predicted flood events, modeling of these flood events, and delineation of floodplains for floods of specified frequency. USACE manages a comprehensive levee safety initiative to help ensure that Federal levees are safe and to assist non-Federal parties to address safety issues with their levees. For USACE's dam and levee safety programs, QL2 LiDAR is required.

LiDAR data is also invaluable for response and recovery from acts of terrorism (e.g., 9-11), earthquakes, tsunamis, volcanoes, hurricane tidal surges, tornadoes, wildfires, etc. Post-event LiDAR is typically compared with pre-event LiDAR for change detection and debris modeling.

#### **Operational benefits (internal) to USACE of enhanced elevation data for this Functional Activity:**

- QL3 LiDAR yields accurate flood risk mapping key to NFIP program success.
- QL3 LiDAR, when available wherever needed, saves time in looking for required elevation data in piecemeal fashion from multiple sources.
- QL3 LiDAR reduces costs for ground surveys of stream cross-sections.
- QL3 LiDAR expedites completion of flood studies, reduces production schedules.
- QL2 LiDAR enables accurate dam breach inundation mapping.
- QL2 LiDAR enables remote monitoring and assessment of levees for safety.
- The Civil Works FY2011 budget lists \$79M for Coastal Flood and Storm Damage Reduction, plus \$1.464B for Inland Flood and Storm Damage Reduction – both programs that are largely dependent on LiDAR data used exclusively for hydrologic and hydraulic modeling. The dollar value of LiDAR is estimated to be at least 2% of the overall \$1.543B program costs because these missions could not be performed without LiDAR. Thus, the annual dollar benefit is estimated to be \$30.86M/year.

# Customer service benefits (external) to the public from improved USACE products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$ Benefits: Major, but
			cannot estimate

- Flood maps that accurately depict flood risks enable floodplain managers and homeowners to take proactive steps to mitigate flood risks and reduce flood damages by \$1B annually, although not all of this savings can be directly attributed to enhanced elevation data. Although the LiDAR dollar benefits to the public are believed to be hundreds of millions of dollars annually, USACE can primarily only claim benefits from an improved dam and levee safety programs, and we do not know the value of these programs as a total of the overall \$1B annual reduced flood damages.
- LiDAR data enables real-time flood inundation warnings to sandbag, move valuables, and evacuate.
- Timely response to natural disasters and acts of terrorism.

# Other Benefits from USACE's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Minor	Strategic: Major
Major social benefits are in protecting the public from flood losses and/or mitigating those		

- losses and in the government's response to disasters.
  Major strategic benefits are gained by getting the public to recognize the validity of flood maps,
- in predicting flood risks, and getting floodplain managers and home owners to take steps necessary to mitigate those risks.

#### **Infrastructure and Construction Management**



#### Operational benefits (internal) to USACE of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: \$15.58M/year
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- LiDAR topographic surveys are ideal for project planning, preliminary design of drainage features, cut and fill calculations, tree removal estimates, and cost estimation. Final construction design and stakeout requires conventional land surveys.
- LiDAR can eliminate topographic survey costs in the planning stages of construction projects.
- LiDAR data, available in advance, typically reduces design schedules by 20%.
- The FY2012 construction program is funded at \$1.558B. When assuming a conservative 1% savings as a result of having LiDAR data already available for planning, estimating of cut and fill and tree removal, and drainage design, the savings amount to \$15.58M/year.

#### Customer service benefits (external) to the public from improved USACE products/services:

Performance: Major	Timeliness: Major	Experience: Moderate	\$ Benefits: Unknown
Construction projects typically start 12 to 18 months sooner when LiDAR data is available and			
used for planning and preliminary design. This also accelerates the construction phase and			
delivery of compl	eted projects to clients.		

#### Other Benefits from USACE's use of enhanced elevation data for this Functional Activity:

Public/	Social: Moderate	Environmental: Moderate	Strategic: Moderate
•	Social, environmental and strategic goals are enhanced when high accuracy elevation data is		
	used for project planning, Environmental Impact Assessments, etc., leaving no doubt that USAC		

is professional and conscientious in project management.

## **National Coastal Mapping Program**

#### **Mission-Critical Requirements:**

The National Coastal Mapping Program (NCMP) was established to provide recurring, regional, high-resolution, high-accuracy, data necessary to implement regional sediment management practices at USACE coastal navigation projects. NCMP is executed by the Joint Airborne LiDAR Bathymetry Technical Center of Expertise (JALBTCX) using the Compact Hydrographic Airborne Rapid Total Survey (CHARTS) sensor suite. A bathymetric LiDAR, topographic LiDAR, digital aerial camera, and hyperspectral imager deployed on a single aircraft enable concurrent physical and environmental characterization of beaches, wetlands, marshes, estuaries, and barrier islands. The program focus area is the active portion of the beach and nearshore and as such, data are required along sandy shorelines from offshore depth of closure to 1 mile onshore.

**Update Frequency:** 2-3 years (currently acquired on a 5-year cycle because of resource limitations)

**Business Use:** Coastal Zone Management, BU#4 and Marine Navigation and Safety, BU#19

Estimated program budgets supported by elevation data: Unknown

Quantifiable Benefits of Enhanced Elevation Data: Unknown



Since 1994, USACE has operated the Scanning Hydrographic Operational Airborne LiDAR Survey (SHOALS) and the Compact Hydrographic Airborne Rapid Total Survey (CHARTS) systems that collect and fuse topographic and bathymetric LiDAR and spectral imagery in support of USACE Navigation and U.S. Naval Oceanographic Office overseas tactical charting requirements. In 2012, they will be operating the new Coastal Zone Mapping and Imaging LiDAR (CZMIL) which is expected to have improved depth measurements and environmental characterization. JALBTCX is headed by USACE and executes the NCMP. The goal of the program is to provide recurring, regional, high-resolution, high-accuracy, data necessary to implement regional sediment management practices at USACE coastal navigation projects.

NCMP products and services include shoreline mapping, shoreline change analysis, coastal structure analysis, shoreline protection, landcover classification, landscape changes, geomorphological feature extraction, dredging management, coastal operations and navigation, navigation channel availability, environmental products, submerged aquatic vegetation species discrimination, stamp sands migration, surf zone changes, and various other datasets made available to the public on NOAA's *Digital Coast*.

#### **Operational benefits (internal) to USACE of enhanced elevation data for this Functional Activity:**

Time/cost savings: MajorMission compliance: Major\$ Benefits: Unknown	ime/cost savings: Major
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- The National Coastal Mapping Program already satisfies major mission requirements of USACE, NOAA, U.S. Navy, USGS and others.
- JALBTCX is already heralded as an example of diverse Federal, state and local agencies working together to satisfy common needs.
- USACE NCMP data and information products support regional sediment management and a number of other activities in the USACE: regulatory, flood damage reduction, asset management, emergency operations, and environmental stewardship. The data are widely used by the Federal family, specifically for FEMA RiskMap modeling efforts; USGS coastal and marine geology and extreme storm studies; and NOAA nautical chart production.

#### Customer service benefits (external) to the public from improved USACE products/services:

Performance: Major	Timeliness: Major	Experience: Majo	or \$ Benefits: Unknown	
<ul> <li>JALBTCX is herald</li> </ul>	JALBTCX is heralded as an outstanding example of diverse Federal, state and local agencies			
working together to satisfy common needs, avoiding duplicate programs by multiple agencies.				
<ul> <li>NCMP data support state and local government efforts in shoreline management,</li> </ul>				
environmental permitting, emergency management, marine spatial planning, and planning for resilient communities.				
Other Benefits from USACE's use of enhanced elevation data for this Functional Activity:				
Public/Social: Major	Environmental:	Major	Strategic/Political: Major	

Public/Social: Major	Environmental: Major	Strategic/Political: Major	
<ul> <li>USACE and JALBTCX work closely with NASA's Earth Science Applications Directorate, the</li> </ul>			
University of New Hampshire, the University of Southern Mississippi, Naval Oceanographic			
Office, U.S. Naval Research	Office, U.S. Naval Research Laboratory, The Nature Conservancy, the Federal Emergency		
Management Agency, U.S. Geological Survey, the Environmental Protection Agency, and various offices within NOAA to address coastal environmental issues that have major public/social and strategic/political implications, especially when the public focus is on climate change and sea level rise.			

# **U.S. Bureau of Reclamation (USBR)**

#### Point of Contact: Kurt Wille, (303) 445-2285

The U.S. Bureau of Reclamation (USBR), one of eight bureaus under the Department of the Interior, manages water resources, including dams, reservoirs, and hydroelectric power facilities, in the 17 western states of the United States. Its main customers are the States, irrigation districts, Tribal entities, USFWS, and NOAA fisheries. The mission of USBR is to manage, develop, and protect water and related resources in an environmentally and economically sound manner in the interest of the American public.

Under its mission, USBR manages all aspects of its water resources, including water quality, supply, delivery, and conservation; dam safety; flood risk modeling and management; river restoration; facilities design, construction, maintenance, and management; and fisheries management.

USBR has constructed more than 600 dams and reservoirs and is the largest wholesaler of water in the country. USBR is also the second largest producer of hydroelectric power in the western United States. USBR is currently managing 339 dams and 58 power plants within 188 project sites. In addition to USBR's customers in 17 states and Tribal nations, irrigation districts, USFWS, NPS, and NOAA's Fisheries Service. USBR also works closely with USGS regarding streamflows and other water resources conditions as well as EPA regarding water quality.

USBR currently acquires Quality Level 1 LiDAR data and bathymetric data of selected streams as needed for projects. USBR's current uses of LiDAR and bathymetric data include project design and construction, monitoring river flows and fish habitats, reservoir volume calculations, water forecasting, habitat mapping, identification of restoration opportunities, performing hydrodynamic modeling, dam inundation modeling, groundwater and surface water modeling, hydrologic and hydraulic modeling, and sediment and flow modeling.

USBR managers identified the following major Functional Activity with mission-critical requirements for enhanced elevation data:

• <u>Management of Resources Related to Delivery of Water and Power</u>, primarily under Business Use #2, Water Supply and Quality.

USBR managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### Management of Resources Related to Delivery of Water and Power

#### **Mission-Critical Requirements:**

QL2 LiDAR is required for monitoring river flows and fish habitats, reservoir volume calculations, water forecasting, habitat mapping, identification of restoration opportunities, and modeling and analysis.

Update Frequency: 2-3 years

**Business Use:** Water Supply and Quality, BU#2

Estimated program budget: \$90M/yr

Quantifiable Benefits of Enhanced Elevation Data:

Potential benefits of \$3.35M/year could be realized by USBR and its customers through the availability of high quality elevation data. Benefits would be realized from reduced data acquisition costs to USBR, reduced labor costs on design and construction of new projects, reduced possibility of errors resulting from use of disparate datasets, and savings to USBR from having stakeholders perform some of their own analyses.



USBR has determined that LiDAR QL2 data that cover their areas of interest would meet many of their needs, especially for modeling purposes. However, for project design and construction, higher accuracy data and field surveys will be needed for specific project areas. Additionally, USBR requires bathymetric data for specific project areas as well.

#### **Operational benefits (internal) to USBR of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major		Mission Compliance: Major	\$ Benefits: \$2.75 M
• +	High quality and consistent elevation data would lead to better output products. The ability to		
n	nodel seamlessly across U	SBR project areas would improve th	e accuracy of the modeling,
iı	including hydrodynamic modeling, dam inundation modeling, groundwater and surface water		
n	modeling, hydrologic and hydraulic modeling, and sediment and flow modeling.		
• T	• The existence of readily available high quality elevation data would greatly reduce data		
а	equisition and processing	costs. Considerable time would be	saved from not having to search

- acquisition and processing costs. Considerable time would be saved from not having to search for the best available data which may otherwise be missing, inconsistent, or of questionable quality. Furthermore, a certain portion of survey and field work would no longer be necessary. USBR estimates a combined total annual savings of up to \$2 million.
- Additionally, high quality elevation data would allow USBR to perform higher resolution modeling and analysis that is currently impossible due to data acquisition costs. Higher resolution modeling and analysis would provide USBR a better understanding of conditions

downstream of its facilities. This increased understanding could reduce or eliminate potential future loss of life or catastrophic economic impact.

• With high accuracy elevation data, design and construction of projects could be initiated more quickly and project labor costs would be reduced. USBR estimates an agency-wide savings of \$750,000.

# Customer service benefits (external) to private/public partners from improved USBR products/services:

- USBR would be able to produce higher quality products, more quickly, and for lower cost. These
  improved products, including improved water forecasts, inundation modeling and mapping, etc.
  would better meet the needs of USBR's customers (states, Tribal nations, water districts, water
  users).
- Reduced opportunity for errors that result from use of fragmented and disparate datasets. Potential savings of \$500,000 annually.
- Improved ability to communicate to stakeholders and the public about priorities for restoration or areas at risk.
- Consistency in data would result in USBR and its customers having a common point of reference.
- More accurate elevation data resulting in more detailed analyses would create greater confidence in the modeling results.
- Modeling of low flow spillway releases from dams or canal failure could be performed in areas where cost prohibits them now, providing improved emergency evacuation planning. This increased understanding has the potential to reduce or eliminate potential future loss of life or catastrophic economic impact.
- Stakeholders can perform their own analyses. In inter-agency programs (like San Joaquin River Restoration) where USBR is a partner agency, having readily available elevation data that all parties can access could save USBR up to \$100,000 annually by having stakeholders perform analysis on their own.

## Other Benefits from USBR's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Moderate	
<ul> <li>Improved perception of the</li> </ul>	Improved perception of the agency through higher quality products. High quality elevation data		
assists in conveying confic	assists in conveying confidence to stakeholders and the public on the results of analysis, on		
meeting program targets	meeting program targets for restoration, or on scenarios that may be generated from models.		
High quality elevation data	High quality elevation data can be used to better plan for flood evacuations and to support		
decision making tools for	decision making tools for dam safety risk analysis studies.		

- Better project planning.
- Environmental benefits from high quality elevation data would include hydrologic/hydraulic studies related to fish habitat, erosion, and climate change related analysis.

# **U.S. Forest Service (USFS)**

## Point of Contact: Everett Hinkley, (703) 605-4580

Established in 1905, the U.S. Forest Service (USFS) is an agency of the U.S. Department of Agriculture. The USFS manages 193 million acres of public lands in 155 national forests and 20 grasslands. The National Forest System is located throughout 44 States, Puerto Rico, and the Virgin Islands. The lands comprise 8.5 percent of the total land area in the United States.

The mission of the USFS is to "sustain the health, diversity, and productivity of the nation's forests and grasslands to meet the needs of present and future generations."

The USFS accomplishes this mission through five main activities:

- Protection and management of natural resources on National Forest System lands.
- Research on all aspects of forestry, rangeland management, and forest resource utilization.
- Community assistance and cooperation with state and local governments, forest industries, and private landowners to help protect and manage non-Federal forest and associated range and watershed lands to improve conditions in rural areas.
- Achieving and supporting an effective workforce that reflects the full range of diversity of the American people.
- International assistance in formulating policy and coordinating U.S. support for the protection and sound management of the world's forest resources.

The USFS works closely with other land management agencies such as the Bureau of Land Management (BLM) and the National Park Service (NPS), and agencies such as the Agency for International Development, the U.S. Department of State, and the Environmental Protection Agency, as well as with nonprofit development organizations, wildlife organizations, universities, and international assistance organizations.

LIDAR plays an important role for the Forest Service in two categories: vegetation classification and mapping and topographic analysis: Some important applications include:

- 1. Vegetation mapping
  - a. Forest inventories (canopy height, mean diameter, volume, biomass, basal area, hardwood/conifer discrimination, canopy density)
  - b. Wildland fuel assessments (canopy height, base height, bulk density, fuel volume)
  - c. Wildlife habitat assessments (percent cover, canopy height, hardwood/conifer discrimination, diameter class)
  - d. Monitoring canopy change (height growth, blowdown, mortality, harvest areas)
- 2. Topographic mapping
  - a. Engineering DEM production and corridor mapping
  - b. Floodplain watershed mapping and flood risk assessment
  - c. Landslide hazard assessment
  - d. Stream channel mapping terrain mapping for channel restoration
  - e. Geological mapping landform detection and fault-line mapping

USFS managers identified the following major Functional Activities with mission-critical requirements for elevation data:

- Forest Inventory and Assessment, under Business Use #5, Forest Resources Management
- <u>Wildfire Management</u>, under Business Use #16, Wildfire Management, Planning, and Response
- <u>Watershed Analysis</u>, under Business Use #3, River and Stream Resource Management
- Soils and Geology Inventory, under Business Use #1, Natural Resources Conservation
- <u>Wetlands Mapping and Characterization</u>, under Business Use #1, Natural Resources Conservation
- <u>Infrastructure Management</u>, under Business Use #21, Infrastructure and Construction Management

USFS managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

## **Forest Inventory and Assessment**



USFS has determined that QL1 data are needed for the forest inventories and assessments it must conduct in CONUS. However, QL5 data would be acceptable for Alaska.

The USFS Forest Inventory and Analysis (FIA) Program provides assessments of America's forests. As the nation's continuous forest census, this program projects how forests are likely to appear 10 to 50 years from now. This enables USFS to evaluate whether current forest management practices are sustainable and to assess whether current policies will allow the next generation to enjoy America's forests as we do today.

The FIA Program develops reports on the status and trends in forest area and location; species, size, and the health of trees; total tree growth, mortality, and removals by harvest; wood production and utilization rates by various products; and forest land ownership. The USFS has significantly enhanced the FIA program by changing from a periodic survey to an annual survey, by increasing its capacity to analyze and publish data, and by expanding the scope of its data collection to include soil, under-story vegetation, tree crown conditions, coarse woody debris, and lichen community composition on a subsample of its plots. High quality elevation data are an essential input into the forest inventories and assessments needed by the FIA Program.

The work involved in preparing the forest inventories involves different elevation quality levels: the ground surface information requires QL3 (to provide a ground elevation reference for tree heights) but a more dense point cloud is required to characterize vegetation conditions (at least 4 pulses per square
meter). A national forest inventory would require a combination of LiDAR data and field measurements conducted in a coordinated effort so as to accurately geo-reference the plot locations.

#### Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:

#### Time/cost savings: ModerateMission Compliance: Moderate\$ Benefits: \$10M/yr

- It has been shown that the accuracy and cost of a LiDAR-based inventory summarized at the stand level is comparable to traditional stand exams for structural attributes, and that the LiDAR data are able to provide information across a much larger area than the stand exams alone.
- USFS testing in pilot areas indicates that very expensive sampling in the field can be greatly reduced through the use of QL1 LiDAR data in combination with field sampling and modeling. Based on a report entitled "A Comparison of Accuracy and Cost of LiDAR vs. Stand Exam Data for Landscape Management on the Malheur National Forest" dated 2011, USFS estimates cost savings for equivalent accuracy data could be on the order of 10% over broad areas of forest lands, resulting in savings on the order of \$10M/yr. However, these savings are based on LiDAR acquisition costs that are almost double what should be expected for a national program, so savings could be as high as 60% (on the 5% or 10% of the points sampled).
- In addition to saving costs on field sampling, large area high-quality elevation data would provide more spatially complete vegetation information than existing field-based methods. This would allow more complete monitoring of changes to vegetation conditions. This point-in-time snapshot of conditions would represent a major improvement over sparse field plots measured over a 10-year period and summarized at the county level.
- QL1 LiDAR data would enable the USFS to quantify forest canopy structure with a higher level of detail and accuracy than has been available in the past. The LiDAR derived information can be used to quantify and model general canopy structure, wildlife habitat, forest fuel loads, and forest inventory variables (e.g., biomass, volume, basal area, etc.) with more efficiency, accuracy, and confidence. This information assists in making efficient and informed forest resource decisions. The availability of QL1 LiDAR data across all USFS lands would improve decision making processes and increase USFS's capability to manage its forest resources with increased efficiency and confidence on a national level.
- Additionally, if the data were already acquired, USFS Regions could focus resources on collaboration and strategies for utilizing the technology instead of building partnerships and raising funds to acquire LiDAR data. Through the National Digital Elevation Program (NDEP) additional funding may be saved through LiDAR project partnering and data acquisition with other agencies and organizations.
- Access to improved elevation data would add value to many USFS maps and geospatial products.
- Inventory and monitoring does not currently exist in interior Alaska. IFSAR data would be better than the currently available data for Alaska, and could be collected far more easily than LiDAR due to inclement weather constraints.

### Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot
			estimate

- Enhanced elevation data would provide improved model inputs and improved forest inventory information, especially from difficult to visit grid points.
- Clients would receive wall-to-wall vegetation information and bare-earth models. All information would represent the same point in time compared to data summarized from plots measured over a 10-year period. Clients would be provided with spatially explicit, high-resolution data products as compared to gross summaries.
- From QL1 LiDAR data and associated forest structure derivatives, the USFS is able to provide the public with a better inventory of existing forest resources and wildlife habitat. This allows USFS to act as a better steward of the nation's forest resources for the public. The USFS could provide the public with a better national inventory of existing forest resources, wildlife habitat, and areas of high fire risk.
- With elevation-derived products available in online databases, clients could summarize the information for their specific area-of-interest.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Major	Strategic/Political: Moderate
• LiDAR data could be used to better educate the public on forest restoration issues as well as		

- LiDAR data could be used to better educate the public on forest restoration issues as well as wildland-urban interface issues.
- Better information leads to better planning and management which, hopefully, leads to better environmental sustainability. A snapshot of vegetation conditions could help monitor changes due to climate change (over a long time period).
- Data could provide for inventory and monitoring that cannot be done now.
- The USFS would be better able to document and quantify its efforts in managing forest resources in the way that the public wants or has mandated. For instance, if the USFS is mandated to protect certain wildlife habitat, LiDAR data will allow the USFS to model that habitat across the landscape and determine appropriate management decisions based on current conditions. By utilizing LiDAR technology to quantify and verify the results of forest management activities, the USFS can have increased confidence in the evidence used to support National Environmental Policy Act (NEPA) disclosures to the public.
- Accurate elevation data is critical for providing accurate quadrangle maps and forest visitor maps to the many visitors throughout the national forest system.
- Safety is critical, and having accurate data helps improve safety.

#### Wildfire Management



USFS requires elevation data for wildfire management for the following activities:

- Fire modeling and post-fire response planning requires QL3 elevation data updated every 6-10 years
- Ground fuel mapping requires QL1 elevation data updated every 4-5 years

USFS works closely with the BLM on wildfire management issues.

#### **Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: \$1M+/yr
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- QL1 LiDAR data would provide great benefit to BAER mapping (Burned Area Emergency Response), and to improved fire behavior modeling. QL3 LiDAR would be a big benefit to the fire management in non-FS areas based on feedback from GIS specialists. The BAER teams would also be able to better predict peak runoff flows and sediment delivery with better elevation data, allowing for improved post-fire remediation prescriptions.
- Improvements to geospatial decision support data for wildfire will yield tangible savings, which
  will vary from year to year depending on the severity of the fire season. The value saved could
  be \$1M/yr or more, based on an assumption that a 0.3 to 1 percent savings per year could be
  realized in all phases of fire mapping and recovery over the 10 year life of the elevation data.

- The mapping of hot pixels (fire) is critical for tactical fire mapping support. Accurate terrain information is critical to the correct geospatial placement of the fire pixels.
- LiDAR data would improve safety for the firefighters on the ground, and for low flying aircraft supporting the fires. High quality elevation data would allow for more accurate information to support aircraft safety, situational awareness, incident support, fire modeling, equipment location and configuration including placement of aircraft, weather stations, etc. Accessibility and utilization of aircraft including rappelling support would be significantly improved.
- Improved elevation data has led to better modeling and understanding of wildfire spread and impacts on the land. This is very significant in regards to post-fire analysis and the stabilization of the land. The emerging use of high quality elevation data is focused on its use with post- or real-time processing of imagery.
- Using QL1 LiDAR data and associated forest structure derivatives, the USFS is better able to identify areas of high fire risk.

# Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Major	Timeliness: Major	Experience: Moderate	\$ Benefits: Cannot
			estimate

- Incident Command requires fire mapping data for daily tactical planning sessions.
- High quality elevation data would improve safety when dealing with aircraft on incidents. Accurate information about aerial hazards including mountain peaks and other hazards is critical for safety issues. Improved accuracy of elevation data would potentially save lives on incidents. For modeling and locational analysis, this accuracy would provide better information overall.
- Better information for resource program managers in their decision processes. Better quality elevation data leads to better modeling and better results.
- Consistent nationwide elevation data would be a major benefit is for development of consistent and repeatable analysis.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate
Public safety improvements would be realized from better knowledge of forest fuel conditions		

- and fire risk.
  Improved elevation data would lead to better management of America's public lands, therefore
- Improved elevation data would lead to better management of America's public lands, therefore benefiting everyone.

#### Watershed Analysis

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High accuracy elevation data are needed for hydrologic and hydraulic analyses needed for mapping floodplains on USFS lands, as well as hydraulic modeling of known critical locations and for placement of new structures.

#### **Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$1M+/yr	
High quality elevation data are critical to the hydraulic modeling and mapping of flood prone			
areas. If high accuracy elev	areas. If high accuracy elevation products derived from LiDAR became available across entire		
Forest units, projects could	Forest units, projects could be placed on the landscape with less risk of loss of public		
investment, resources, and property damage, and risk to human safety. For instance resource			
managers would know the	inherent risk of the placement of re	creation facilities on a well	
delineated floodplain.			
• A better ground surface m	odel under canopy could provide sig	nificant benefits to	

- A better ground surface model under canopy could provide significant benefits to hydrologic/hydraulic modeling and improved ecosystem management. It also would help with improved NEPA and Environmental Impact Statement (EIS) reports.
- Improvements in the elevation data set for watershed analysis could yield a 0.5 to 1 percent improvement in costs and functional improvements, resulting in an estimated \$1M/yr in savings, over the 10 year life of the elevation data.
- Enhanced elevation data would be useful for predicting downstream flow and impacts during high water events, leading to improved road, bridge, and facilities design.

### Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: Cannot
			estimate

- High quality elevation data results in enhanced decision support to the USFS managers who ensure public safety in flood-prone areas. Resources can be more effectively placed using more refined geographic information about the extents of forecasted flood prone areas.
- The integration of high accuracy elevation data help ensure the longevity of implemented projects and guard against risk to the safety of visitors using National Forest System lands. Risks can be identified and analyzed at more locations and addressed more quickly.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Major	Environmental: Minor	Strategic/Political: Major
•	• The ability to accurately model hydrologic and hydraulic processes would be greatly enhanced		
	with higher resolution elevation datasets made available Forest-wide. Using high accuracy		
	DEMs and their derivatives	can increase the efficient allocation	n of staff and monetary resources
	to locations that pose the	highest risk to public safely and ecol	ogical value.

• Over 3,000 municipalities' watersheds are managed or begin on public lands. Better elevation data would lead to better management of this precious, critical resource.

#### Soils and Geology Inventory



The USFS is relatively new to the application of LiDAR data to natural resource management. Its existing collection of high accuracy elevation products was primarily created using traditional field collection methods on a project by project basis as critical needs arose.

The use of elevation data in mapping applications has greatly increased the USFS's capability to spatially identify and locate individual soil components. Soil types are highly correlated to elevation, landscape position, and land shape. The use of high quality elevation data at the soil map unit scale could increase the probability of correctly identifying and locating individual soil components within map units.

#### Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:

Time/cost savings: Moderate	Mission Compliance: Moderate	\$ Benefits: \$800K/yr		
High quality elevation data	High quality elevation data would increase the speed and efficiency of soils inventories. A			
Congressionally mandated	national cooperative survey of Fede	eral lands is underway. Currently,		
soils inventories of 16 milli	ion acres of USFS lands remain to be	completed. USFS estimates that		
\$8M could be saved in the mapping of soils and ecological inventory units (\$0.50 per acre x 16				
million acres) using high quality elevation data as compared to the current process which relies				
on photogrammetrically de	erived DEMs. Over the 10 year life c	of the elevation data, this would		
result in annual savings of	up to \$800K/yr.			

NOTE: It is likely that the estimate of 16 million acres in the USFS that need soil/ecological unit inventory at a cost of \$8 million or \$0.50/acre using new technology is a significant underestimate. In Region 4 of the FS alone there are 16.3 million acres that do not meet National Cooperative Soil Survey standards. The use of high resolution elevation data would be of benefit to the project if it occurs.

Total costs are \$1.50/acre with standard soil survey and about \$3.00/acre for ecological unit mapping at 1:24000 scale of mapping. Utilizing high quality elevation data with digital soil/ecological unit mapping applications may bring the cost down by saving on-the-ground reconnaissance and map unit verification time; however, field plot level data collection will still be required for identification of components to be used in the digital mapping. Updates of the elevation data would be needed only once for soil and ecological unit mapping. The next update would be the next mapping and that occurs about once every 50 years if needed. Usually by then the technology has changed and new methods are used.

### Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot
			estimate

• Landslide prediction would be improved. Currently these assessments are done using coarsely mapped topographic and geologic datasets. Using high accuracy DEMs and their derivatives can increase the efficient allocation of staff and monetary resources to locations that pose the highest risk to public safely and ecological value. There will be significant savings in staff time utilizing LiDAR data through reductions in required field visits (soil pits). However, total savings cannot be estimated at this time.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Moderate	Strategic/Political: Moderate	
<ul> <li>Improved public safety. Safe roads and facilities require knowledge of soils and geology to</li> </ul>			
ensure stable road surfaces and side-slopes, which is predicated on using an accurate model of			
topography.			

#### Wetlands Mapping and Characterization



USFS requires hydro-enforced elevation data at QL2 for all USFS lands for use in delineating and characterizing wetlands. Wetlands data is in turn used for habitat analysis.

#### **Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major Mission Compliance: Major	\$ Benefits: \$10K/yr
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- High quality elevation data would improve USFS's ability to accurately map wetland boundaries, other environmentally critical areas, and surface water flow pathways, especially in areas of low relief.
- Hydro-enforced data collected for USFS's entire study area would provide significant cost savings since they are currently processing LiDAR data themselves to derive hydro-enforced data (e.g., culvert removal). It should be noted that there is very little hydro-enforcement done at the USFS at this time.
- Improved, hydro-enforced data for wetlands mapping and characterization would reduce the amount of field work currently performed. Field visits could be reduced by as much as 5% if improved elevation data were available, resulting in an estimated \$10K/yr savings.

# Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Moderate	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Cannot
			estimate

- Improved ability to meet customer needs for improved targeting and assessment of conservation management practices.
- Improved speed and consistency at which USFS is able to produce maps and other products.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/	/Social: Minor	Environmental: Major	Strategic/Political: Unknown
High quality elevation data would improve USFS's ability to accurately map wetland boundaries			
	and perform habitat analyses, especially in areas of low relief.		

#### **Infrastructure Management**

#### **Mission-Critical Requirements:**

QL1 LiDAR is required for all FS lands in CONUS, plus QL5 IFSAR for FS lands in Alaska, for design and placement of infrastructure (e.g., roads, trails, culverts, buildings, outhouses, campgrounds, fish passages, etc.) on USFS lands.

Update Frequency: 4-5 years

**Business Use:** Infrastructure and Construction Management, BU#21

Estimated program budget: \$495M/yr

### Quantifiable Benefits of Enhanced Elevation Data:

The USFS has estimated that savings of \$10M/yr could be realized through reduced need for field surveys and less staff time performing risk reduction assessments of USFS facilities.



High accuracy elevation products are used in the design and placement of capital improvements such as buildings, roads, trails, and telecommunications facilities. The cultural resource inventory is first stratified by using detailed analysis of the landscape. The planning and implementation of linear features such as roads and trails require high accuracy elevation data as input into automated models, including hydraulic modeling and floodplain mapping to ensure that infrastructure is not placed at risk.

#### **Operational benefits (internal) to USFS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission Compliance: Major	\$ Benefits: \$10M/yr		
The availability of high acc	uracy elevation products will reduce	e the amount of time presently		
consumed by resource spe	cialists to manually compute and as	sess topographic conditions to		
use in assessing appropriat	use in assessing appropriate structure design and logistics of design implementation. The			
amount of necessary field	amount of necessary field survey will be reduced, while a higher accuracy of estimates for these			
resource conditions based on topographic mapping will be realized.				
• One engineer estimated that he could have saved a month's worth of his time if he had a LiDAR				
survey instead of a ground based survey in a particular watershed he was working on. That				

survey instead of a ground based survey in a particular watershed he was working on. That equates to a salary savings of \$8,000 for one person on one project. Based on this and extrapolation to similar annual work, the USFS estimates that \$10 million annually could be saved in field surveys. • If high accuracy elevation products derived from LiDAR became available across the entire Forest units, projects could be placed on the landscape with less risk of loss of public investment, resource and property damage, and risk to human safety.

# Customer service benefits (external) to private/public partners from improved USFS products/services:

Performance: Major	Timeliness: Moderate	Experience: Major	\$ Benefits: Cannot
			estimate

- Projects can be completed more quickly because time needed for field surveys can be reduced or eliminated (see comment above). Rather than waiting for the availability of field survey crews, modeling can commence as needed, which would result in significant cost savings.
- High accuracy elevation data can be used to help ensure the longevity of implemented projects and guard against risk to public safety of visitors using the National Forest System lands. Risks could be identified with higher accuracy, analyzed at more locations, and addressed more quickly.
- The identification of sites most suited to cultural resource discovery can be quickly located and even the features themselves can often be detected using high accuracy elevation products. This can save staff time and money. It should be noted that the USFS currently doesn't do a lot of this kind of work, so the cost savings would be modest for this particular service benefit.

#### Other Benefits from USFS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Minor	Strategic/Political: Moderate

- Improved public safety. Safe roads and facilities are always the goal in facilities management and design. When approaching road design, several aspects of safety, including a stable road surface and side-slopes, are predicated on using accurate models of topography. High accuracy elevation datasets provide the engineer with the tools to quickly plan for safe routes in the most cost effective manner. This type of data Forest-wide could facilitate the development of accurate assessments in support of Forest Plan documents.
- The identification of cultural resources could be enhanced and hastened. These enhanced products could be available for Forest Plan analysis on a Forest-wide scale.

#### U.S. Geological Survey (USGS)

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The USGS serves the nation by providing reliable scientific information to describe and understand the Earth; minimize loss of life and property from natural disasters; manage water, biological, energy, and mineral resources; and enhance and protect our quality of life.

The USGS is a science organization that provides impartial information on the health of our ecosystems and environment, the natural hazards that threaten us, the natural resources we rely on, the impacts of climate and land-use change, and the core science systems that help us provide timely, relevant, and useable information.

As the nation's largest water, earth, and biological science and civilian mapping agency, the USGS collects, monitors, analyzes, and provides scientific understanding about natural resource conditions, issues, and problems. The diversity of USGS scientific expertise enables it to carry out large-scale, multi-disciplinary investigations and provide impartial scientific information to resource managers, planners, and other customers.

The USGS is focused on some of the most significant issues society faces, in which natural science can make a substantial contribution to the well-being of the nation and the world:

- Climate and Land Use Change
- Core Science Systems
- Ecosystems
- Energy and Minerals, and Environmental Health
- Natural Hazards
- Water

USGS managers identified nine major Functional Activities with mission-critical requirements for elevation data:

- <u>Geologic Mapping</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation
- <u>Seismic Hazards</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation
- <u>Landslide Hazards</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation
- <u>Volcano Hazards</u>, under Business Use #9, Geologic Resource Assessment and Hazards Mitigation
- <u>Water Resource Planning and Management</u>, under Business Use #2, Water Supply and Quality, and Business Use #3, River and Stream Resource Management
- <u>Coastal Zone Management and Sea Level Rise and Subsidence</u>, under Business Use #4, Coastal Zone Management, and Business Use #15, Sea Level Rise and Subsidence
- <u>Flood Risk Management</u>, under Business Use # 14, Flood Risk Management
- <u>Mapping, Monitoring, and Assessment of Biological Carbon Stocks</u>, under Business Use #1, Natural Resources Conservation
- <u>Mapping, Monitoring, and Assessment of Habitat</u>, under Business Use #7, Wildlife and Habitat Management

USGS managers provided the following assessments of elevation data requirements and benefits received from the enhanced elevation data Quality Level that they identified as mission-critical. Summarized details are provided in the following pages.

#### **Geologic Mapping**



The National Cooperative Geologic Mapping Program (NCGMP) supports geologic mapping by USGS personnel, state geologic surveys, and university students. This mapping guides assessment and development of solid-earth resources (base and precious metals, sand and gravel, coal, oil, and natural gas), provides a framework for assessment and mitigation of earth hazards (landslides, earthquakes, mine collapse, floods), is essential to ground-water investigations, provides a much-needed context for climate-change studies, underlies local land-use planning, and supports site-specific engineering studies by the geotechnical industry. The annual budget of NCGMP is about \$30M.

Some projects within NCGMP, primarily in the Pacific Northwest where LiDAR data have been acquired by the Puget Sound LiDAR Consortium and the Oregon LiDAR Consortium, have over a decade experience working with LiDAR elevation data. With these data, geologic maps are more detailed and conceptually superior. The improvement is especially significant for identification of young (Quaternary) deposits and landforms; and for the evaluation of earth hazards (landslide, earthquakes), study of groundwater resources, and assessment of sand and gravel resources. The greater spatial accuracy greatly benefits map users, as LiDAR-based geologic maps can commonly be interpreted at the parcel level. Previously, this precision was rare for general-purpose geologic maps produced by public agencies.

Nationwide QL1 LiDAR elevation data would extend these benefits to all activities supported by the NCGMP. There will be no problem with uptake of high-resolution LiDAR topography by the NCGMP community. In addition, there are selected areas where science investigations may require higher point density, such as mapping of detailed geologic fault and landslide features. USGS estimates that currently

less than 10% of the workforce supported by NCGMP is working in areas where LiDAR data are available. With nationwide LiDAR data, modest efficiencies and much-improved science will be possible with LiDAR extended to all of USGS' Program-supported workforce. USGS estimates the direct benefit to NCGMP of nation-wide QL1 LiDAR coverage at \$10M/yr.

NCGMP strongly supports acquiring LiDAR data at ~8 pulse/m<sup>2</sup> (Quality Level 1). There are three reasons for recommending this data quality level:

- 1. Experience in the Pacific NW (Puget Sound LiDAR Consortium and Oregon LiDAR Consortium) is that it is easier to fund acquisition of high-quality data. Potential significant collaborators, especially in the forest-products sector, won't help fund lower quality data.
- 2. The USGS has substantial experience with both 1 pulse/m<sup>2</sup> and 8 pulse/m<sup>2</sup> data in forested areas. The difference in DEM quality is significant, even though 8 pulse/m<sup>2</sup> data are gridded at 1 or 2 m resolution. The higher-resolution data result in more laser point returns from the ground surface rather than vegetation thereby producing higher quality DEMs that are easier to interpret and can be interpreted more confidently.
- 3. When better elevation data are made available to the geologic research community, productive and remunerative uses of these data will be found.

Where does NCGMP need enhanced elevation data? By statute, NCGMP's focus is the nation. America needs better elevation data everywhere. That said, NCMGP can't use better data everywhere all at once.

- Long-term (>10 year) NCGMP needs are not particularly predictable, as geologic map-related concerns evolve (e.g., from resources to hazards to bio-geo interaction and climate change).
- Short-term (1-5 year) NCGMP needs are predictable and collaboration between NCGMP staff and managers of an enhanced elevation program would be useful.

NCGMP's scope includes Alaska. For Alaska, LiDAR is not the best technological solution. NCGMP would benefit from acquisition (via purchase and public-domain release of existing data, or via purchase of new data) of ~5 m XY resolution by ~1 m Z accuracy airborne IFSAR data.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Minor	Mission Compliance: Major	\$ Benefits: \$5M/yr	
<ul> <li>Time and cost savings with</li> </ul>	• Time and cost savings within NCGMP are likely to be modest. Geologic mapping with high-		
resolution LiDAR topography is a little faster than mapping without. The increased productivity			
will almost certainly be absorbed in making better and more maps with the same resources.			

- More extensive, better elevation data will clearly result in increased mission compliance. Spatial
  precision of geologic maps improves dramatically. For 7.5-minute quadrangle-format maps, the
  improvement is typically from 30-100 meters to 5-15 meters. Thematic accuracy increases as
  well, in some cases doubling the number of units that can be mapped.
- It is expected that the use of LiDAR topography across NCGMP will result in a benefit equivalent to 1/3 the overall cost of the program (~\$30M/yr) and these benefits will be shared equally between NCGMP and external collaborators and clients, resulting in \$5M/yr in benefits.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Major Timeliness: Minor	Experience: Major	\$ Benefits: \$5M/yr	
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- Improved spatial and thematic accuracy of geologic maps (e.g., better delineation of landslides) will be a major benefit to USGS' traditional customers. Spatial precision appropriate to parcellevel studies should make USGS' maps relevant to more users.
- An additional benefit is the use of detailed shaded relief as a base for portraying geology. Experience has shown that such shaded-relief based maps are more easily understood and are understood by more people—forgetting how many of us don't read contours. Furthermore, portrayal of geology on detailed shaded relief allows ready evaluation of the quality of the geologic mapping.
- It is expected that the use of LiDAR topography across NCGMP will result in a benefit equivalent to 1/3 the overall cost of the program (~\$30M/yr) and these benefits will be shared equally between NCGMP and external collaborators and clients, resulting in \$5M/yr in benefits.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Major	Strategic/Political: Major		
NCGMP experiences consi	NCGMP experiences considerable pressure to make geologic mapping relevant to a wider			
audience than its tradition	al clienteles in the mineral-resource	and fossil-fuels industries. Better		
elevation data are key to t	his improved relevance. In particular	r, there is a rough correspondence		
between spatial scale and	temporal scale in geologic understar	nding. The fossil-fuel focused		
studies of the past tended	to look at phenomena (developmen	nt of fold-and-thrust belts, basin		
subsidence) that have vert	subsidence) that have vertical scales of kilometers and temporal scales of tens to hundreds of			
millions of years. Current hazard-focused investigations look at landslides and fault scarps with				
vertical scales of meters and temporal scales of years to centuries. High-resolution LiDAR data				
allows the investigation of phenomena with vertical scales of decimeters (or even centimeters)				
and temporal scales of weeks to years, and promises to make geologic mapping more relevant				
to biologic and ecologic co	to biologic and ecologic concerns.			

#### **Seismic Hazards**

#### **Mission-Critical Requirements:**

Defining the location and relative activity of active faults requires high-resolution topography (QL1 LiDAR) to define and map areas of past surface rupture.

Update Frequency: 6-10 years

**Business Use:** Geologic Resource Assessment and Hazards Mitigation, BU#9

Estimated program budget supported by elevation data: \$25M/yr

Quantifiable Benefits of Enhanced Elevation Data:

Benefits are expected to be major but undetermined. Initial LiDAR mapping in central Washington helped identify three new active faults in the vicinity of a \$12 billion (build costs) nuclear waste treatment plant being built to treat 55 million gallons of nuclear contaminated waste at the former Hanford Nuclear Reservation. The financial benefits of LiDAR for detecting active faults near critical facilities are huge but cannot be estimated with any degree of certainty.



The Earthquake Hazards Program (EHP) is part of the USGS effort to reduce earthquake hazards in the United States. The EHP is part of the USGS Geologic Discipline and the USGS component of the congressionally established, multi-agency National Earthquake Hazards Reduction Program (NEHRP). The USGS participates in the NEHRP with the Federal Emergency Management Agency (FEMA), the National Institute of Standards and Technology (NIST), and the National Science Foundation (NSF). In the 2004 reauthorization of NEHRP by Congress, NIST was given the lead role to plan and coordinate this national effort to mitigate earthquake losses by developing and applying earth science data and assessments essential for land-use planning, engineering design, and emergency preparedness decisions.

Earthquakes pose significant risk to 75 million Americans in 39 States. The USGS is the only Federal agency with responsibility for recording and reporting earthquake activity nationwide. Citizens, emergency responders, and engineers rely on the USGS for accurate and timely information on where an earthquake occurred, how much the ground shook in different locations, and what the likelihood is of future significant ground shaking.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major Mission compliance: Major	\$ Benefits: Cannot determine
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 Operational benefits for seismic hazard research center on USGS' ability to identify and define specific faults and earthquake hazards to a higher degree than before. The ability to image and map faults beneath this vegetation canopies and quickly map and assess these faults is critical to USGS' ability to accurately define the seismic hazards for any given region.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor	Timeliness: Major	Experience: Major	\$ Benefits: Major but
			undetermined

- USGS' customers realize the benefits of LiDAR by having seismic hazard assessments completed in a more timely and accurate manner. With LiDAR, users are able to go into a new research area and quickly assess the entire area for surface ruptures and deformation in ways that were unavailable a decade ago. Specialists are now beginning to use LiDAR to assess tsunami hazards along the coasts of Washington and Oregon – this technique was unavailable before the advent of low-cost, high-resolution LiDAR mapping.
- Discovery of 12 new faults in western Washington over the last 10 years came about through the use of LiDAR surveys to identify surface ruptures from past earthquakes previously hidden from view by thick vegetation the advent of LiDAR revolutionized USGS' science worldwide.
- LiDAR mapping led to the discovery of a surface rupture along the Tacoma fault, leading to a redesign of structural elements of a \$735 million suspension bridge during construction of the bridge across the Tacoma Narrows in Washington.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major	
When LiDAR enables the identification of active faults near planned nuclear waste treatment			
facilities or a major suspension bridge so that proactive mitigation steps can be taken to avoid			
potential catastrophe in the future, the public/social benefits are major, the environmental			
benefits are major, and the	e strategic/political benefits are maj	or.	

#### Landslide Hazards



The Landslide Hazards Program (LHP) supports the USGS mission to serve the nation by providing reliable scientific information to minimize loss of life and property from natural disasters. The LHP's mission is to provide information that leads to the reduction of losses from landslides and an increase in public safety through improved understanding of landslide hazards and strategies for hazard mitigation, with much of this effort reliant on adequate topographic data. In pursuit of the program mission, the LHP conducts landslide hazard assessments, pursues landslide investigations and forecasts, provides technical assistance to respond to landslide emergencies, and engages in outreach activities; all of which would benefit from high resolution, seamless LiDAR data.

Research on landslide hazards addresses fundamental questions of where and when landslides are likely to occur; the size, speed, effects of landslides; and how to avoid or mitigate those effects. Such research is essential if the LHP is to make significant progress in addressing landslide problems triggered by severe storms, earthquakes, volcanic activity, coastal wave attack, and wild land fires in the United States. Public and private decision makers increasingly depend on information that the LHP provides before, during, and after a disaster so that they can live, work, and build safely. Seamless, high-resolution topographic data will significantly assist researchers to refine forecasts of where and when landslides will occur, and hence will be better suited to provide meaningful information to decision makers. Landslide runout paths and evacuation routes could be determined with greater accuracy.

Similarly, the National Cooperative Geologic Mapping Program (NCGMP) provides accurate geologic maps and three-dimensional framework models that help to sustain and improve the quality of life and economic vitality of the nation and to mitigate natural hazards. Funding from both LHP and NCGMP is

used by landslide researchers to use LiDAR-derived topography to document and further the understanding of landslide and surface erosion processes. Furthermore, geomorphic process mapping in steep lands dictates the use of topographic data at the scale at which the processes are operating. Numerous sediment transport processes driving patterns of erosion simply cannot be represented by data of a quality less than QL1. Geomorphic process mapping relies on such topographic data for both base maps, but also derivative products (e.g., gradient, curvature, and flow routing).

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: ~\$0 .25 million/yr	
• The USGS has taken the le	ad in developing a strategy for land	slide hazards mitigation on behalf	
of a large multisector, mul	tiagency stakeholder group involve	d in landslide hazards mitigation.	
The USGS derives its leade	rship role in landslide hazard-relate	d work from the Disaster Relief	
Act of 1974 (Stafford Act).	For example, the Director of the US	GGS has been delegated the	
responsibility to issue disaster warnings for an earthquake, volcanic eruption, landslide, or other			
geologic catastrophe (1974 Disaster Relief Act 42 U.S.C. 5201 et seq). The delivery of high-			
resolution LiDAR topograp	hy would assist the agency to succe	ed in this mandate by decreasing	
the areal extent of false po	ositive identification of landslide sug	sceptibility.	

Much existing topographic data is not of sufficient resolution to capture landslide processes. • Shallow landslides that can mobilize into fast-moving damaging and deadly debris flows, for example, may initiate as areas with small source areas (10's of square meters). Defining the source location of these features dictates a topographic data set with sufficient resolution to mimic the scale of the process-scale footprint on the ground. Similarly, defining the relative activity level of large deep-seated landslides requires a point spacing that can represent the high-frequency hummocky topography characteristic of recent displacement. In order to be consistent with future time-series LiDAR surveys, QL1 data or better is needed. Such topographic difference maps could be used similarly to IFSAR to delineate areas of active deformation. Increased resolution of surface morphology could assist in defining the 3-D depth and structure of larger landslides. Time-series could either be collected on a set time interval or on an event basis. Events would be defined such that the regional level of landsliding is widespread, such as occurring in conjunction with a large earthquake or storm sequence that results in the declaration of a state or Federal level disaster area. Seamless QL1 LiDAR data would provide a major time and cost savings (\$0.25M/year) in the realm of slope stability hazard delineation and mission compliance by reducing the time spent having to contract and process the new data and increased efficiency in determining relative landslide susceptibility.

#### Customer service benefits (external) from improved USGS products/services:

• The acquisition of a seamless, high-quality topographic data set will be of widespread use to the greater community associated with landslide hazard and risk. For example, research scientists would benefit by being able to develop and test new initiation and runout models. Geotechnical engineers and geomorphologists would benefit by linking the material properties of the underlying rocks and soil of the landscape with the long-term landslide process signature

expressed by the topography. Other Federal agencies such as NOAA/NWS, USFS, and FEMA would benefit for similar reasons. NOAA, for example, could refine estimates of the influence of topography on precipitation distribution. Consultants and NGOs in the engineering and geologic arena would be able to start projects with framework topographic data in hand without having to contract separately for each individual project. State agencies such as state geological surveys, offices of emergency services, and fire control would all quickly adopt high-resolution topography into their landslide-related decision making processes. At the local level, regional parks and sheriffs, for instance could plan for evacuations and staging areas.

 Hazard analyses derived from national high-resolution topography would lead to decreased landslide-related losses by approximately \$20 million dollars. Future hazard analyses derived from high-resolution topography would lead to a reduction in landslide-related losses by restricting future development in unstable areas and encouraging remediation measures where infrastructure and property already exists. Losses from landslides in Oregon, for example, range from \$10M to hundreds of millions a year, making landslides one of the most common and destructive natural hazards in the state. LiDAR maps are the basis for creating very accurate landslide inventory maps, identifying between 3 to 200 times the number of landslides located when mapping the same areas using other available technologies.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Moderate	Strategic/Political: Major				
<ul> <li>National-scale, high-resolution</li> </ul>	• National-scale, high-resolution LiDAR data would facilitate potential new partnerships between					
government at all levels, a	government at all levels, academia, and the private sector to refine landslide research, mapping,					
assessment, real-time mor	assessment, real-time monitoring, forecasting, information management and dissemination,					
mitigation tools, and emer	mitigation tools, and emergency preparedness and response. Such new technological advances					
would also enlist the expension	would also enlist the expertise associated with other related hazards such as floods,					
earthquakes and volcanic a	earthquakes and volcanic activity, and utilize incentives for the adoption of loss reduction					
measures nationwide.	measures nationwide.					

#### **Volcano Hazards**

#### **Mission-Critical Requirements:**

The USGS needs accurate, high resolution QL1 LiDAR data pre-eruption of at least every high priority volcano to improve hazard maps, geologic maps, and flow models that use topography as a model parameter. Posteruption topography will better characterize new hazards from slope and lava dome instability. QL2 and QL3 data are needed for lower priority volcanoes.

**Update Frequency:** 4-5 years, more frequently during and after major eruptions

**Business Use:** Geologic Resource Assessment and Hazards Mitigation, BU#9

Estimated program budget supported by elevation data: \$10M/yr

Quantifiable Benefits of Enhanced Elevation Data:

High quality LiDAR data of each active U.S. volcano would improve USGS hazard assessments and lahar flow models that would potentially result in at least \$11 M/yr savings averaged over major decadal scale eruptions.



LiDAR data of volcanic edifices and their drainages provide useful information to observatories and community partners before, during, and after volcanic eruptions. The USGS needs accurate, high resolution data pre-eruption of at least every high priority volcano to improve hazard maps, geologic maps, and flow models that use topography as a model parameter. During volcanic eruptions, acquisition of high resolution elevation data, especially after significant events, will provide better estimates of the volume of eruptive products such as pyroclastic flows, lahars, and lava flows, and will permit assessment of changes in volcano and dome structure, as well as changes in available ice-volumes for future flows. After an eruption, specialists need good elevation data of the new volcanic landscape to provide a base for future eruptions and to monitor erosion and instabilities in the new deposits. In the aftermath of a large eruption, volcanic landscapes can change quickly and repeat LiDAR surveys would permit quantitative assessment of these changes which may be important to or govern ecologic recovery of the affected areas or drainages.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/	cost savings: Major	Mission compliance: Major	\$ Benefits: ~\$ 1M/yr
•	• Significant gains (on the order of \$1M/yr) in efficiency of product generation and accuracy		
	would be realized. Having nationally consistent LiDAR would save time and funds acquiring and		
	processing inferior elevation data from optical stereo satellite sources. More work could be		
	completed in the office and less field time would be required significantly reducing field-related		ignificantly reducing field-related
	costs.		

Improved elevation data combined with high-resolution satellite data help geologists map volcanic deposits and edifice structure in advance of often short and expensive helicopter-based field expeditions. Through preliminary mapping on high resolution 2-D and 3-D visualizations of these combined datasets, less time is spent determining unit boundaries thus freeing up more time to complete detailed stratigraphic studies and sampling of deposits. The USGS Volcano Science Center has begun to use more high resolution satellite data to map and plan instrument deployment logistics at remote field sites, but the highest resolution elevation data to improve flow models and assess lava flow structure does not yet exist. With helicopters costing as much as \$6000 per day in Alaska, time saved in the field positively impacts the available budget by focusing work on the most critical details. Many large U.S. eruptions have occurred during winter where new deposits are often covered with snow soon after deposition, making it more difficult to map and identify deposits later. Acquisition of high resolution elevation data immediately after large eruptive events would significantly increase the Alaska Volcano Observatory's effectiveness in mapping and assessment of volcanic hazards.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor	Timeliness: Moderate	Experience: Moderate	\$ Benefits:\$10M/yr

- USGS' customers realize the benefits of LiDAR by having seismic hazard assessments completed in a more timely and accurate manner. With LiDAR, specialists are able to go into a new research area and quickly assess the entire area for surface ruptures and deformation in ways that were unavailable a decade ago.
- Sharing of data among partner agencies would provide a significant cost savings. Most U.S. volcanoes are located in national parks, wildlife refuges, or other federal lands. Any elevation data acquired would be useful to the land managing agencies.
- USGS volcano hazard products developed using high resolution elevation data will reduce costs to USGS customers by providing more timely, accurate maps and models to emergency planners. With LiDAR, specialists are able to assess edifice stability and refine lahar flow models in ways that were unavailable a decade ago. As other agencies and commercial vendors continue to provide publically accessible high resolution data, especially via Google Earth, both the public and community partners will expect America's hazard products and models to keep pace.
- LiDAR datasets over volcanoes and areas downstream provide essential elevation information to scientists, emergency response teams, and land-use planners. The dollar savings come from the combined use of these data by many agencies and municipalities for modeling everything from volcanic debris flow hazards to identifying buildings at risk from volcanic ash deposits based on roof size and structure. Other savings will come through improved land-use planning and zoning made possible with models derived, in part, from higher resolution elevation data. Use of highresolution elevation data for hazard assessment will result in a much higher level of accuracy of hazard zone maps and decrease the uncertainty of quantitative estimates of the magnitude of certain types of hazardous phenomena such as lahars and floods.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Minor	Environmental: Minor	Strategic/Political: Minor
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- Timely volcano deformation maps can identify potential areas of edifice or lava dome failure during periods of volcanic unrest. Loss of life or property can be significantly reduced because deformation maps can help identify previously unknown points of failure and help with estimates regarding the scale of a pending eruption. Early recognition and monitoring of such deformation is important for real-time hazard assessment where as much advance warning as possible is desirable.
- The resulting increase in accuracy of hazard maps and assessments would better portray areas at risk to various types of volcanic hazards and thus enable better assessment of environmental impacts. High resolution elevation data allows more efficient assessment of potential network, instrument, or other field study sites depending on long-range telemetry and low-impact site development and repeat access.

#### Water Resource Planning and Management

#### **Mission-Critical Requirements:**

Enhanced elevation data from QL3 through QL1 plus bathymetric data are required for a range of surface water, groundwater, and water quality investigations designed to support state and local agencies with water resource planning and management needs.

#### Update Frequency: 4-5 years

**Business Use:** Water Supply and Quality, BU#2, and River and Stream Resource Management, BU#3.

Estimated program budget supported by elevation data: \$228M/yr

Quantifiable Benefits of Enhanced Elevation Data:

Time and cost savings are major, but financial benefits cannot be quantified.



and Management

Studies done by the USGS Water Discipline in support of this activity include ecological flow analyses, watershed assessments, water-supply and water-quality assessments, water level data collection, statistical analyses of available data to describe current and historical water quantity and quality and water use, groundwater-table and stream mapping, and development of statistical models for estimating stream flow statistics at ungaged locations. These studies usually are done in cooperation

Quality Level 4 Quality Level 5 with Federal, state, and local agencies through the National Cooperative Water Program. Hydrologic (watershed) and groundwater models are tools that require elevation data to gain a better understanding of hydrologic systems and how historical, current, and potential future anthropogenic activities and climate change can affect water resource quality and availability.

Accurate bare earth elevation data are essential for modeling inundation areas, stream channels, areas of ponding and groundwater recharge, and ecological flow analysis. For upland areas with sparse vegetation or deciduous vegetation, a higher quality level is not necessary to capture bare earth values accurately. Areas with extremely dense vegetation (pocosin wetlands, marshlands, pine forests) are more problematic. Higher point density or alternative elevation model development is necessary in these areas. Canopy density is important as a guide, but knowledge of vegetation type is more important in predicting whether LiDAR will penetrate the vegetation and provide a bare earth return.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

#### Time/cost savings: MajorMission compliance: Major\$ Benefits: Major, but can't estimate

- Readily available LiDAR-based elevation data that are pre-processed into a seamless, highly accurate, nationwide DEM will help improve the timeliness and accuracy of results from a wide variety of hydrologic investigations. For example, elevation data are used to construct and calibrate groundwater and surface-water flow models, for mapping of stream channels, and for mapping of biota and anthropogenic activities adjacent to and within stream channels. Elevation data also are used to delineate watersheds and to compute numerous watershed physical characteristics that are used as explanatory variables in statistical analyses to develop equations for estimating stream flow statistics at ungaged sites. Time, effort and money that currently are spent to acquire and process elevation data from other sources will be dramatically reduced or eliminated if LiDAR-based data become available through the National Elevation Dataset. In addition, this effort will provide uniformity in data collection, processing, resolution, and accuracy. If hydrologic break lines also become available, then LiDAR-based elevation data could be vertically and horizontally integrated with hydrography to generate accurate flow lines, which would also save weeks of processing time on a typical project. Project scopes can be expanded and analysis can be done in areas that would typically not have been possible either because of budget or accessibility issues.
- Availability of accurate LiDAR-based land-surface elevation data will improve the accuracy of watershed and groundwater models. These data will allow for more accurate drainage-basin delineations, determinations of stream-channel cross sections and profiles, and other parameters for watershed models. These data also will lead to more accurate determinations of water-table elevations at well locations used for groundwater model calibration, leading to improved modeling of water-level changes due to pumping and varying climate conditions. Field surveys currently performed to obtain data needed for watershed and groundwater model construction may be eliminated when LiDAR-based elevation data are available, thus saving project time and cost. For example, an estimated 100 to 160 man-hours were saved by using LiDAR-derived DEMs for a groundwater investigation in the Carson Valley of Nevada, instead of field data collection, to determine accurate altitudes for irrigation canal networks to ensure

correct flow direction, and to estimate canal widths for input to stream flow routing software. In surface water studies in the western U.S., where snowmelt contributes substantially to water supply, LiDAR-derived DEMS can help improve snowmelt runoff models, which can directly impact water-supply estimates.

- In the arid southwest, LiDAR-derived DEMs can aid in determining potential areas of groundwater discharge. Understanding the extent and location of these areas is central to developing more accurate estimates of discharge in groundwater budgets and groundwater flow models.
- Ecological-flow studies can encompass a variety of activities, including stream flow, waterquality, geomorphologic and biological sampling of streams, water-use data collection, stream mapping, hydrologic and water-quality modeling, and statistical analyses. LiDAR-based elevation data would benefit such studies by allowing for more accurate stream mapping, watershed delineations, and model parameterization. Better definition of channels and ditches in the landscape can lead to more accurate mapping of water features, both historic and current, and a better understanding of flow regimes.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor	Timeliness: Moderate	Experience: Major	\$ Benefits: Unknown
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- Cooperators and the public rely on the USGS to be an impartial source of scientific information, including data collection, dissemination, and regional environmental investigations. Nationwide access to enhanced digital elevation data will allow the USGS to better meet the expectations of the public and to better perform its mission. In addition, the dissemination of these data will allow the general public to utilize these vital data sets for their own needs.
- The USGS provides a range of environmental data that many agencies use to make planning and management decisions. Decisions on source-water protection areas, water-quality standards, total maximum daily load values, flood-inundation areas, and minimum-flow standards are often based on data and analyses that the USGS provides. Greater accuracy and improved resolution of USGS elevation products will increase the confidence in decisions based upon these data.
- All states have agencies that perform water-quality assessments that require a GIS layer representing streams and surface water bodies as a means to index their EPA and/or other Federal and State reporting requirements. A stream layer derived from current QL3 or QL2 LiDAR and 1-ft resolution imagery will provide these agencies with accurate geospatial representations of their statewide hydrography features and watershed boundaries.
- A Federal Elevation Program that is implemented in a manner to take advantage of state budget cycles and state elevation requirements will likely receive the support of states that will cooperatively participate in the funding and administration of high-resolution elevation data.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

- Access to LiDAR data provides the ability to recognize landscape features that are not visible even with high resolution imagery. This allows the scientist to analyze his or her work with a new perspective and understanding that was not available previously.
- The access to highly accurate elevation data will drive many research and program areas that would not have been possible without access to these data. Many of the applications in 3-D modeling, network analysis, fusion of imagery, and sensors, have only been touched upon as research areas and have the potential to revolutionize the way water data are analyzed and visualized.
- Other benefits include maintaining a high quality of life through proper management of our natural resources and promoting a better economic climate through investments in data collection, analysis, and investigation of our natural resources. Additional benefits could result from our increased understanding of surface and groundwater quality, quantity, stream morphology, water resource uses and impacts, and flood inundation mapping, which will increase the potential to save loss of property and life.
- The collection of enhanced elevation data on a national scale will help strengthen the reputation of the USGS as a premier source of environmental science information.

#### **Coastal Zone Management and Sea Level Rise and Subsidence**

#### **Mission-Critical Requirements:**

Accurate and up-to-date coastal topographic maps from QL2 LiDAR and bathymetric LiDAR are required by land use planners to establish building set-backs, inventory wetland and agricultural land resources, and to identify flood and hurricane hazard zones

Update Frequency: 4-5 years

**Business Use:** Coastal Zone Management, BU#4, and Sea Level Rise and Subsidence, BU#15

Estimated program budget supported by elevation data: \$46M/yr

### Quantifiable Benefits of Enhanced Elevation Data:

Hurricane storm surge threatens coastal communities and watersheds. Potential benefits of topographic and bathymetric LiDAR of coastal areas are major, but dollar benefits cannot be estimated with any degree of certainty.



Quantitative high resolution information on coastal elevation is needed for resource management and planning, establishing political and jurisdictional boundaries, navigation, and scientific research. Accurate and up-to-date coastal topographic maps from QL2 LiDAR and bathymetric data are required at the most basic level by land use planners to establish building set-backs, inventory wetland and agricultural land resources, and to identify flood and hurricane hazard zones. LiDAR enables the rapid collection of very accurate elevation data over large areas, and recently, LiDAR has been widely applied to map coastal geomorphology, leading to improved knowledge of coastal geomorphic processes. Moreover, applications to coastal hazard prediction and mitigation; dune, forest, and wetland ecology; and benthic structure and ecosystem function have arisen.

For Coastal Zone Management and Sea Level Rise Applications, it appears that nearly all requirements would be met by data at QL2 including bathymetric measurements, at a 4-5 year repeat cycle but with the additional requirement to also collect data for specific locations after certain events. Nationwide coverage of ocean coastal areas is needed.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: Cannot determine
<ul> <li>Vast regions beneath the Vast</li> </ul>	Norld's coastal oceans are unmappe	ed or poorly mapped, yet human
societies require this spatial knowledge for navigation, commerce, and ecosystem-based policies		
to guide decision-making. Blue-green wavelength LiDARs can provide highly resolved		provide highly resolved
bathymetric surfaces. Furt	hermore, the high energy of the LiD	AR laser offers a much greater

depth of penetration as compared to passive technologies such as aerial photography, hyperspectral airborne surveys, or satellites. For LiDAR, viable laser returns can routinely be retrieved from the seabed from up to 2-3 times the depth that can be observed by the human eye, and that maximum LiDAR depth range can exceed sixty meters in the clear waters that surround coral reefs.

- Airborne blue-green LiDAR mapping of shallow benthic environments has several advantages over boat-based acoustic surveys. For example, 1) navigational hazards associated with vessel operations near shore are not an issue, 2) the LiDAR swath width is nearly independent of water depth, 3) hybrid blue-green LiDAR with high pulse rates can collect almost seamless subaerial–submarine topobathymetric surveys, and 4) airborne LiDAR can also collect reflected intensity images useful in benthic class discrimination. Many prior LiDAR applications to benthic mapping have focused on coral reef tracts, as those rich environments are typically proximal to land and sit in clear shallow waters that are amenable to optical mapping techniques. The aggradation of coral reefs creates bottom roughness, resulting in topographic complexity ranging from centimeters to kilometers in spatial scale that both influences and reflects many ecological variables. Blue-green airborne LiDAR sensing of benthic topographic complexity shows great promise as a proxy for habitat complexity, a fundamental ecological factor on coral reefs that is relevant to species diversity and richness, herbivore shelter, predation, recruitment, metabolic processes, hydrodynamics, and nutrient fluxes.
- Geomorphologists have traditionally based studies of coastal erosion and accretion, sediment transport and budgets, and flood hazards on repeated topographic profiling and shoreline mapping. In recent decades, new approaches have arisen for coast and shoreline mapping, including the use of high resolution satellite imagery, all-terrain kinematic GPS vehicles, and airborne LiDAR surveys. Airborne LiDAR surveys are an efficient and powerful approach to shoreline mapping and change detection because LiDAR-based shorelines are referenced to the statistically established tidal datum surface, and thereby avoid problems with the interpretation of the wet-dry beach line. Airborne LiDAR tailored to coastal applications can provide detailed cross-environment seamless information on both near shore bathymetry and beach topography along broad swaths that span the land-water interface. Further, LiDAR mapping allows analysis of beach and dune micro topography, and repeat surveys allow volumetric change analysis and the quantification of local sediment budgets.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor	Timeliness: Moderate	Experience: Moderate	\$ Benefits: Unknown

- Various management objectives, for example, the inventory of resources, tracking change, and identifying regions for protection, rely upon accurate and repeated characterizations of benthic communities and morphology.
- During the coming decades, coastlines will respond to widely predicted sea level rise, and detailed coastal topographic information is a key variable in understanding the likely impacts of this global natural hazard (U.S. Climate Change Science Program, 2009). Vulnerability maps that depict regions prone to flooding as sea level rises are essential to planners and managers

responsible for mitigating the associated risks and costs to both human communities and ecosystems. LiDAR mapping plays a central role in evaluating the vulnerability of low-lying coastal regions to inundation caused by relative sea-level rise. Most maps of potential inundation along coastlines have been based on out-dated coarse elevation data, and accordingly amount to only crude representations that may serve to mislead decision makers. The high vertical accuracy and spatial resolution of elevation data derived from LiDAR leads to improved identification and delineation of vulnerable lands.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: Major	Strategic/Political: Major		
Hurricanes are another serious coastal hazard along the Atlantic and Gulf coasts of the U.S., and				
airborne LiDAR mapping o	airborne LiDAR mapping of beaches and dunes has been used extensively over the last decade in			
studies of barrier island vu	Inerability to severe storms. If, durir	ng hurricane landfall, the storm-		
induced mean water level	overtops the crest of the primary du	une, the entire beach system will		
be submerged, resulting ir	n exposure to processes that can cau	se extreme coastal change,		
including wholesale dune	removal, island breaching, and inlet	formation. Recognizing the		
importance of dunes in pr	importance of dunes in predicting barrier island response to hurricane impact, coastal geologists			
have devised approaches to use LiDAR surveys in identifying the crest of the most seaward sand				
dune, the feature that defines the landward boundary of the beach system. Hurricane storm				
surge also threatens coastal communities and watersheds, for example, the landfall of Hurricane				
Katrina near the Mississip	pi-Louisiana border at the Gulf Coast	caused the largest natural		
disaster in U.S. history, wit	th the loss of more than 1,800 lives a	and \$81 billion in property		
damage. The use of the data	ata assimilation techniques that com	bine various dissimilar LiDAR data		
sets and thereby create a	uniform 3-m elevation grid throughc	out coastal regions affected by		
storm surge allow rapid m	ap creation, resulting in more rapid	recovery efforts and emergency		
response.				

• The benefits of elevation data meeting the characteristics specified for coastal applications include providing data that does not currently exist for some locations, decreasing reliance on costly field surveys (which result in less dense data than LiDAR surveys), and more accurately delineating areas subject to the effects of sea level rise.

#### **Flood Risk Management**

#### **Mission-Critical Requirements:**

Because floods are the leading cause of natural-disaster losses, the USGS is actively involved in the development of flood inundation mapping across the nation pursuant to its major science strategy goal of reducing the vulnerability of the people and areas most at risk from natural hazards. QL3 LiDAR plus bathymetry is required for priority areas for flood risk mapping.

Update Frequency: 4-5 years

**Business Use:** Flood Risk Management, BU#14

Estimated program budget supported by elevation data: \$12.6M/yr

Quantifiable Benefits of Enhanced Elevation Data: Based on published estimation processes, the flood damage reduction benefits can be estimated at \$10M/yr. Enhanced elevation data will produce more accurate flood extents and flood predictions (when NWS instruments are co-located at stream gages) to provide early warning information to emergency management officials and to the public for mitigation planning and evacuating businesses and residents.



USGS' responsibilities for near-real-time flood extent and depth predictions are significantly different from FEMA's responsibilities that are focused on long-range mitigation actions (e.g., flood insurance). USGS partners with the NWS to produce flood inundation maps for the Advanced Hydrologic Prediction Service (AHPS).

A powerful new tool for flood response and mitigation is digital geospatial flood-inundation maps that show flood water extent and depth on the land surface. Because floods are the leading cause of naturaldisaster losses, the USGS is actively involved in the development of flood inundation mapping across the nation pursuant to its major science strategy goal of reducing the vulnerability of the people and areas most at risk from natural hazards.

Static flood-inundation map libraries consist of maps that have been created in advance of a flood that are ready to be served through the Internet. Each library consists of a set of flood extent and depth maps developed for predetermined stream stage intervals - typically either one foot or 0.5 feet. A user can view real-time or forecast stage data from a USGS stream gage or NWS flood forecast point and quickly access the map corresponding to the stage data. For clarification, flood inundation maps are being developed at stream-gaged locations where the NWS has co-located its forecast points.

#### **Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:**

Time/cost savings: MajorMission compliance: Major\$ Benefits: Cannot estimate

- To develop the map libraries, it is necessary to compile ground elevation data to be used in hydraulic stream models for the study reach including the channel and contiguous floodplain up to the elevation of maximum expected flood level. To be useful, the stage intervals should be no coarser than 1.0 ft. According to NWS/USGS guidelines, this resolution requires a base map (DEM derived from LiDAR) accuracy of the equivalent of 2 ft contour intervals or finer. In order to account for channel configuration, bathymetric data is also required.
- QL3 LiDAR for surface elevations would support a national program. However, as mentioned above, in addition to land-surface elevations (and water surface elevations) bathymetric data is also a required input, as stream channel cross-sections, into the hydraulic modeling. Currently, the USGS acquires bathymetry using Doppler-acoustic instruments and this elevation data must then be tied to the surface. If airborne LiDAR could accomplish the need for bathymetry more cost effectively than the Doppler-acoustic units, then this option should be explored further.
- Flood inundation maps generally cover small geographic areas. However, several eastern states are now realizing the benefit of statewide LiDAR programs and are choosing QL3 data.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Major	Timeliness: Major	Experience: Major	\$Benefits: \$10 M/yr

- Static and real-time flood inundation maps, produced from LiDAR-derived elevation products, provide critical, up-to-date information to emergency managers, homeland security agencies, local government officials, and to the general public to assist people in making important mitigation decisions during floods. These data will also be valuable input to determine building damage assessments before and after flooding occurs.
- The Day curve (Day, 1970) is a tool that estimates the benefits from flood damage reduction in terms of advanced hours of flood warning (the longer the warning time, the greater the damage reduction). The <u>maximum</u> reduction benefit of 33% occurs for warning times of 48 hours and greater. Since NWS flood forecast points (and the associated inundation mapping) provide predicted flood level data 5 days out, this criterion is met. However, to achieve a maximum flood damage reduction benefits, inundation mapping must be available for the forecast point. Therefore the estimate is that the benefit attributable to inundation mapping is 10%, since inundation maps are currently only available for 10% of all flood forecast points. A 10% reduction in the estimated \$1.25 million in annual flood loses per forecast point with inundation mapping is therefore \$125,000. It is estimated that USGS will perform 80-85 of these flood map libraries each year, resulting in \$10M/yr in benefits.

#### Other Benefits from USGS's use of enhanced elevation data for this Functional Activity:

Public/Social: Major	Environmental: None	Strategic/Political: Moderate
• Many other applications will be used by the hydrographic and hydrologic user communities with		
LiDAR-derived elevation da	LiDAR-derived elevation data. Examples of additional applications could include: cartographic	
mapping, recreational, flux	vial erosion, levee construction or de	e-construction, and more.

#### Mapping, Monitoring, and Assessment of Biological Carbon Stocks



Improved understanding and prediction of the global carbon cycle is one of the six major goals of the USGS Global Change Science Strategy. The Strategy specifies near-term actions (1-5 years) to "initiate periodic national comprehensive carbon resource assessments of potential carbon sequestration and carbon storage vulnerability in terrestrial ecosystems...", and long-term actions (5-10 years) to "periodically update and refine assessments of carbon sequestration and loss..." and to "publish national maps of carbon stocks and fluxes..." These activities contribute to meeting Department of Interior and USGS responsibilities for assessing and monitoring biological carbon stocks and carbon sequestration potential in U.S. land areas as mandated by the Energy Independence and Security Act (EISA) of 2007. The major variables in estimates of forest carbon emissions include: rates of deforestation, afforestation, restoration, changes in carbon stocks (biomass and soils) as the result of the land-use changes, and changes in carbon stocks within forests. Uncertainty about biomass density (e.g.,  $gC/m^2$ ) as well as rates of deforestation contribute greatly to the range of current carbon flux estimates for land systems. Despite its position as the leading Federal agency for operational land remote sensing, the USGS currently has limited operational capability for spatially consistent, accurate mapping of biological carbon stocks to support the national assessment and related land monitoring, and currently depends on a limited array of disparate, external data sources. A strategy for repeat LiDAR observations, as part of a national enhanced elevation program, provides the most practical approach for USGS to develop and apply such a capability.

The methods for estimation and mapping of biological carbon stocks with enhanced elevation data are based on the quantitative characterization of vegetation structural parameters. Collecting these data accurately over large areas is feasible only by LiDAR remote sensing. Such LiDAR-dependent capabilities may be further enhanced through sensor fusion approaches with Landsat and other sensor types (e.g., synthetic aperture radar, hyperspectral imaging) Defining and implementing a national enhanced elevation data acquisition strategy that includes LiDAR observations and that is strategically coordinated with the USGS Landsat Program is essential to overcome current constraints on USGS capabilities to perform its land science mission on a truly national scale.

In addition to enabling new capabilities for mapping biological carbon stocks, vegetation structure information from a national enhanced elevation data program would benefit other activities within the USGS mission areas on Climate and Land Use Change; Ecosystems; and Energy, Minerals and Environmental Health. The major, overarching types of activities pertain to USGS responsibilities for national-scale mapping, monitoring, and analysis of:

- Land use and land cover, including vegetation types;
- Ecosystem condition, sustainability, and restoration;
- Wildlife habitat condition and vulnerability; and
- Wildfire risk.

The spatial extents of the data quality requirements were developed with consideration to vegetation characteristics of the land cover within counties. The required LiDAR point density was the principal factor that determined the assignment of a QL to a given land cover category. The highest data quality level (QL1) is recommended for counties in which forest vegetation constitutes 20% or more of the county land area. In forest lands, QL1 is required for adequate performance in detecting the dispersion of tree heights in dense, complex canopies. QL2 is sufficient for counties with more than 80% non-forest vegetation, where the lower spatial complexity of the dominant cover types presents fewer obstacles to accurate characterization of the vegetation structure and underlying surface. Additional research is required to clarify the tradeoffs between data acquisition during leaf-on and leaf-off conditions.

#### Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: Undetermined
USGS does not currently have an operational program for mapping biological carbon stocks, so		
the immediate operational	benefits of national enhanced elevation	ation data are undetermined. If
USGS establishes such a pr	ogram in the future, then the prosp	ective, operational benefits would
be manifested as cost-savings from increased efficiencies and productivity. For example, in a		
single day, a 3-person USGS crew collects an average total of 400 tree-cover estimates and		
height point measurements spread across 4 sites within a 5-mile drive from one to the next. In		
contrast, millions of LiDAR data points can be collected over that same day to yield spatially		
explicit and distributed me	asurements at sub-meter intervals of	over the entire study area (e.g.,
600 square miles). Such bro	oad spatial coverage is not possible	without LiDAR.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor Timeliness: Major E	Experience: Major	\$ Benefits: \$13M/yr
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 LiDAR-derived data from a national enhanced elevation data program would enable dramatic improvements to the USGS capability to provide the public with objective, science-based, and timely information on the distribution of biological carbon stocks in U.S. land areas, and how and why they change over time. Public access to such information is critical to inform public discourse and guide effective policy development and decision-making on carbon-related issues by Federal, state, and local governments. Significant improvements in the availability and quality of enhanced elevation data would greatly improve the certainty of carbon estimates and corresponding societal benefits. Although the exact benefits associated with various levels of accuracy are difficult to quantify in monetary terms, the monetary value of some public benefits enabled by LiDAR-derived data under can be estimated, as follows.

LiDAR-derived enhanced elevation data on a national scale would enable USGS to achieve improved accuracy in its science products, such as delivering estimates of national biological carbon stocks for different ecosystems required under the EISA mandate. An analysis comparing LiDAR-based and conventional methodologies for estimating carbon stocks in Alaskan boreal forest reported costs of \$1.36 for conventional methods and \$0.25 using LiDAR, per forested acre. The LiDAR-based method also reduced sampling error by two orders of magnitude. Based on this comparison, the use of LiDAR would provide a 544% improvement in efficiency over conventional methods for estimating carbon biomass. In the absence of other specific estimates, and recognizing the associated uncertainty in applying these boreal forest estimates to all 797 million acres of U.S. forested land, this comparison suggests that use of LiDAR could avert \$885 million dollars in costs relative to conventional estimation methods, a vital investment and cost-saving for scientists and land managers who require in-situ measured vegetation data. In-situ measurements, such as the Forest Service inventory, are often repeated every 10 years. This translates to a cost-saving at approximately \$88.5 million per year for multiple studies and uses, while making USGS scientific investigations more accurate, and serving as a foundational dataset for monitoring needs for the Department of Interior and land management agencies and stakeholders. Under the guidance of the USGS Global Change Science Strategy and the Department of the Interior Strategic Plan for 2011-2016, the USGS is going forward with the national carbon sequestration assessment, with carbon monitoring as a key objective. As an approximation, considering the areas and ideal quality of data needed, and assuming the optimal methods, the benefit of LiDAR to USGS as a contribution for in-situ biomass carbon measurement for improving carbon assessment could be approximately \$13M (assuming a national sampling rate of 15% for LiDAR acquisition and data processing.).

#### Other Benefits from USGS' use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Mo	oderate	Strategic/Political: Moderate
Improvements in USGS capabilities to produce more reliable, certain and timely measurements			
of the distribution and dynamics of biological carbon stocks would bring major benefits in areas			
that extend beyond the fundamental USGS mission and that support the broader scope of U.S.			
national interests in globa	l carbon monitoring.	Such benefits	would be realized through
enhanced USGS support for current and potential U.S. contributions to international			

cooperative activities such as the GEO Forest Carbon Tracking Task and the United Nations program on REDD (Reducing Emissions for Deforestation in Developing Countries).

#### Mapping, Monitoring, and Assessment of Habitat



The methods for mapping, monitoring, and assessment of wildlife habitat with enhanced elevation data are generally based on the quantitative characterization of vegetation structural parameters and underlying surface topography. Collecting these parameters accurately over large areas is simply not feasible without the detailed height information (3-D point clouds) obtainable by LiDAR remote sensing. Such LiDAR-dependent capabilities may be further enhanced through sensor fusion approaches with Landsat and other sensor types (e.g., synthetic aperture radar, hyperspectral, etc.) Defining and implementing a national enhanced elevation data acquisition strategy that includes LiDAR observations and that is strategically coordinated with the USGS Landsat Program is therefore essential to overcome current constraints on USGS capabilities to carry out its habitat-related mission on a truly national scale.

New knowledge and information on the status and vulnerability of wildlife habitats in U.S. land areas is a major objective of the USGS science mission areas on Ecosystems, and Climate and Land Use Change. It has long been recognized by ecologists that vegetative structure, particularly vertical structure, is a key factor affecting habitat selection by wildlife. In general, the diversity and density of wildlife species has been shown to be a function of both habitat patchiness and vegetation stand structure as quantified through foliage height diversity metrics, among other stand metrics. Most empirical models developed that relate wildlife occurrences, densities, and diversity to vegetation tend to have better predictive ability when using stand-level metrics such as stem densities, canopy closure, and canopy heights (and how they vary across space and time) than using broad cover types only (e.g., DeGraaf et al. 1998). Vegetation structural information also describes habitat conditions in aquatic systems by enabling accurate modeling of surface water flow and stream temperatures (as affected by canopy shading).

Information on surface topography and channel geomorphology is important for identification and characterization of ephemeral surface water storage features that influence hydrology and climate and provide critical habitat for aquatic and other species.

Models of habitat condition are typically developed by characterizing site features at the scale of an individual study plot or stand (e.g., 0.25 ha). The challenge is to observe those features over broader spatial scales to enable high-confidence, spatially explicit assessments of habitat. To do so requires the use of remote sensing techniques. LiDAR, alone but perhaps most effectively in combination with Landsat and other moderate-to-high resolution, multispectral or hyperspectral satellite systems, provides opportunities for broad-scale, spatially continuous assessment of wildlife habitat that would not otherwise be feasible. Such mapping provides an improved basis for identifying habitats in need of conservation or restoration and enhances the reliability and effectiveness of decision support systems for adaptive management. Additional research is required to clarify the tradeoffs between LiDAR leaf-on and leaf-off acquisitions for this Functional Activity.

The spatial extents of data quality requirements were developed by reference to 22 major land cover categories and associated vegetation cover characteristics identified by the GLOBCOVER land cover product. The point density requirement was the principal factor that determined the assignment of a QL to a given land cover class within each U.S. county. The highest data quality level (QL1) is recommended for counties in which forest vegetation constitutes 20% or more of the total land area. The assignment of QL1 to forest land areas is dictated by both the need to maximize pulse penetration to the ground under dense forest canopy (for accurate DTM generation), and the need for adequate performance in detecting the dispersion of tree heights in a complex canopy. A lower quality level (typically QL2) is adequate for counties with more than 80% non-forest vegetation, where a higher percentage of pulses are likely to penetrate vegetation canopy or vegetation canopy is typically absent.

#### Operational benefits (internal) to USGS of enhanced elevation data for this Functional Activity:

Time/cost savings: Major	Mission compliance: Major	\$ Benefits: Undetermined	
• The operational benefits to USGS of enhanced elevation data that meet its mission-critical data			
requirements for mapping, monitoring and assessment of habitat are manifested as 1) cost-			
savings from increased efficiencies and productivity for existing research and monitoring			
activities, and 2) new, added value realized through enhanced capabilities for collecting accurate			
data and information at th	data and information at the national scale, which improve the ability of USGS to perform its		
mission effectively. Many of these benefits are distributed among the broad array of natural			
resource-related activities in the USGS science mission areas rather than concentrated in one or			
a few bureau-wide activities. In addition it is difficult to quantify the benefits of improved			
habitat and associated ecological values in economic terms. Therefore, the total monetary value			
of USGS operational benefits is problematic and remains undetermined. Substantial operational			
benefits are nevertheless expected, as suggested by the following qualitative example of			
mapping vegetation struct	ure for habitat characterization and	water flow modeling.	

In a single day, a 3-person USGS crew collects an average total of 400 tree-cover estimates and height point measurements spread across 4 sites within a 5-mile drive from one to the next. In

contrast, millions of LiDAR data points can be collected over that same day to yield spatially explicit and distributed measurements at sub-meter intervals over the entire study area (e.g., 600 square miles). This level of coverage is simply not possible without LiDAR.

#### Customer service benefits (external) to the public from improved USGS products/services:

Performance: Minor	Timeliness: Major	Experience: Major	\$ Benefits: Undetermined
LiDAR-derived data from a national enhanced elevation data program would enable dramatic			
improvements to	the USGS capability to pro-	vide the public with obje	ctive, science-based, and
timely informatio	n on the distribution and co	ondition of wildlife habit	at nationwide, and how and
why they change over time. Public access to such information is critical to inform public			
discourse and gui	de effective policy develop	ment and decision-makin	ng on habitat-related issues
by Federal, state, and local governments. An intangible public benefit such as this, while			
possessing tremendous importance to society, cannot be readily quantified in monetary terms.			
Other Benefits from USC	S' use of enhanced elevativ	on data for this Eurotian	

Other Benefits from USGS' use of enhanced elevation data for this Functional Activity:

Public/Social: Moderate	Environmental: Major	Strategic/Political: Moderate
Improvements in USGS capabilities to produce reliable and timely data and information on the		
status and trends of wildlife habitat would bring major benefits in areas that extend beyond the		
fundamental USGS mission and that support the broader scope of U.S. national interests in the		
management of global natural resources. Such benefits would be realized through enhanced		
current and potential USGS support for to an array of international cooperative activities,		
including but not limited to the Global Earth Observation System of Systems (GEOSS) themes on		
Biodiversity and Ecosystem	15.	